

# Quantum Information Theory

Renato Renner  
ETH Zurich

# Do quantum computers exist?



Geordie Rose and his D-Wave quantum computer



# Commercial devices



Quantum cryptography device by *id Quantique*, in operation at the FIFA World Cup competition in Durban



# Commercial devices



Quantis Random Number Generator (4 Mbits/s)



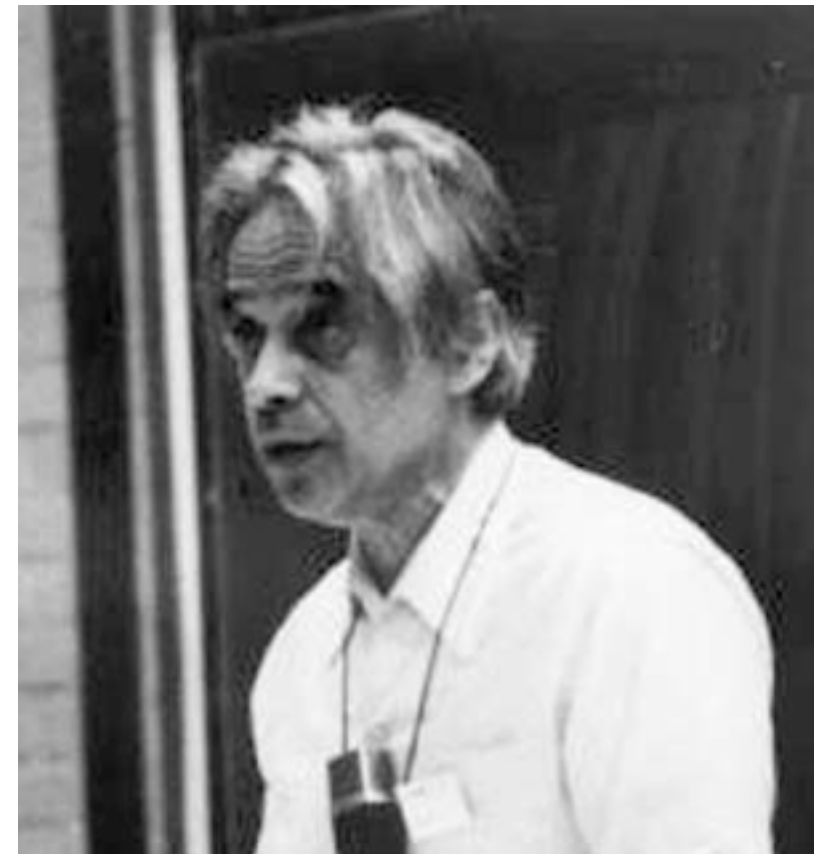
# Is the world deterministic?

*„Jedenfalls bin ich überzeugt, daß der nicht würfelt.“*

Albert Einstein, in a letter to Max Born

It took several decades until the inherent non-deterministic nature of quantum theory was accepted as a “physical” fact.

*„Mein Ziel war zu beweisen, dass niemand, nicht mal Gott, den Verlauf der Welt voraussagen kann.“*



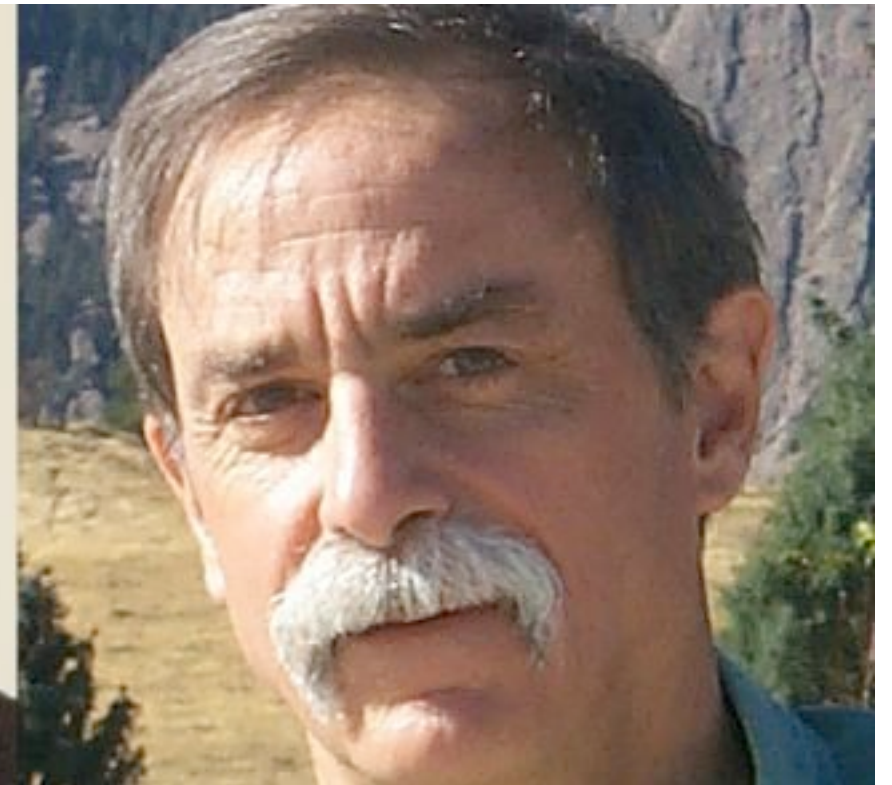
Ernst Specker (mathematician, ETH Zurich)

# Research in quantum information

Nobel prize  
2012



Serge Haroche



David Wineland



# Research in quantum information



# Research in quantum information



Swiss network consisting of more than 300 scientists



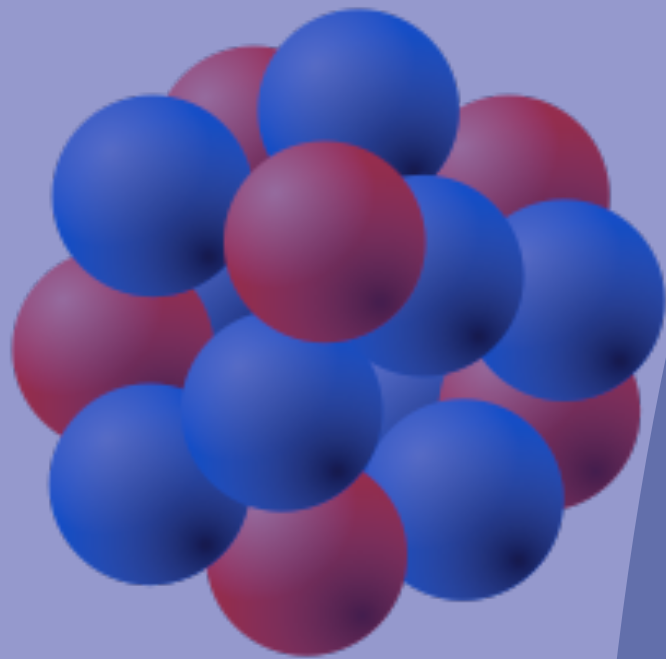
You  <sup>TM</sup> → QSIT



# Quantum Information Theory

# What is “quantum”?

quantum  
physics



classical  
physics



general  
relativity



$10^{-15}$  m

$10^{-9}$  m

$10^{-3}$  m

$10^3$  m

$10^9$  m

$10^{15}$  m

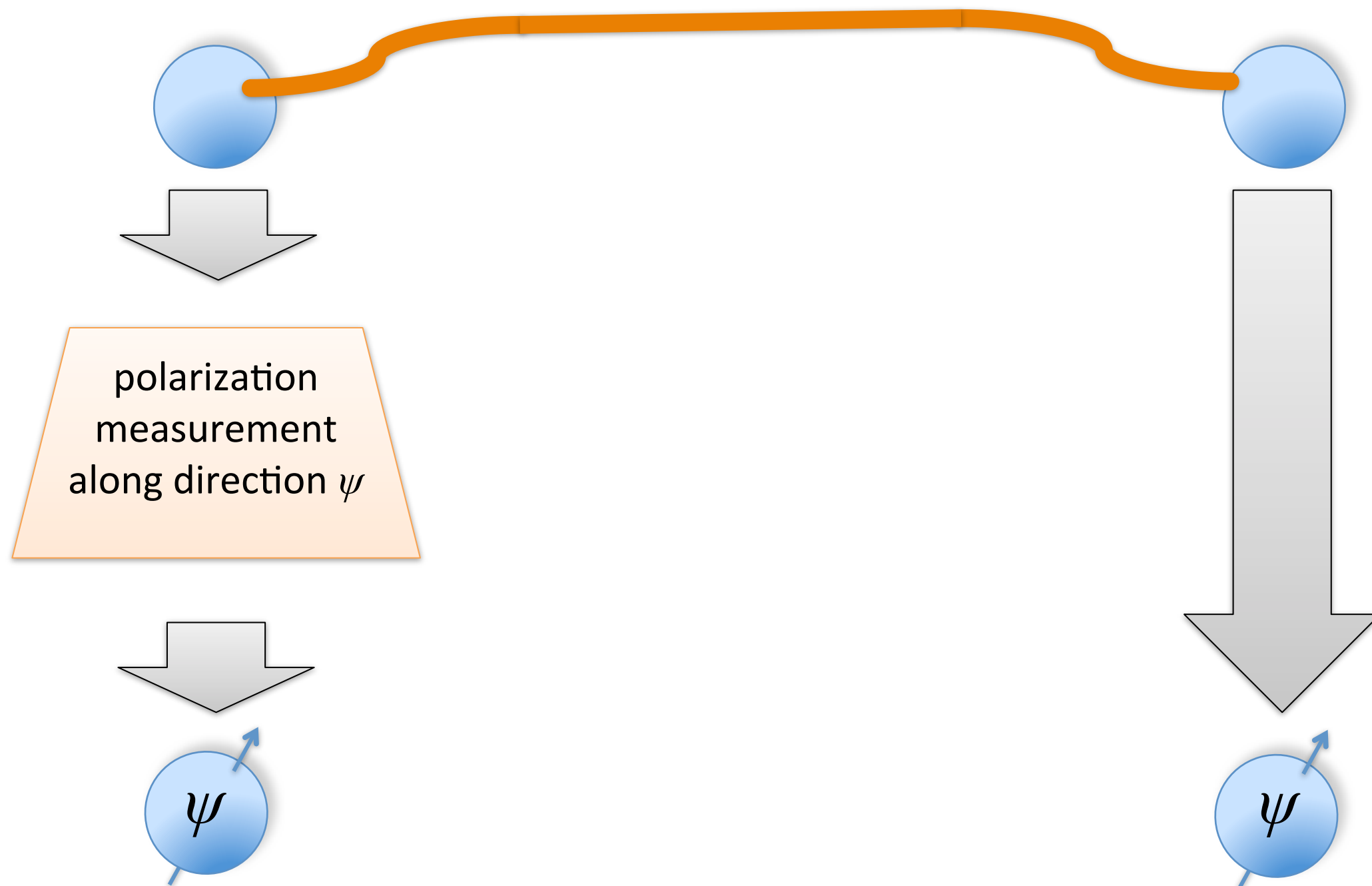
$10^{21}$  m

← | 1 fm | 1 pm | 1 nm | 1 μm | 1 mm | 1 m | 1 km | 1 Mm | 1 Gm | 1 Tm | 1 Pm | 1 Em | 1 Zm | →



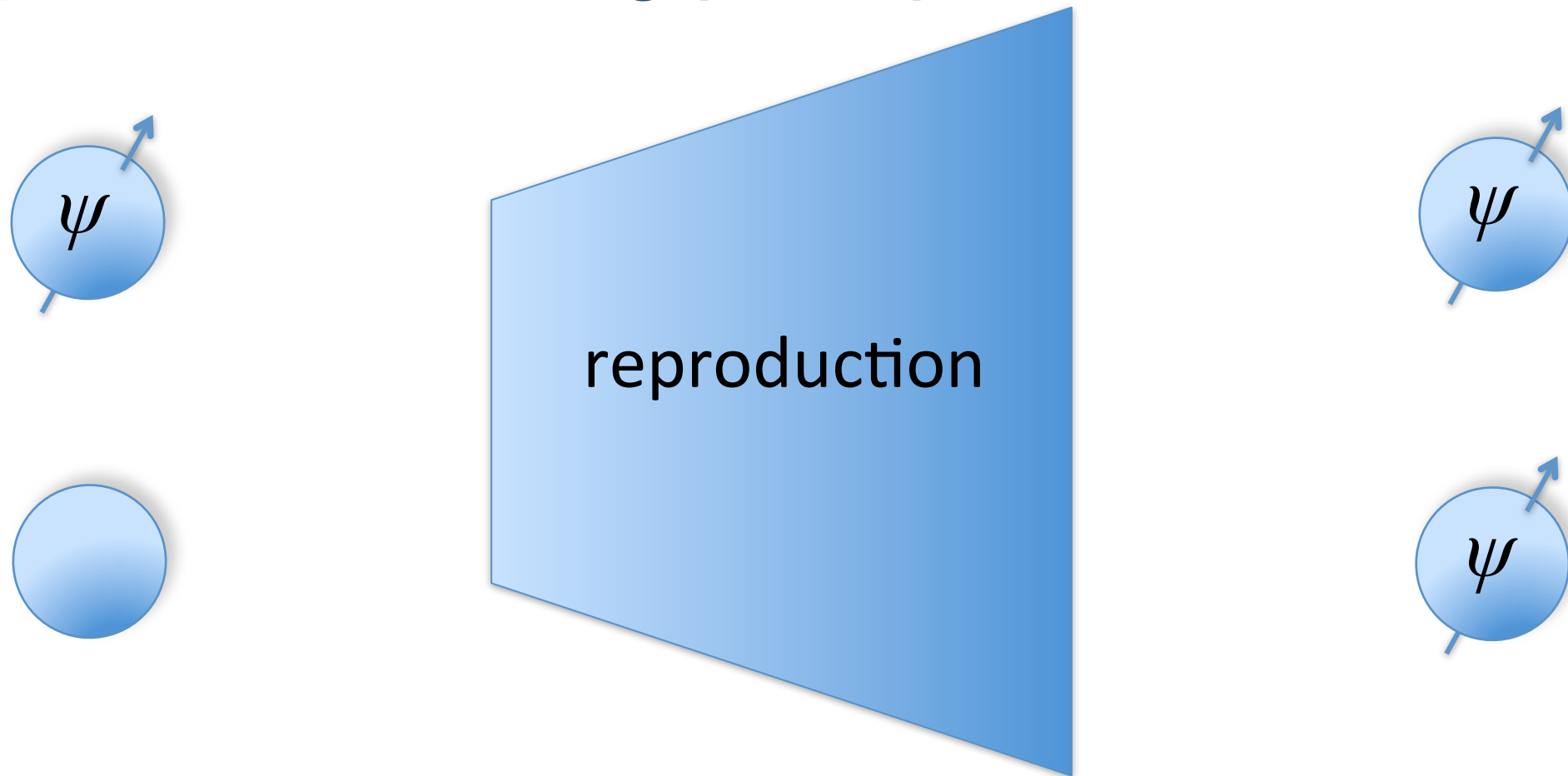
# Quantum physics deviates from our day-to-day experience about the world around us

## Example 1: Entanglement



# Quantum physics deviates from our day-to-day experience about the world around us

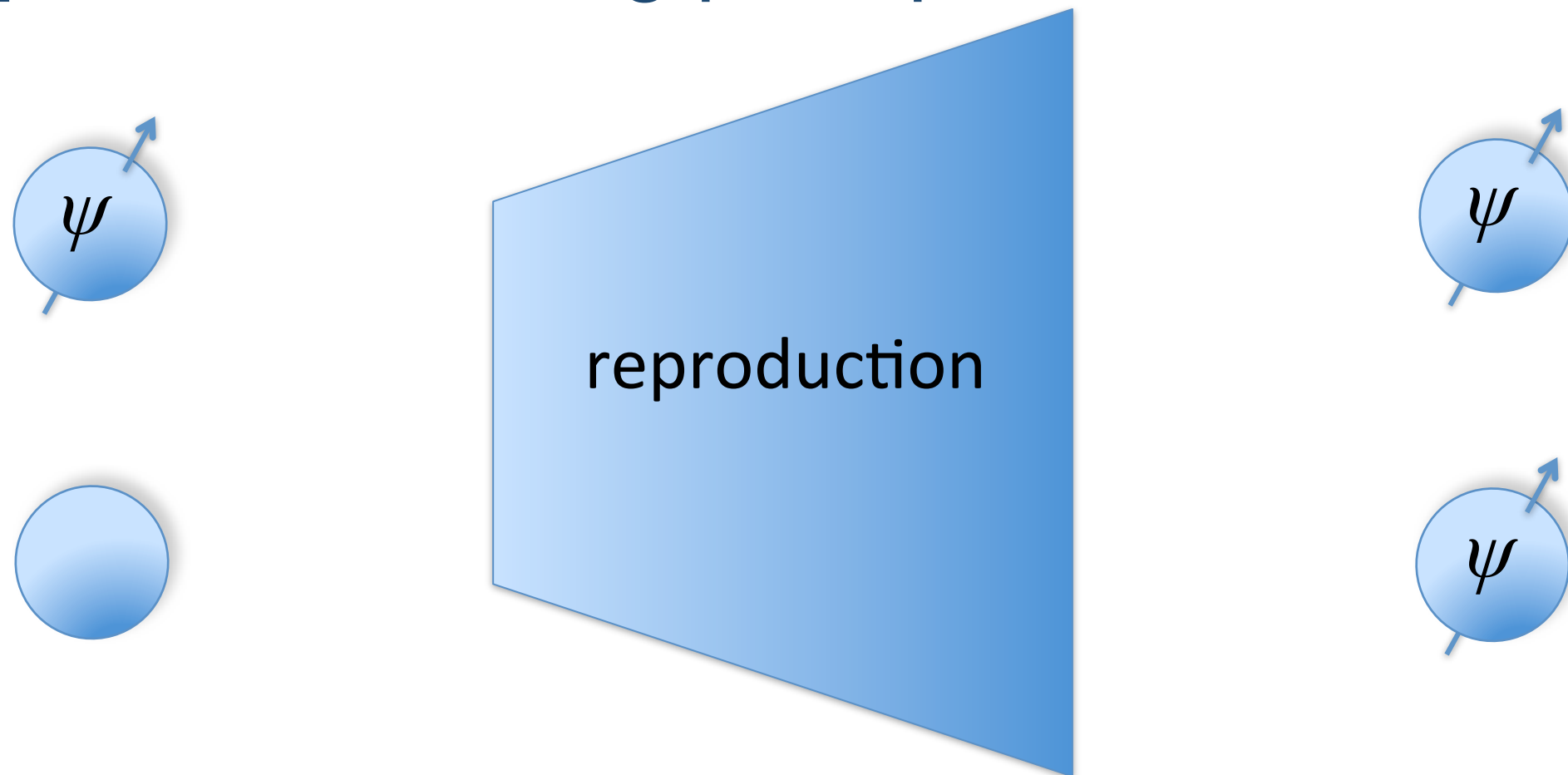
## Example 2: No-cloning principle





# Quantum physics deviates from our day-to-day experience about the world around us

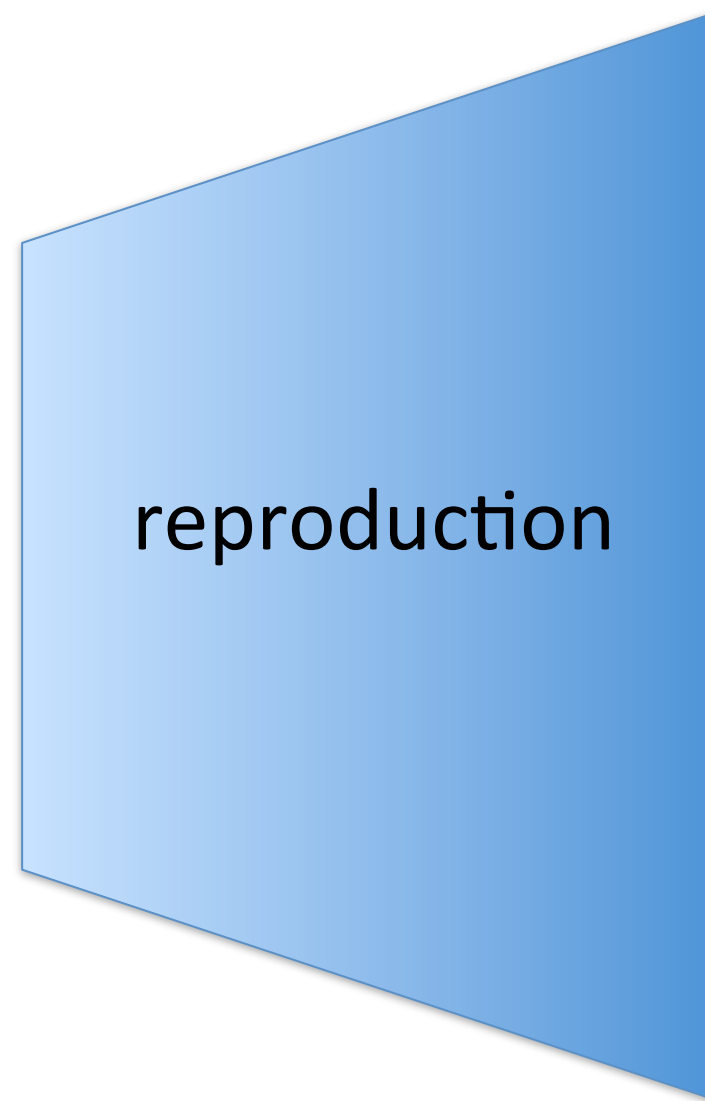
## Example 2: No-cloning principle



### No-cloning theorem [Wootters und Zurek, 1982]

No physical device can copy the state of a quantum system (for arbitrary states  $\psi$ ).

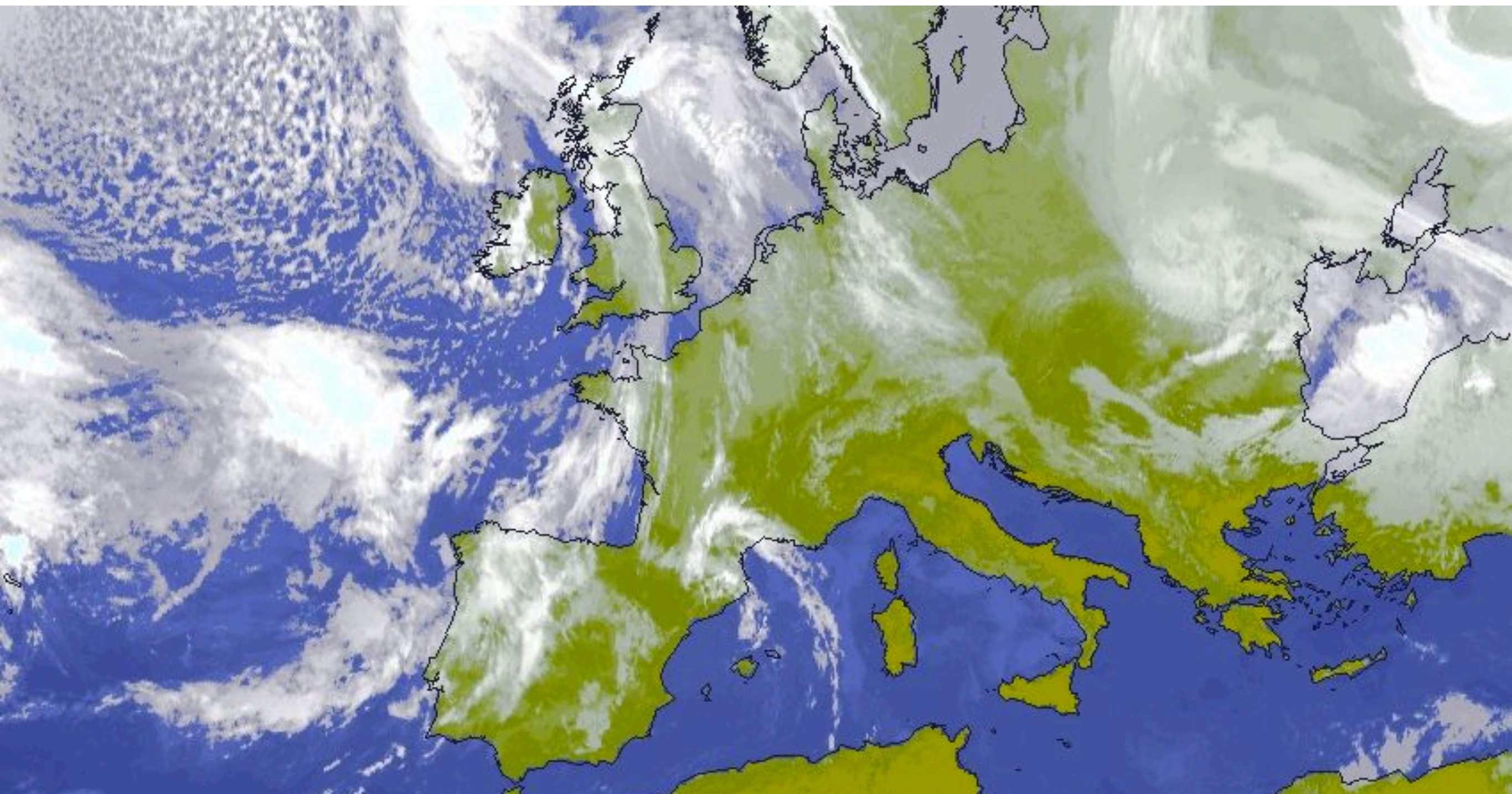
The no-cloning principle does not seem to be valid for macroscopic objects



# Quantum Information Theory



What is “information”?





# Two different approaches



**Kolmogorov's notion:**  
based on the theory of  
computation



**Shannon's notion:**  
based on probability  
theory

# Common feature of both approaches

The mathematical theory should be independent of how information is represented.





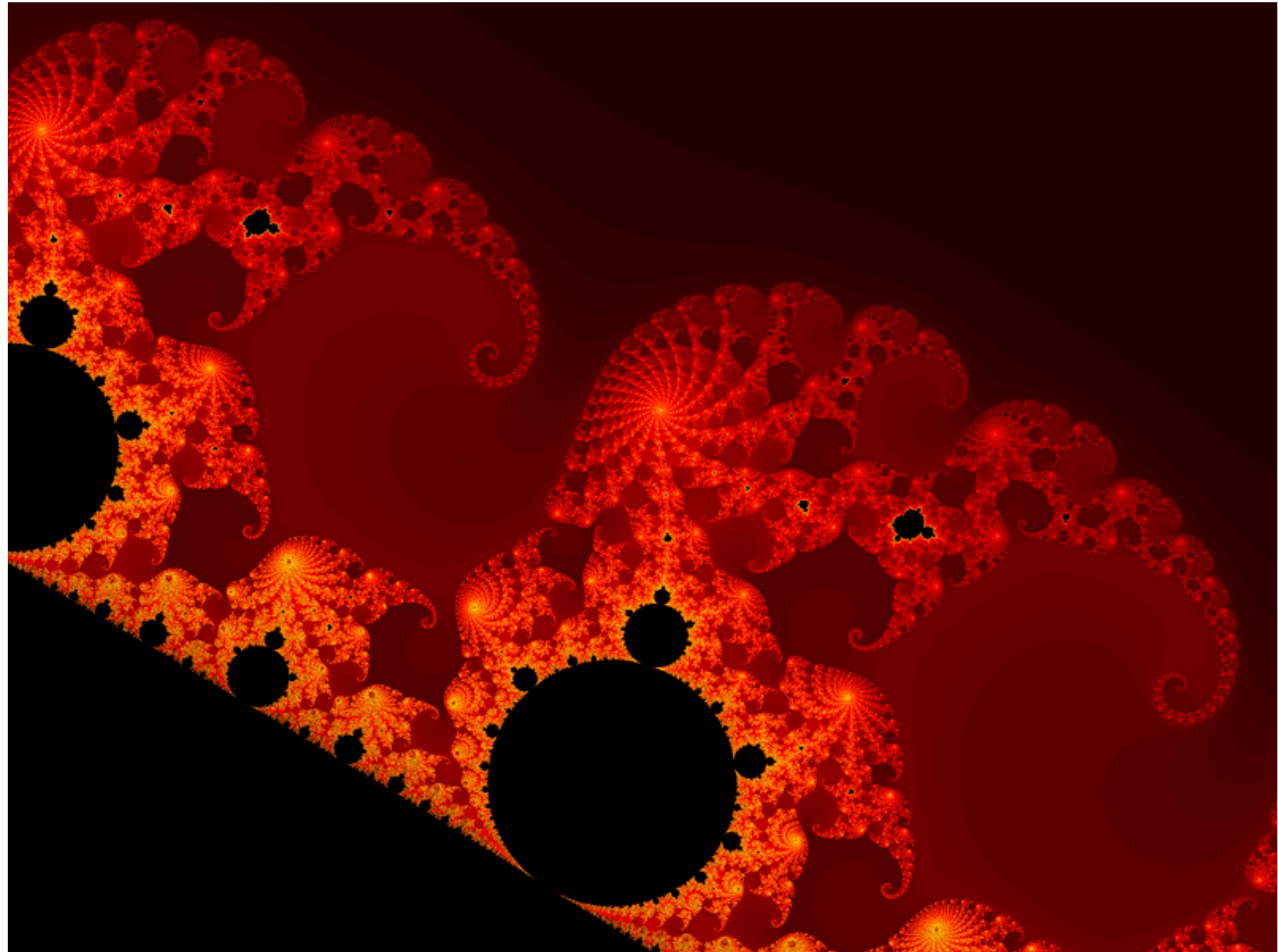
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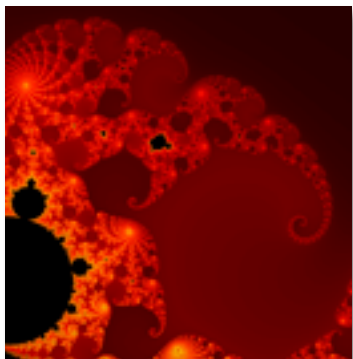
As we shall see, this idea is doomed to fail ...

# Kolmogorov's notion of information



# What is information?

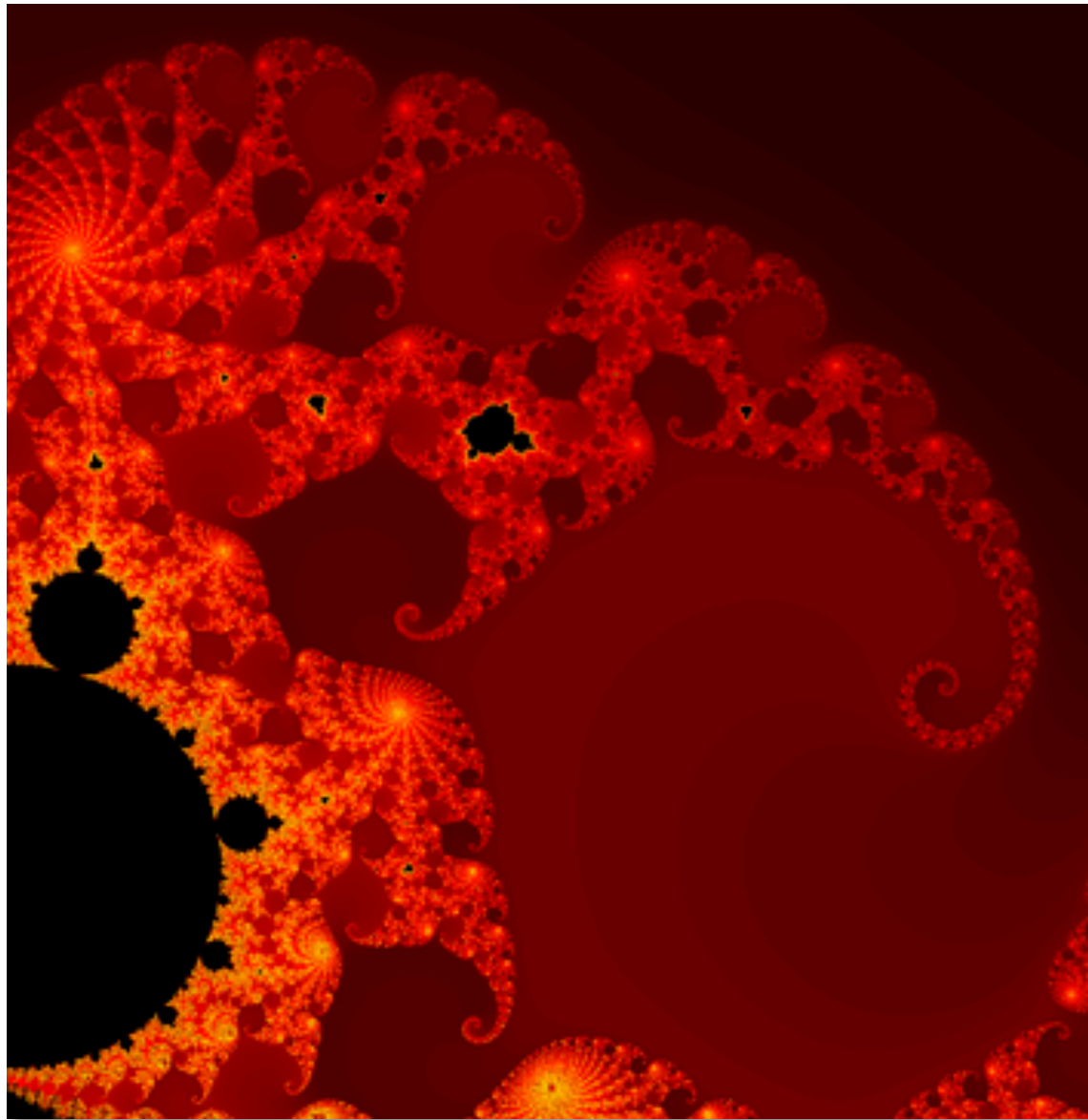
```
For each pixel (x0,y0) on the screen do:  
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      iteration = 0  max_iteration = 1000  
while ( x*x + y*y <= (2*2) AND iteration < max_iteration )  
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      iteration = iteration + 1    }  
    if ( iteration == max_iteration )  
      then color = black  
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```



The algorithm reproduces this picture.



# What is information?



≈

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Information can be represented in various equivalent ways.

# Kolmogorov's notion of information

## Definition [Kolmogorov]:

The “information content” of a “message”  $m$  is the length (in number of bits) of the shortest program that outputs  $m$ .

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[illegible]

(2)  $m = 00000000000000001000000000000000100000000001$

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- **Model-independent:** it is independent of the underlying “programming language” (up to an additive constant).
- **Incomputable:** There is no algorithm that takes as input a message  $m$  and outputs its information content.



# Shannon's notion of information



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## Definition [Shannon]:

The “information content”  $S(m)$  of a “message”  $m$  is equal to the negative logarithm of its probability

$$\Pr[m], \text{ i.e., } S(m) = -\log_2 \Pr[m].$$

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## Examples

- (1)  $m$ : the lottery numbers
- (2)  $m$ : message whether you have won the lottery
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- (4)  $m$ : random bitstring of length  $n$

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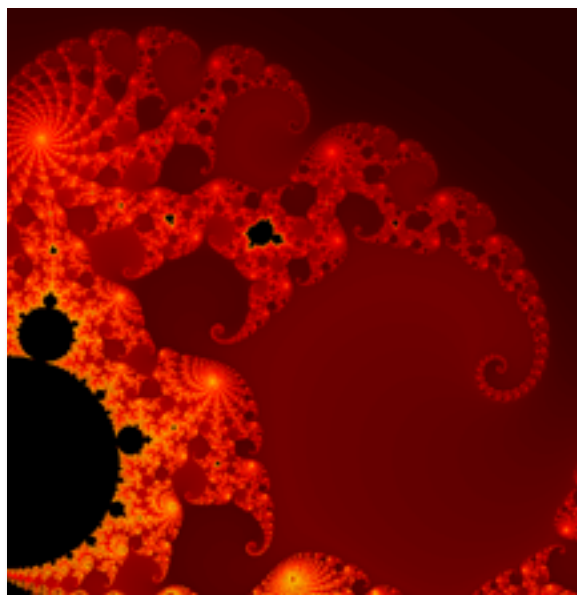
Some remarks on Shannon's definition of "information content":

- **Probabilistic definition:** Requires an underlying probability distribution  $P_M$  on the set of messages  $M$ .
- **Easily computable.**
- **Widely used** in modern information theory (in theory and practice).

# The idea of information compression

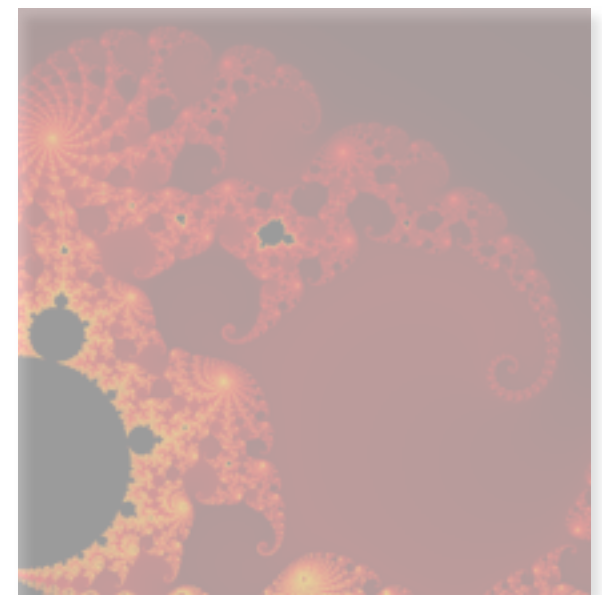
Suppose that we want to transmit the picture over a communication channel with limited capacity.

Sender



1000 bit channel

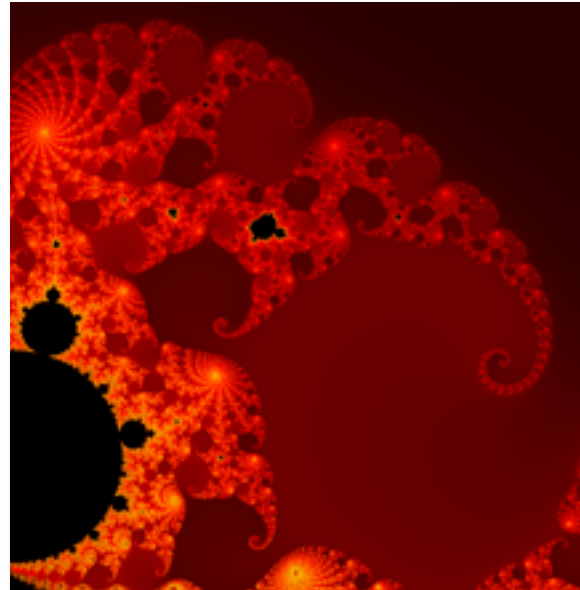
Receiver





# The idea of information compression

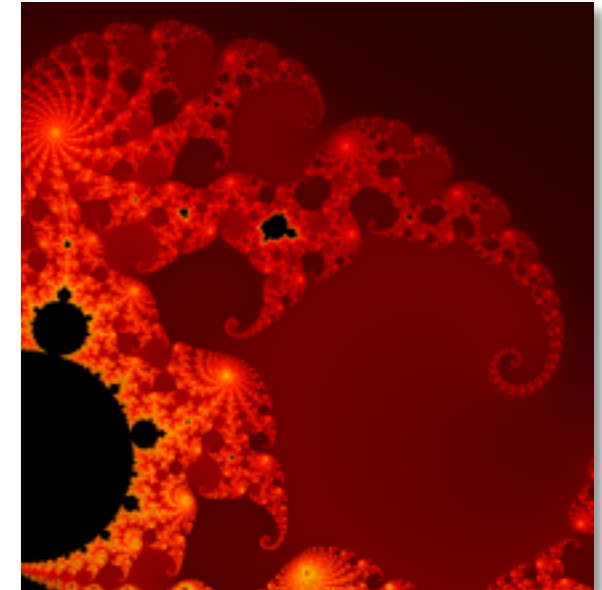
Sender



Compression

```
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  plot(x0,y0,color)  }
```

Receiver

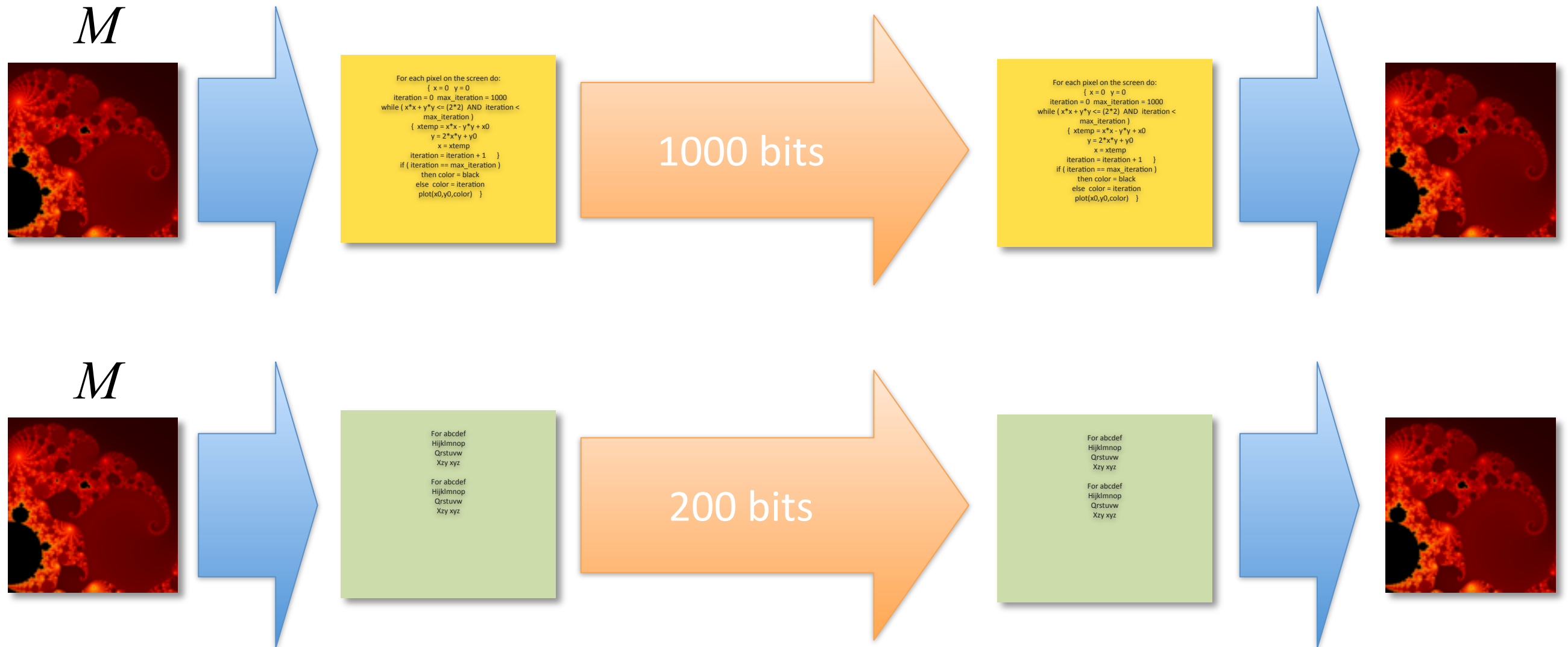


Decoding

```
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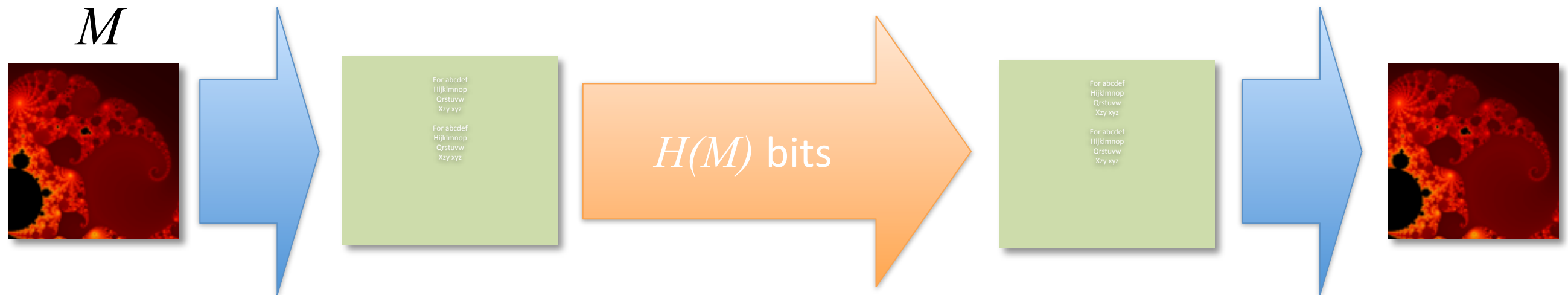
1000 bit channel

# The idea of information compression



Quantify information content of a message  $M$  by the size (in # bits) of the minimal compression.

# Shannon entropy and compression

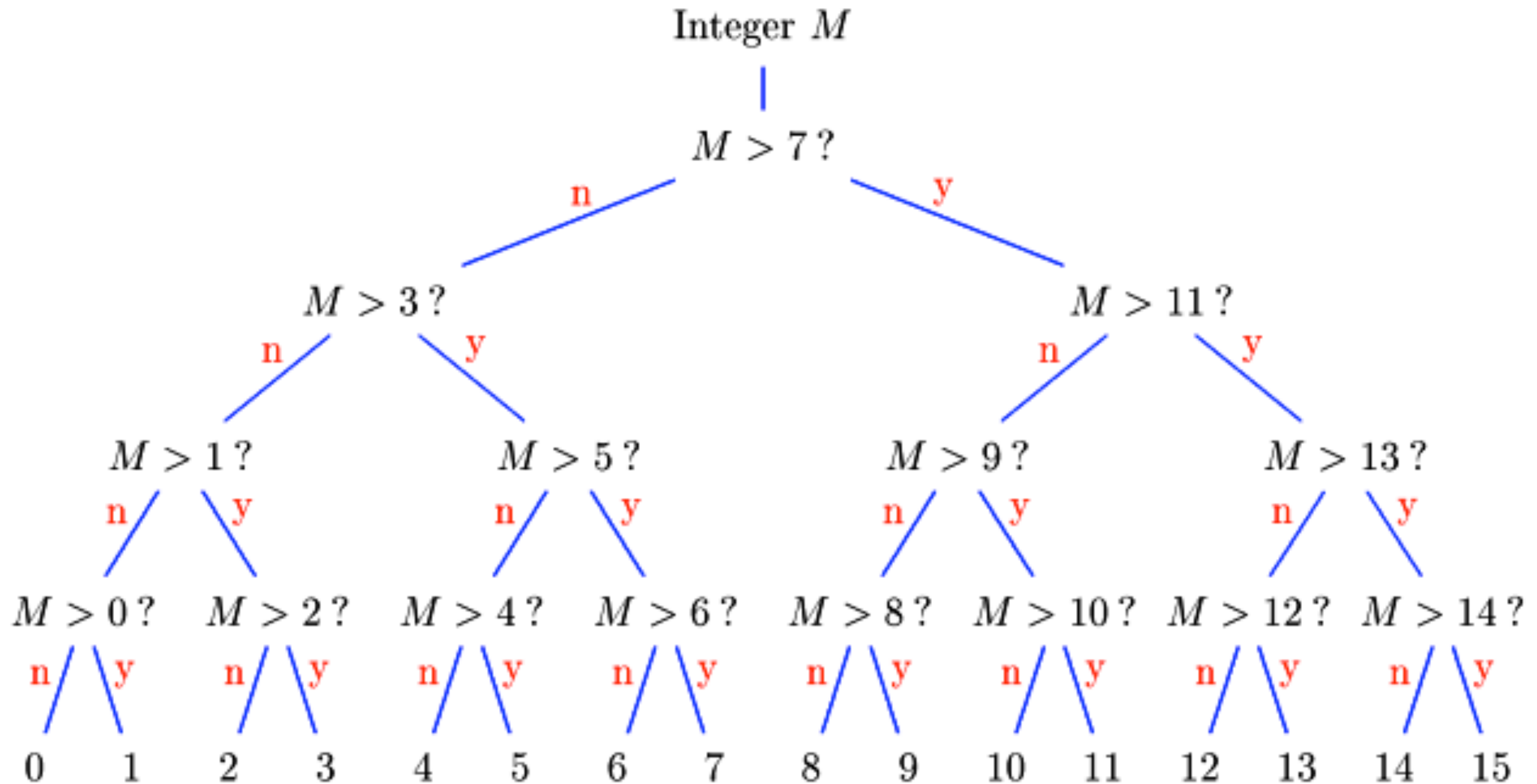


$$H(M) = - \sum_m p_m \log_2 p_m$$

## Theorem [Shannon 1948]

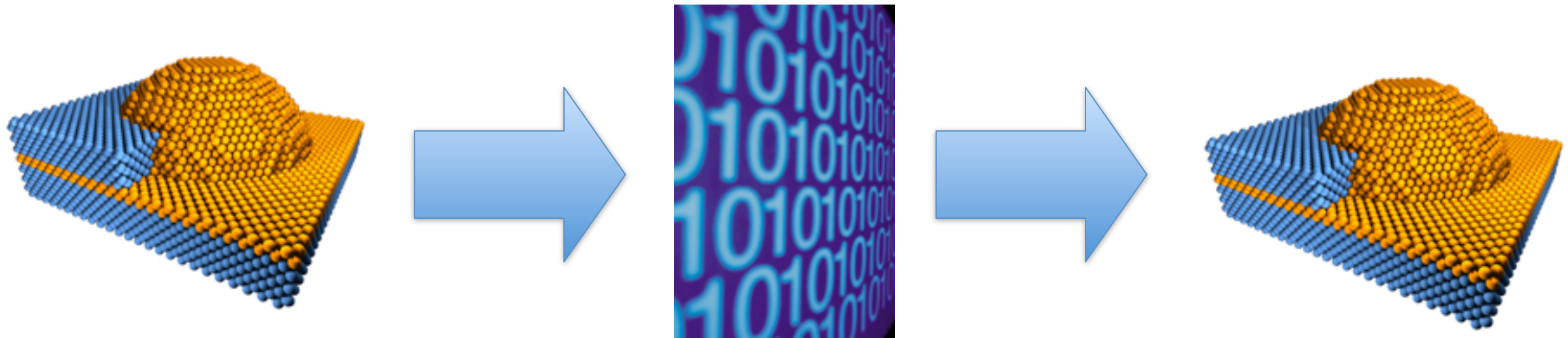
The Shannon entropy  $H(M)$  corresponds to the minimum (average) compression length of  $M$ .

# Compression according to Shannon

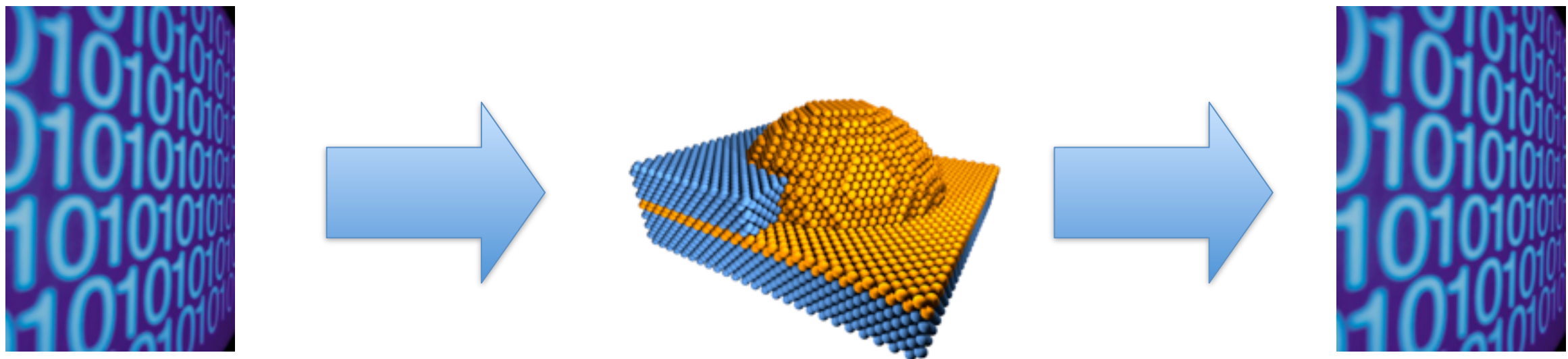


# Operational relevance

- Given a physical object, how much information is required to describe it?



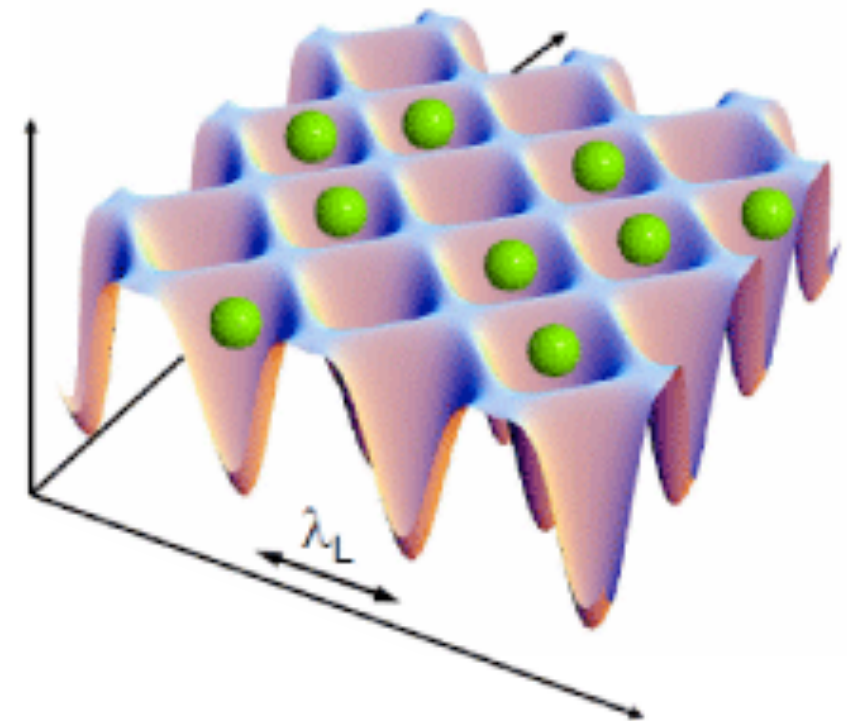
- Given a physical device, what is the maximum amount of information that can be stored reliably?





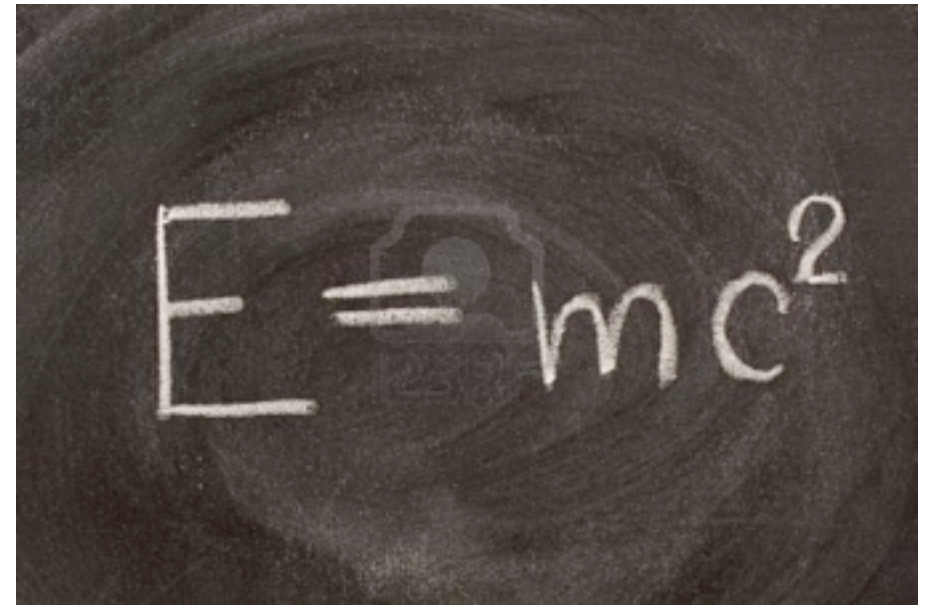
# Why are such questions interesting?

- Technological applications (information processing and transmission)
- Simulatability of physical systems



# Why are such questions interesting? (cont'd)

- Development of physical theories
- Used in other areas of science (biology, finances, linguistics, ...)



# Linking Quantum Physics and Information Theory

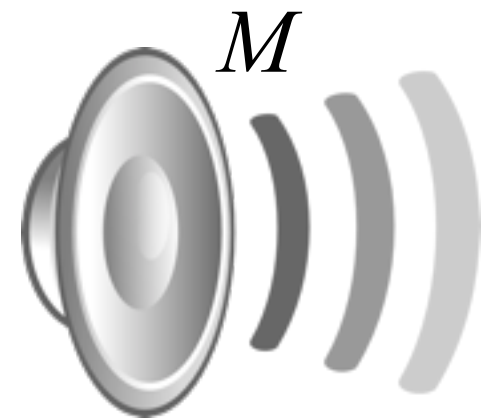
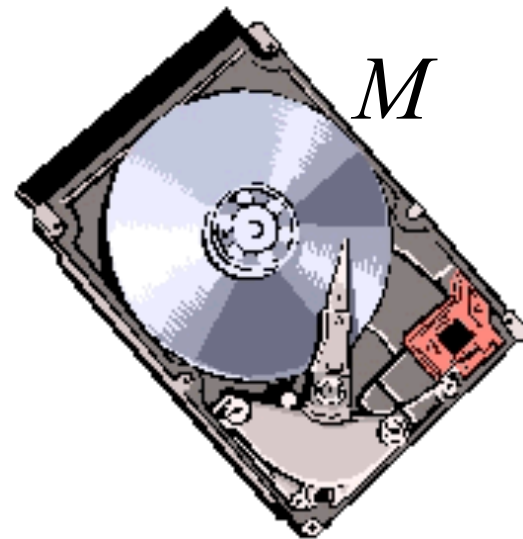
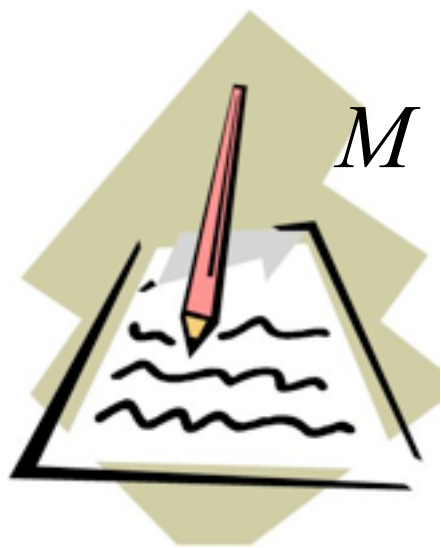
# Information is physical

- Rolf Landauer:  
“information is always represented by the state of a physical system”.
- If information is represented by a quantum system then it is by definition “quantum information”.



# Independence of information carriers

According to Shannon's theory, information is independent of the "physical information carriers".



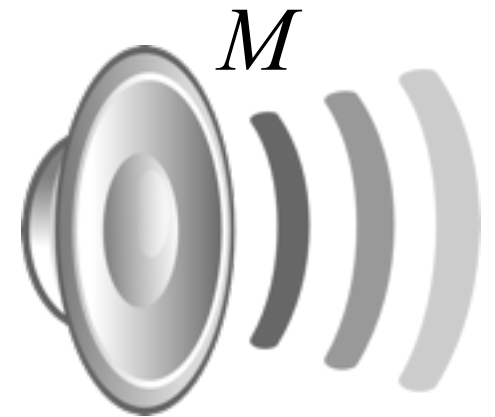
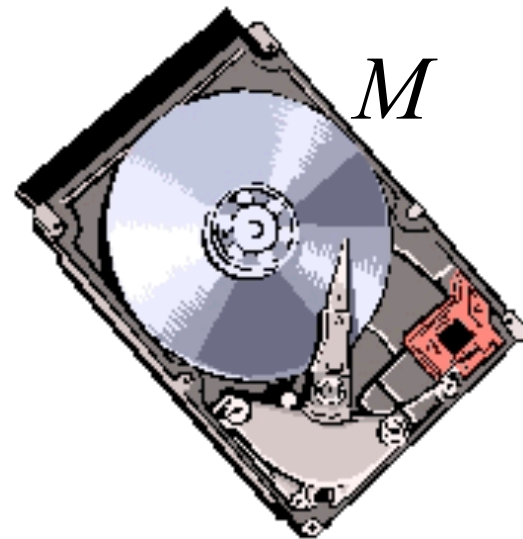
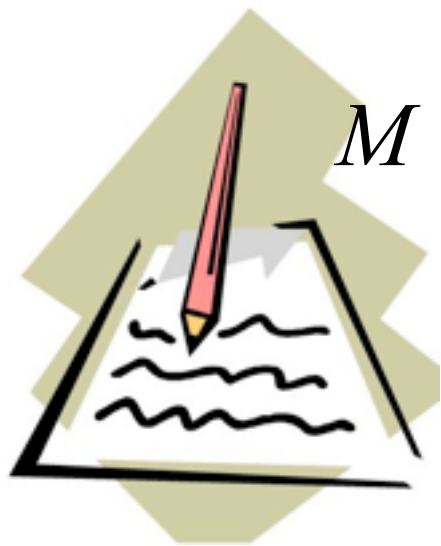
## Representation of a message $M$

Each value  $M=m$  is represented by a different physical state of the system.



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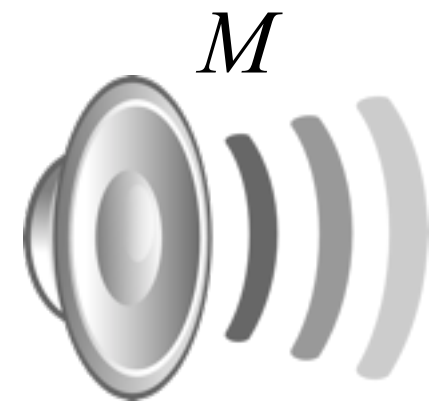
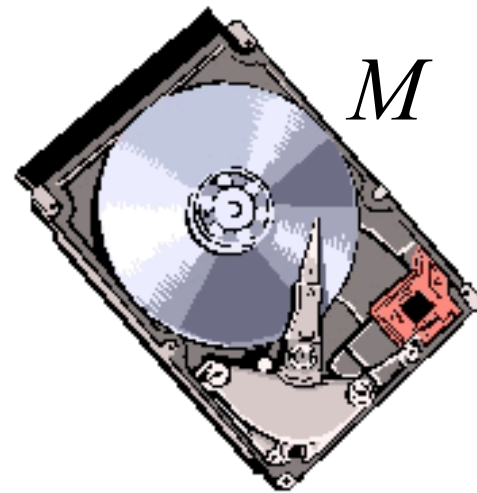


## Representation of a bit

Each value of a bit ("0" or "1") is represented by two different (perfectly distinguishable) states of the information carrier.

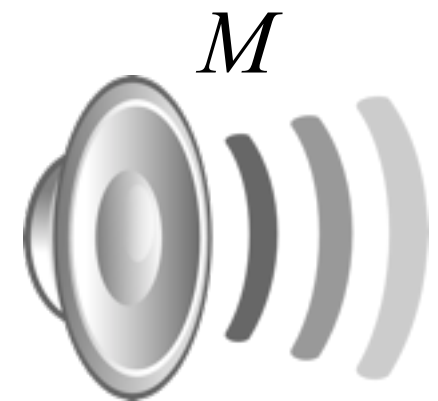
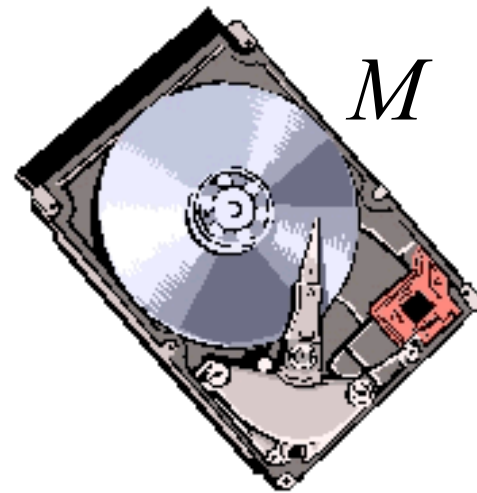
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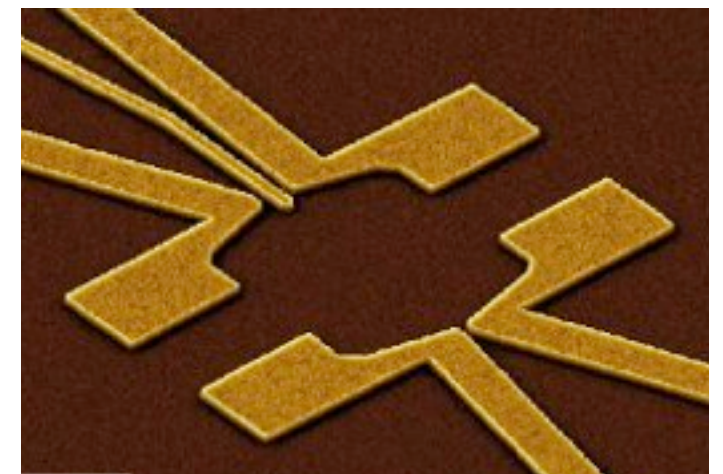
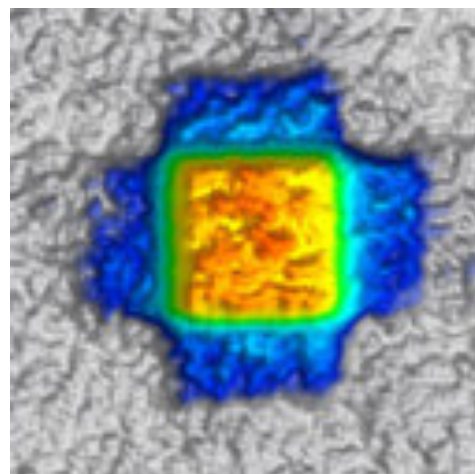
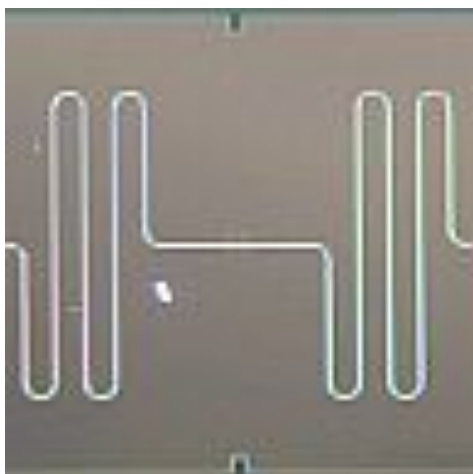


# Independence of information carriers?

According to Shannon's theory, information is independent of the “physical information carriers”.



But does this paradigm also apply to information stored in quantum devices?



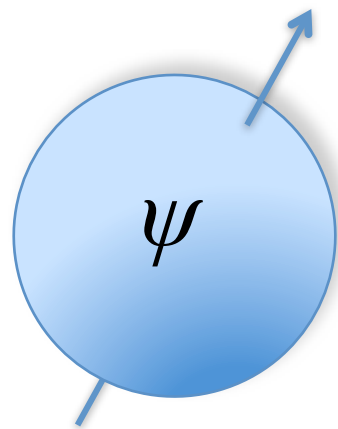
# Classical information

Classically, information may always be represented as a sequence of binary numbers (the bits).

0 - 1

# Quantum information

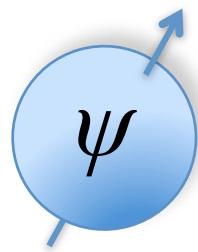
Quantum information is represented as the state of a quantum system, such as the polarization degree of freedom of a photon.





# Qubit

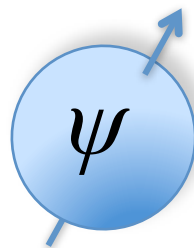
Although the smallest possible unit of quantum information is a that represented on a two-level system (a qubit), there is a continuum of states.



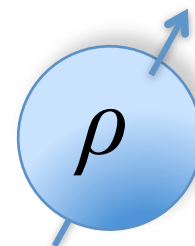
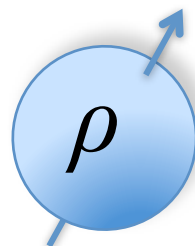
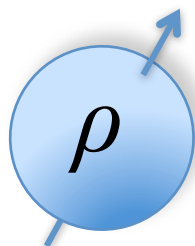
*The state of a qubit is generally represented as a vector in  $\mathbb{C}^2$ .*

# Qubits

The state of a *single* system is specified by a 2-dimensional vector  $\psi \in \mathbb{C}^2$

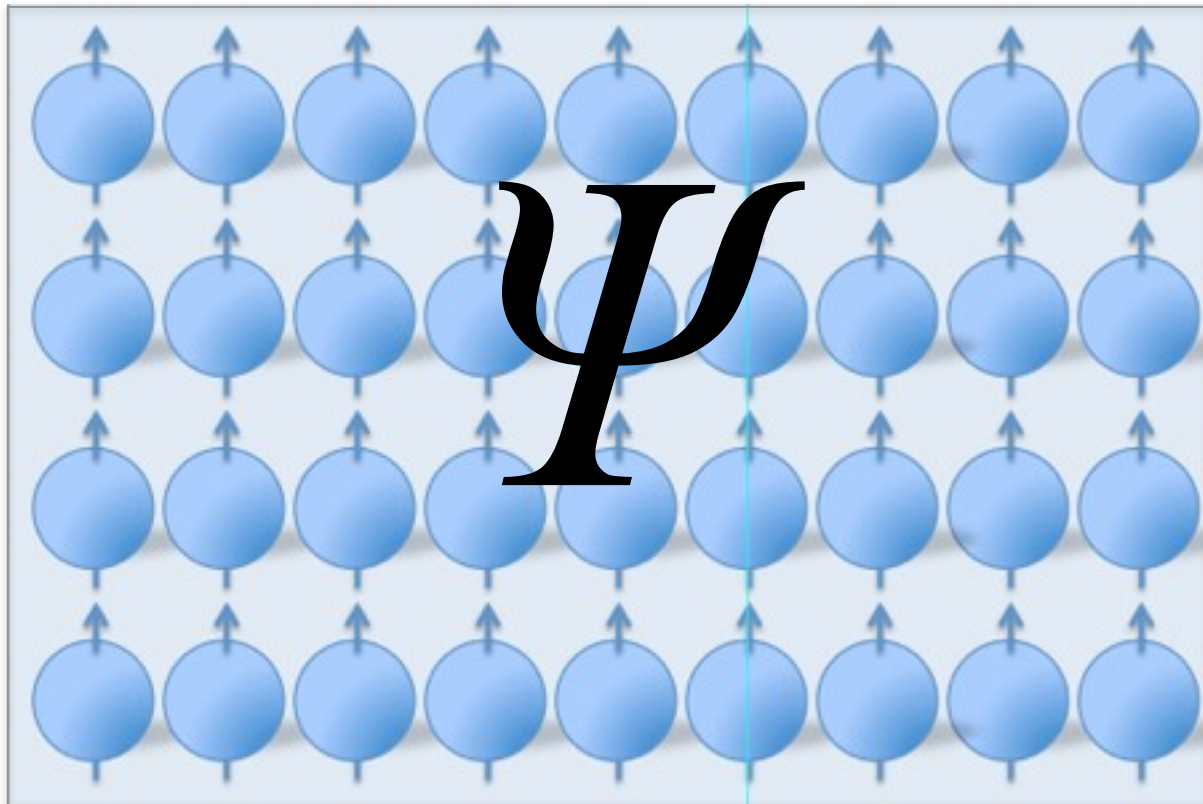


The state of  $n$  qubits is specified by a  $2^n$ -dimensional vector  $\psi \in \mathbb{C}^{2^n}$



# Comparison: bits vs qubits

36 qubits

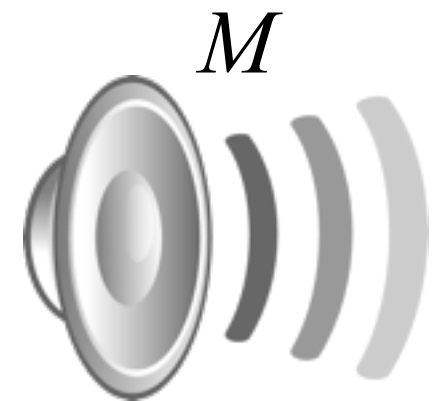
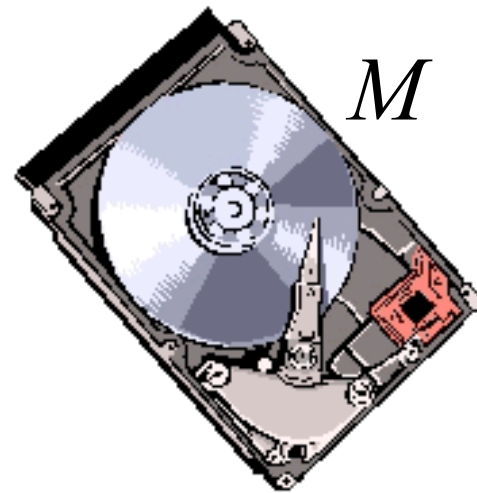


$2^{36}$  coordinates  
> 100 GByte

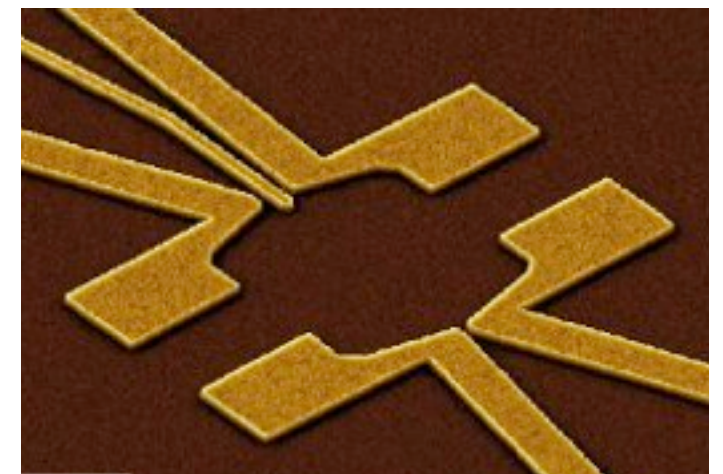
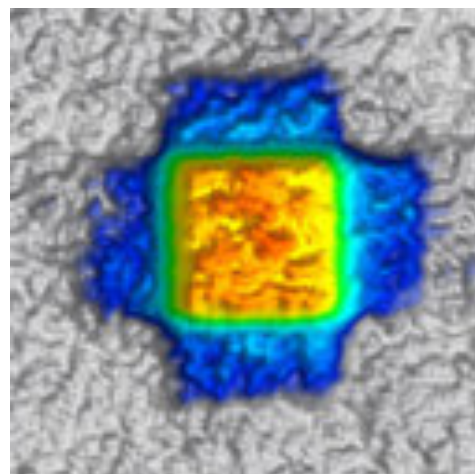
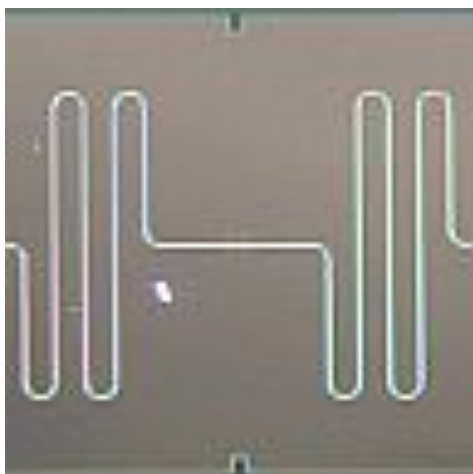


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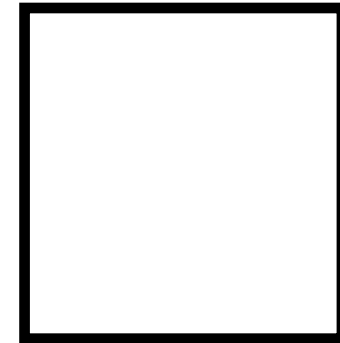
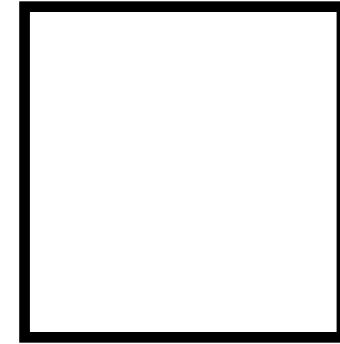
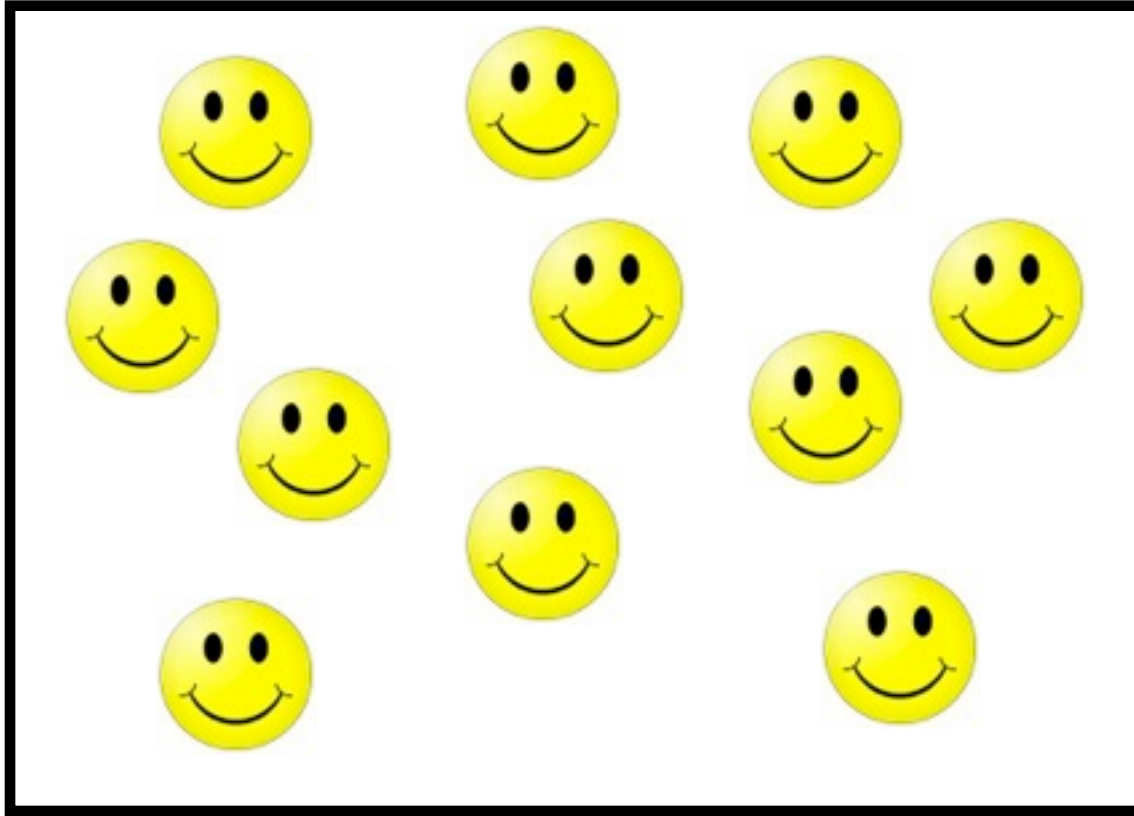
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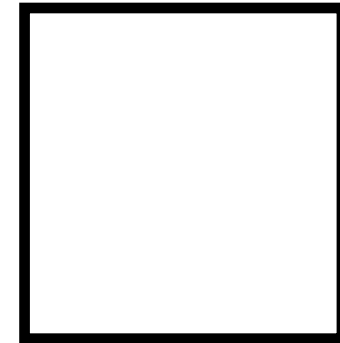
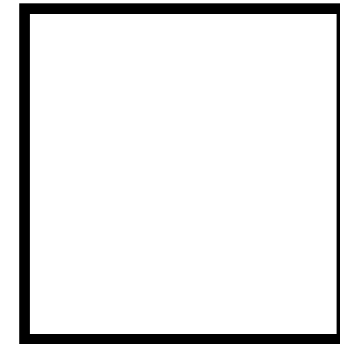
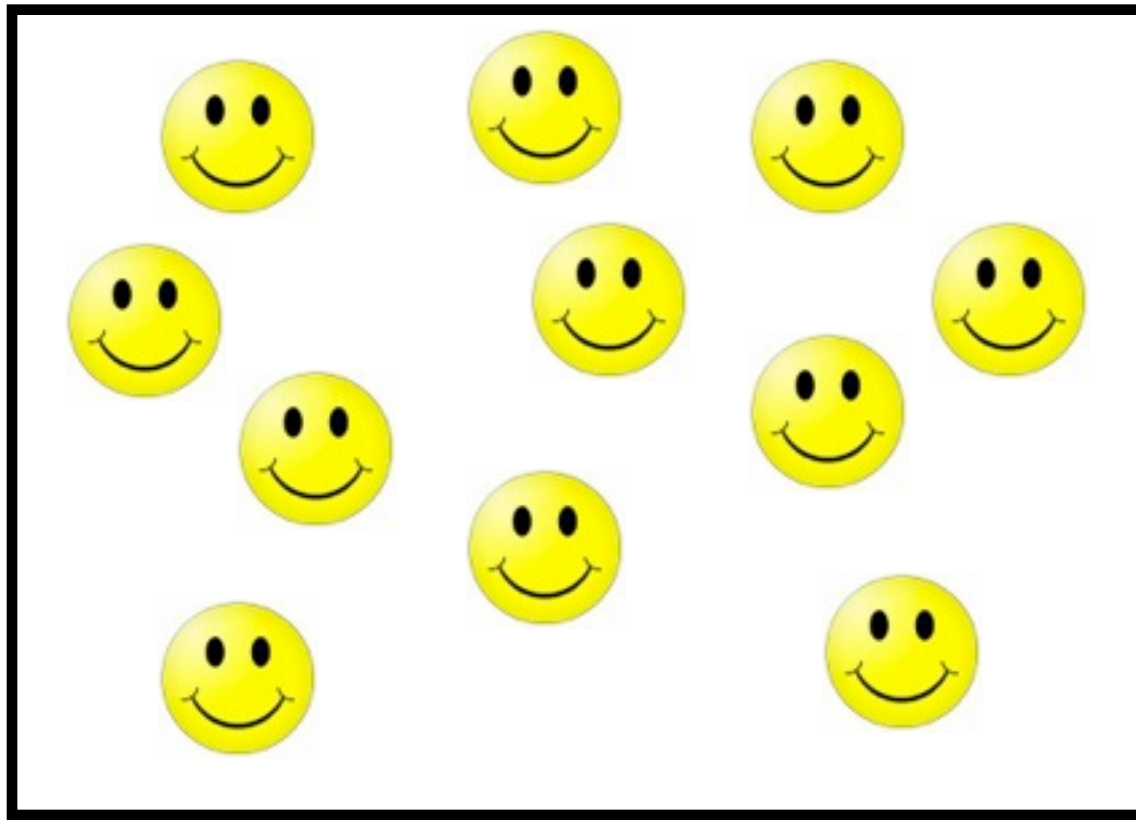


# Toy example



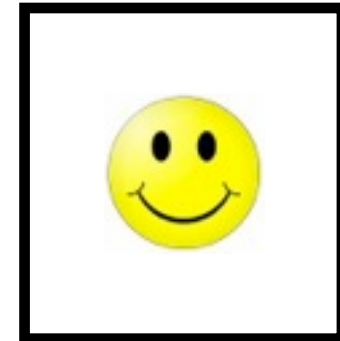
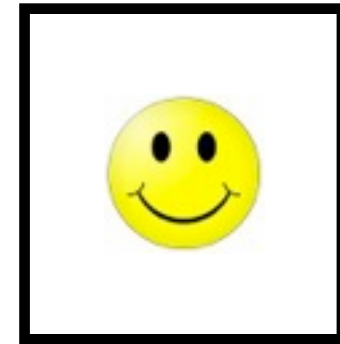
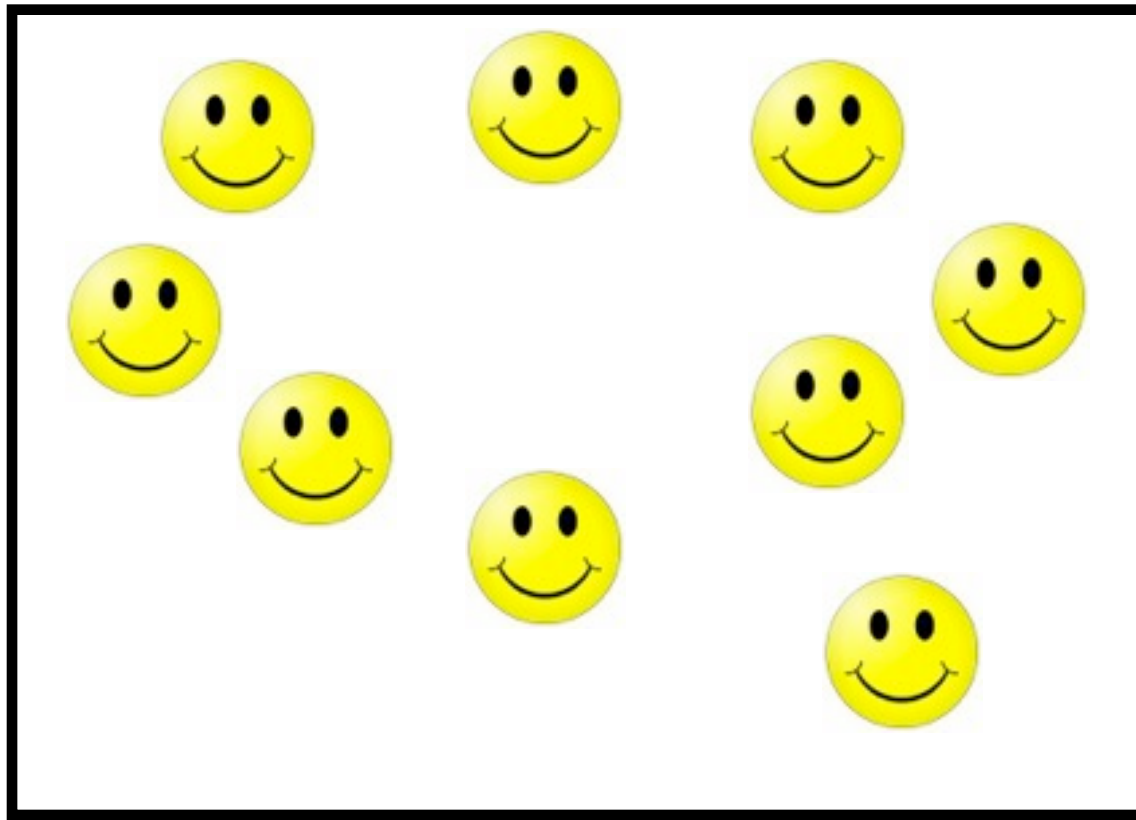


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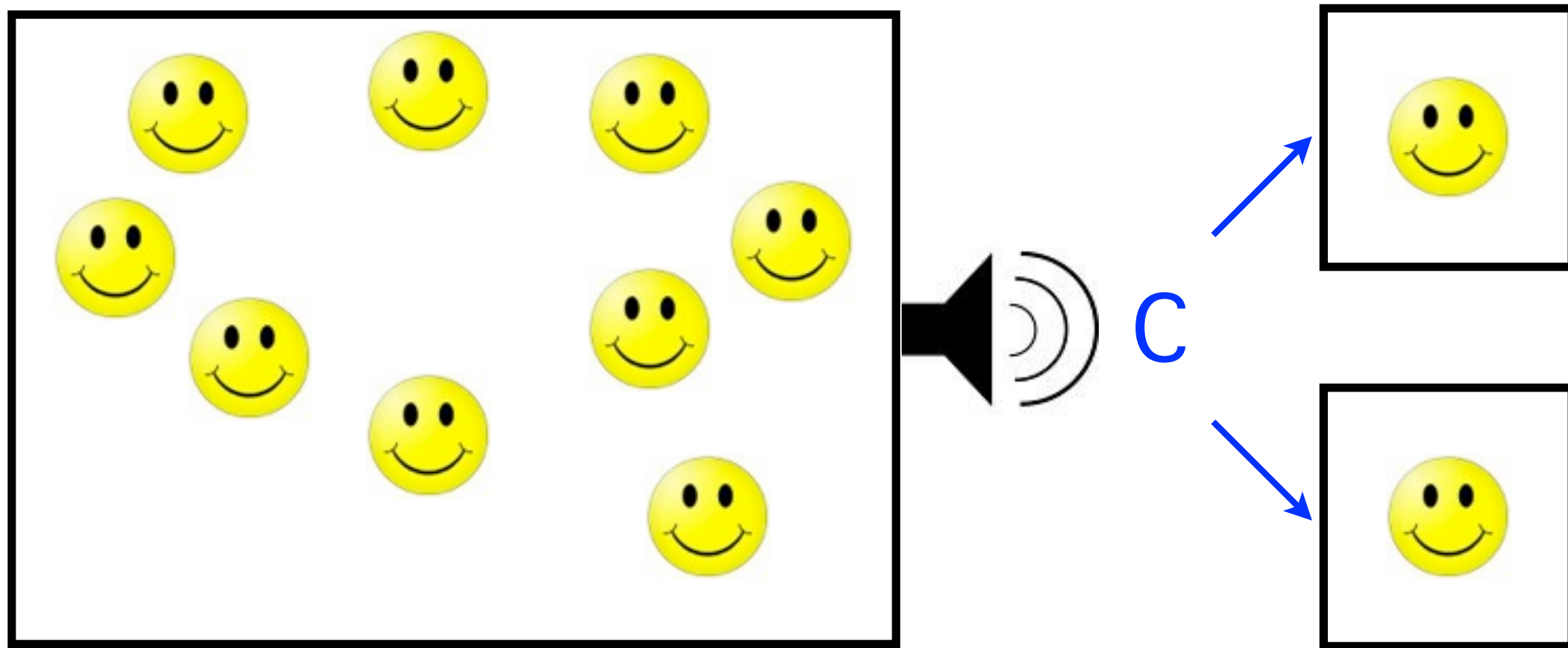
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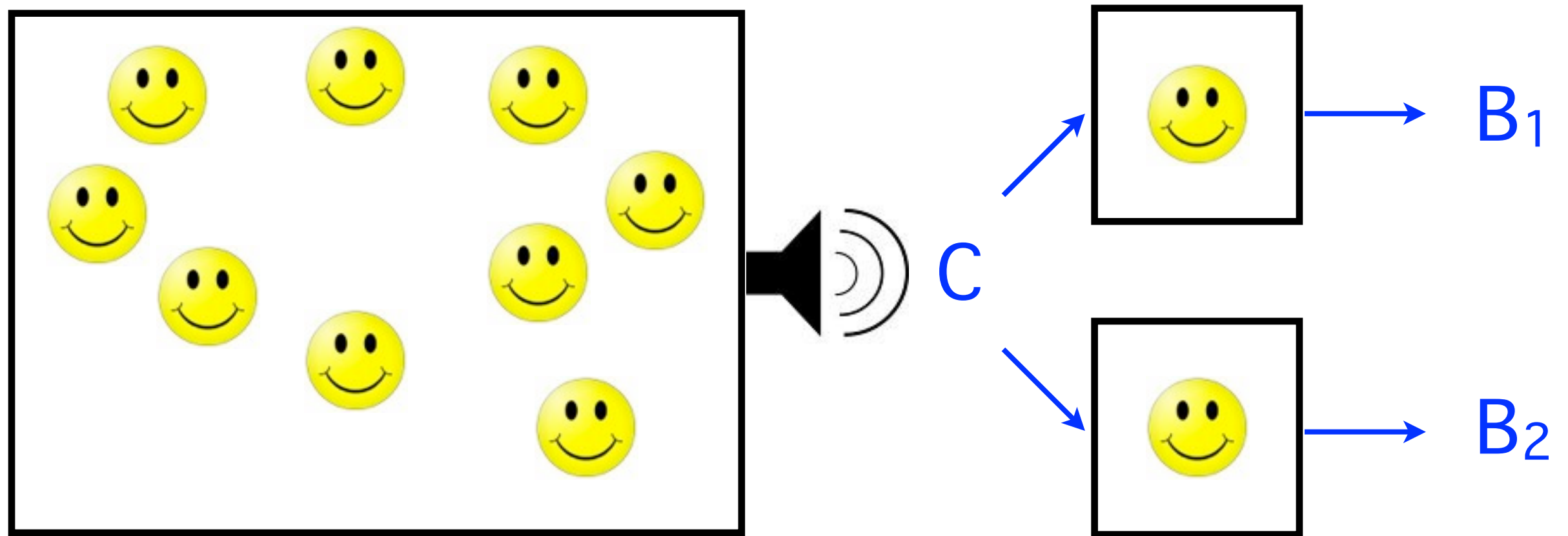
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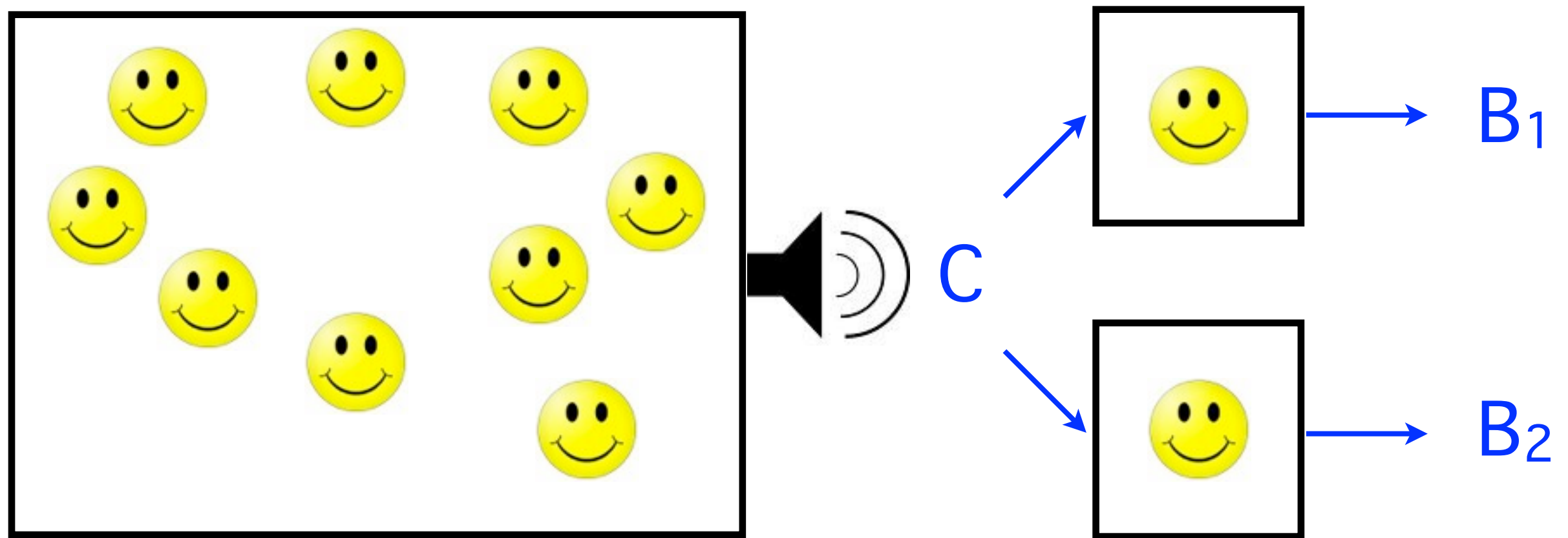
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Game is won if  $B_1 \neq B_2$ .

# Maximum winning probability

Strategies	$B=0$	$B=1$	$B=C$	$B=1-C$
------------	-------	-------	-------	---------



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- Hence, the game is lost with probability (at least)  $1/4$ .

# What did we prove?

## Claim

For any possible strategy, the game is lost with probability at least  $\approx 1/4$ .

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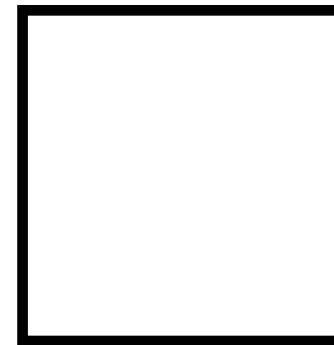
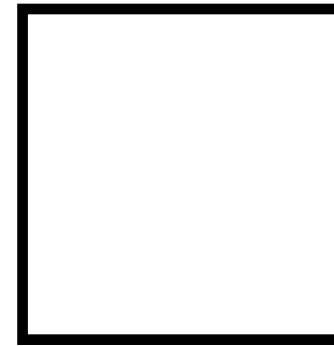
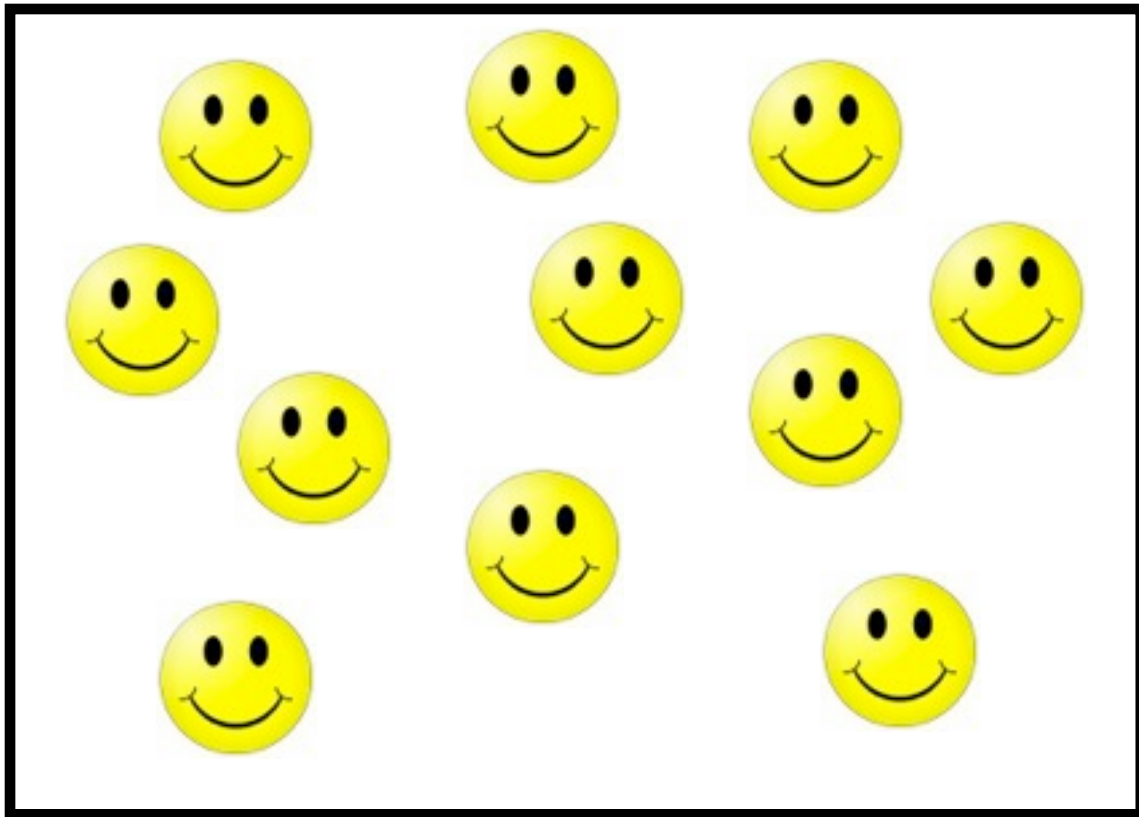
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## Additional implicit assumption

All information is encoded and processed  
classically.



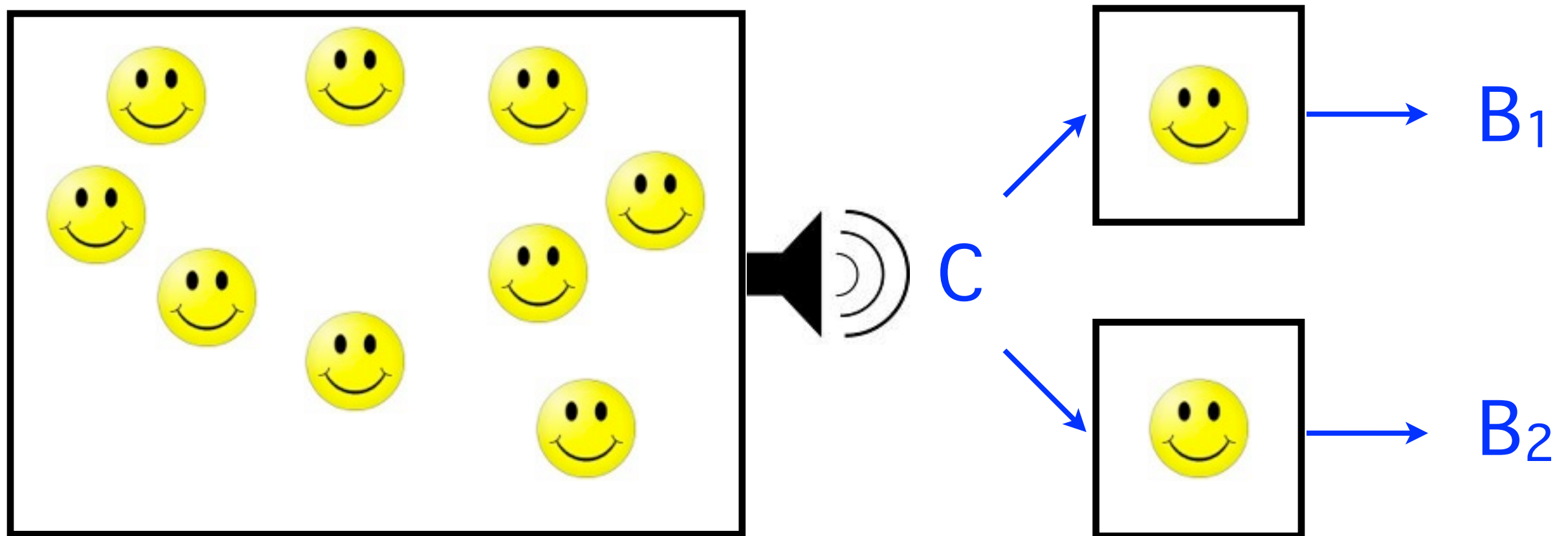
# Quantum strategies are stronger



The game can be won with probability **1** if the players can use an **internal** quantum device.

**Note:** all communication during the game is still **purely classical**.

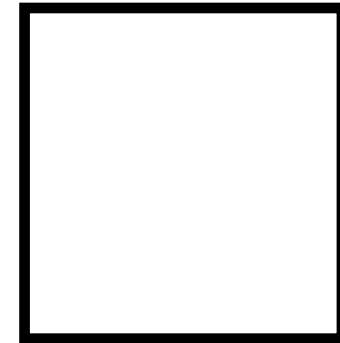
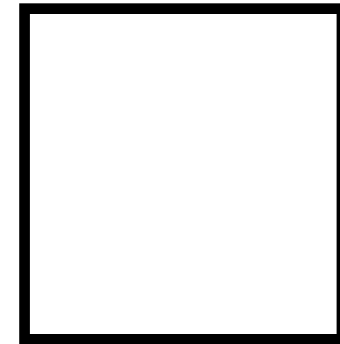
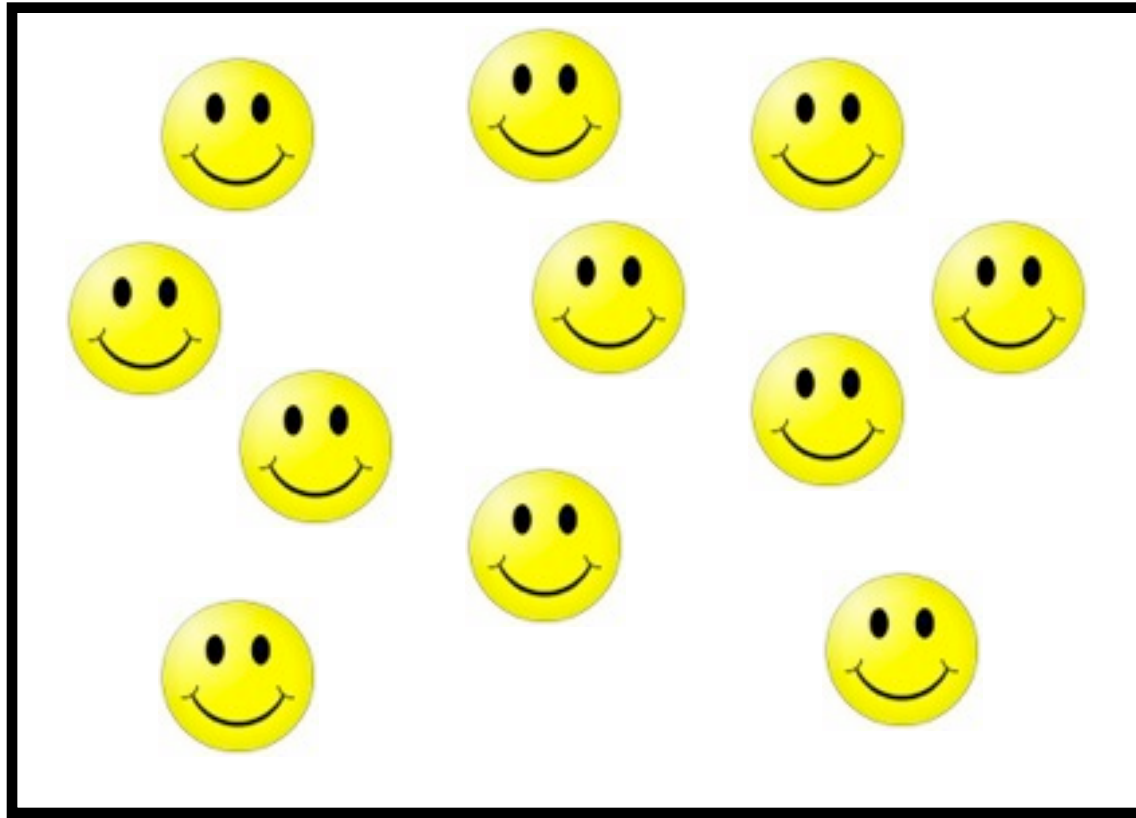
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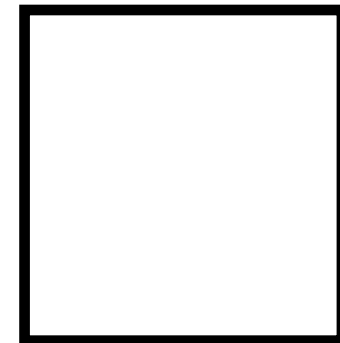
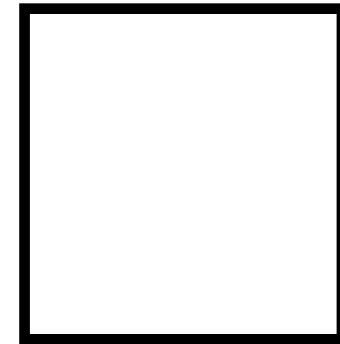
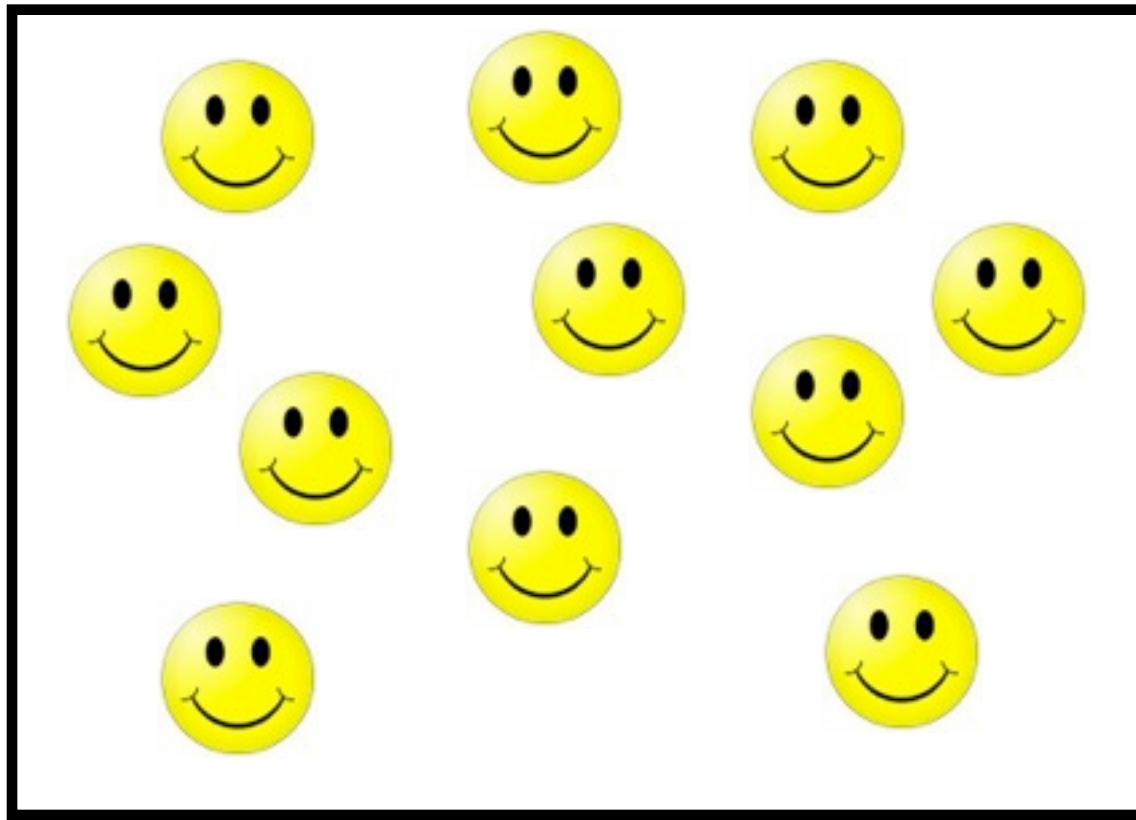
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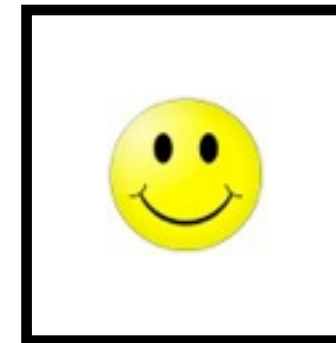
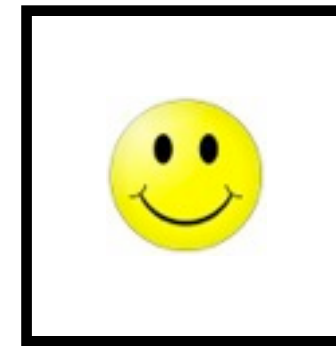
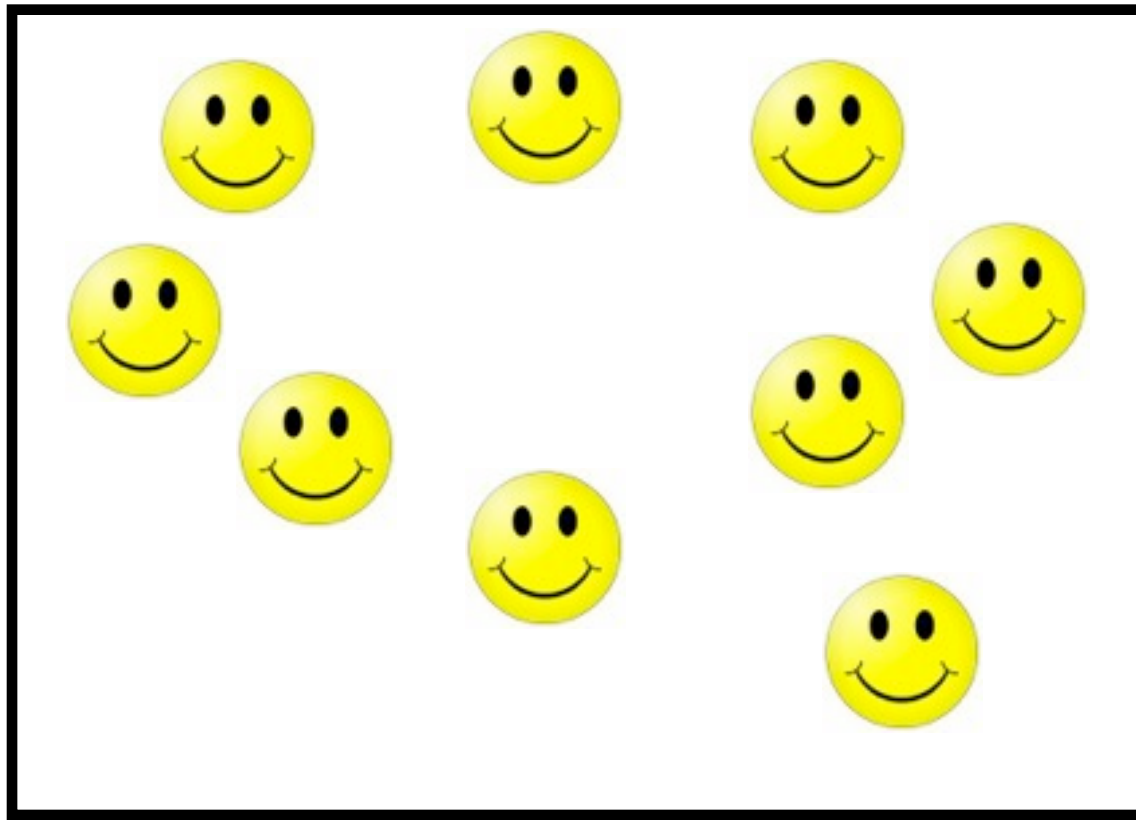


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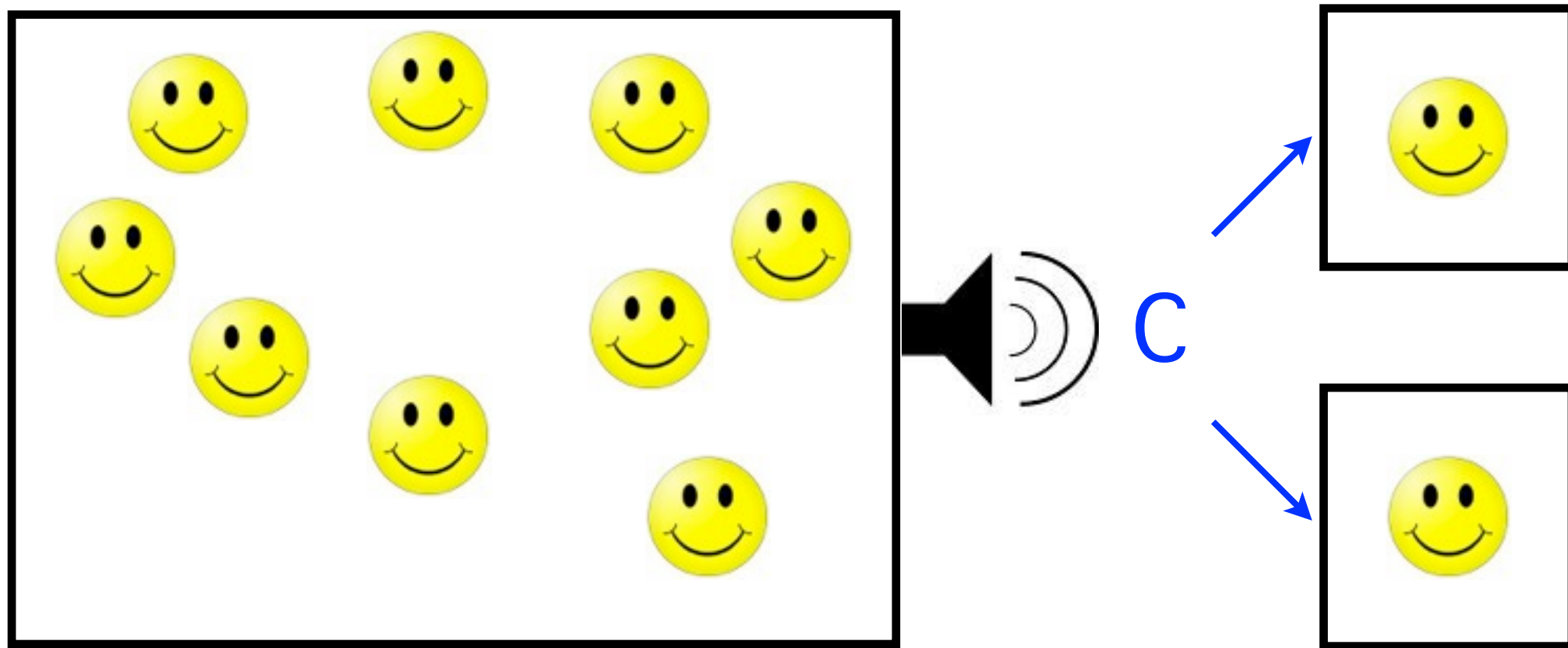
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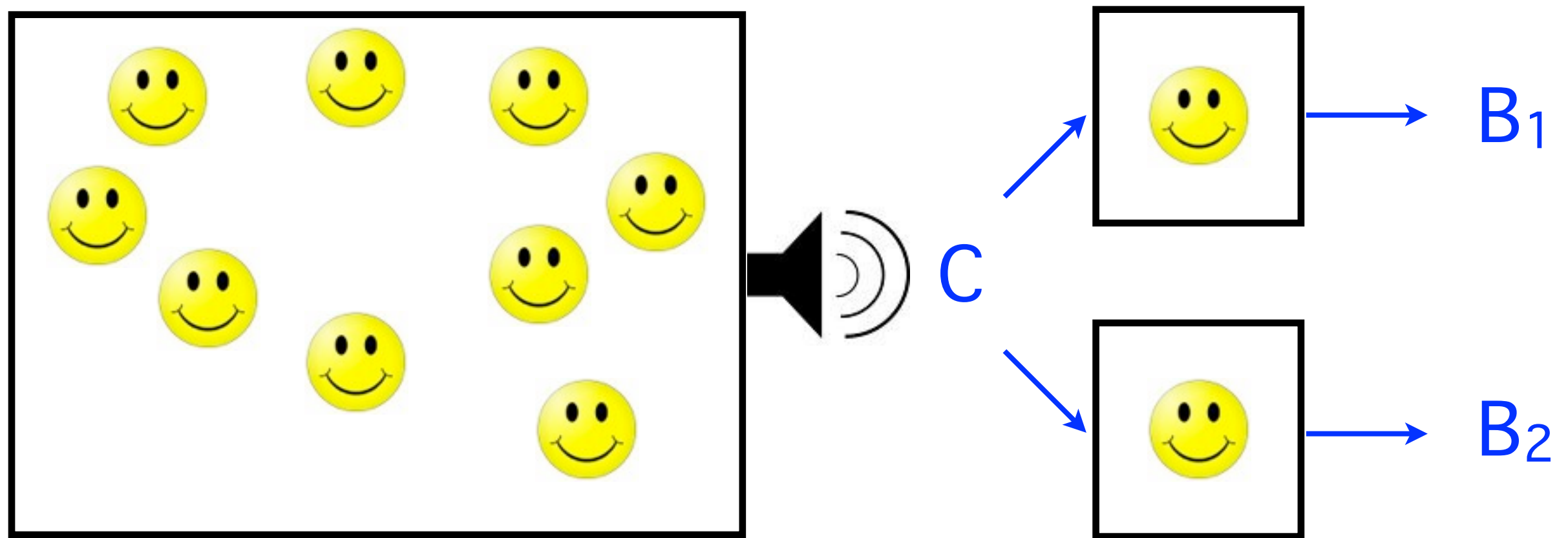
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4. separated players determine  $B_1$  and  $B_2$  by measuring in either the diagonal or the circular basis, depending on  $C$ .

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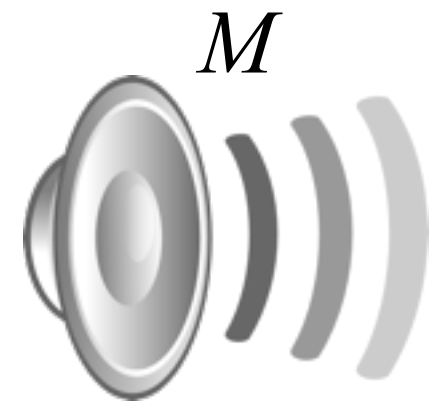
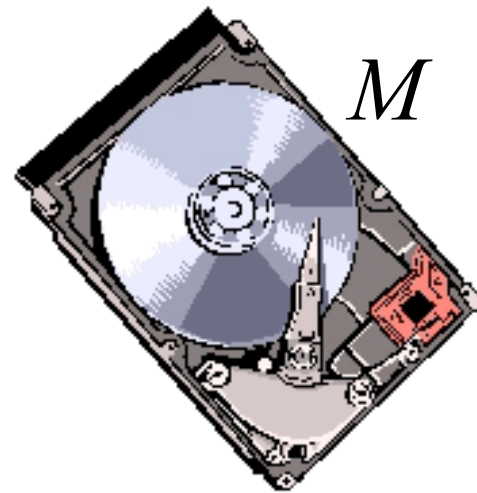
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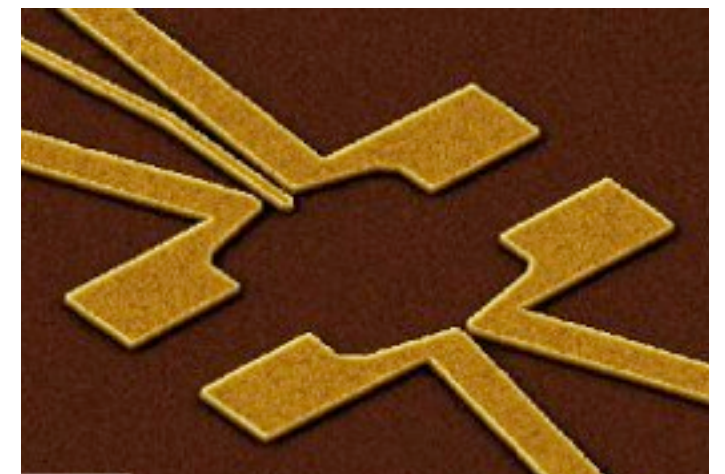
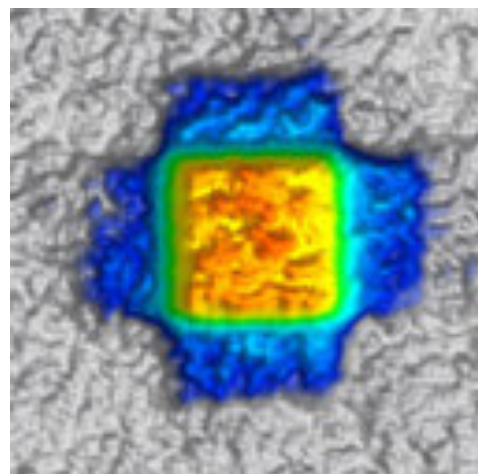
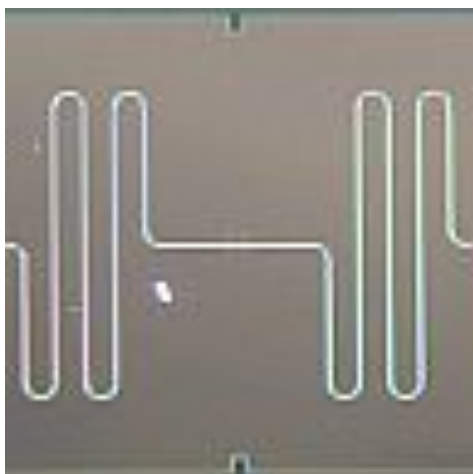
It is, in general, unavoidable to take into account quantum effects.

# Independence of information carriers?

According to Shannon's theory, information is independent of the "physical information carriers".

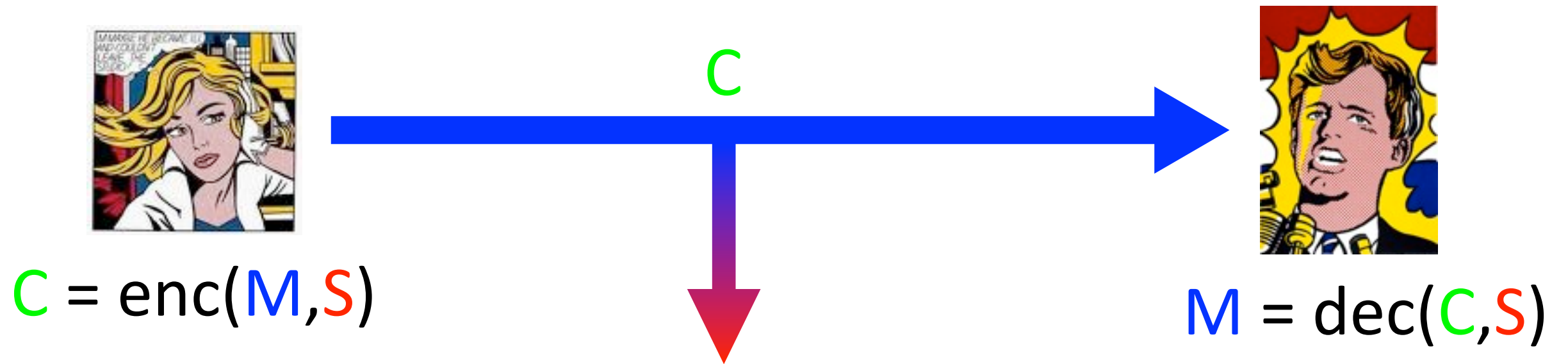


But does this paradigm also apply to information stored in quantum devices? **No!**





# Shannon's “impossibility result”



## Theorem

For information-theoretically secure encryption, the key  $S$  needs to be at least as long as the message  $M$ .

In particular, *One-Time-Pad encryption* is optimal.

# Proof of Shannon's theorem

Let  $M$  be a uniformly distributed  $n$ -bit message,  $S$  a secret key, and  $C$  the ciphertext.

## Requirements

- $H(M | SC) = 0$ , since  $M$  is determined by  $S, C$ .
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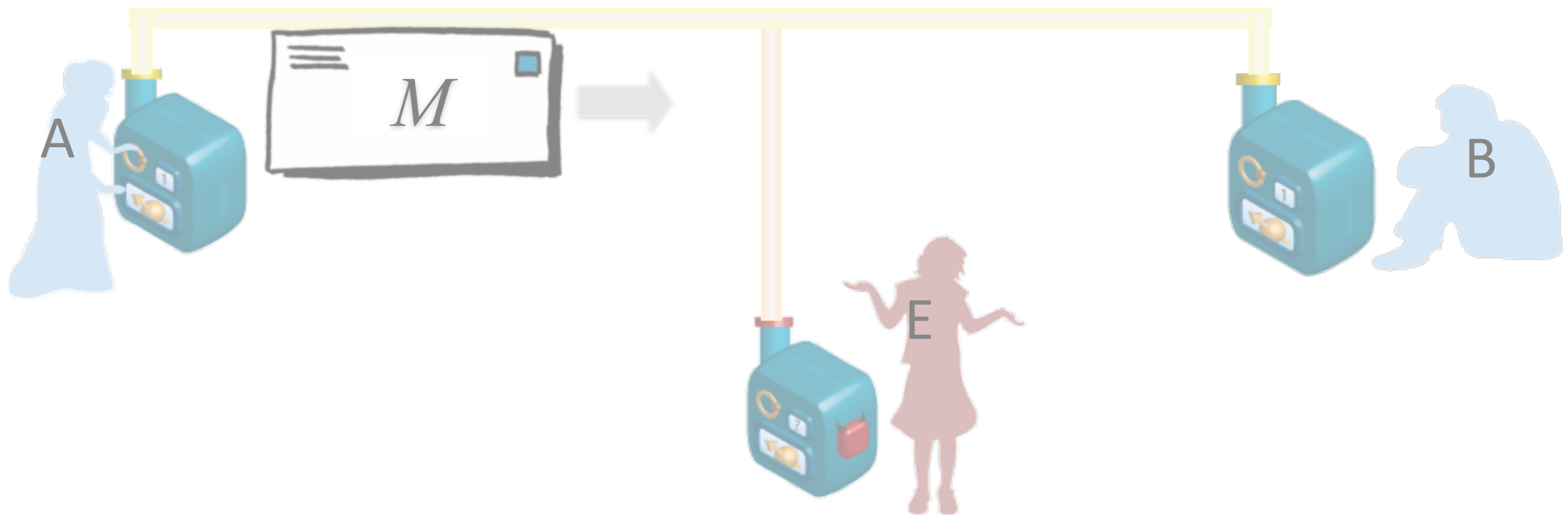
Hence

$$H(S) \geq I(M : S | C) = H(M | C) - H(M | SC) = n.$$

# Shannon's impossibility result

## Theorem [Shannon, 1949]

Two parties connected via an insecure channel cannot exchange any messages secretly (even if they have methods for authentication).



# Bennett and Brassard's possibility result



C.H. Bennett

[Photo: ETH Zurich]



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If information cannot be cloned, then it can also not be stolen (without leaving traces).

# Bennett and Brassard's possibility result



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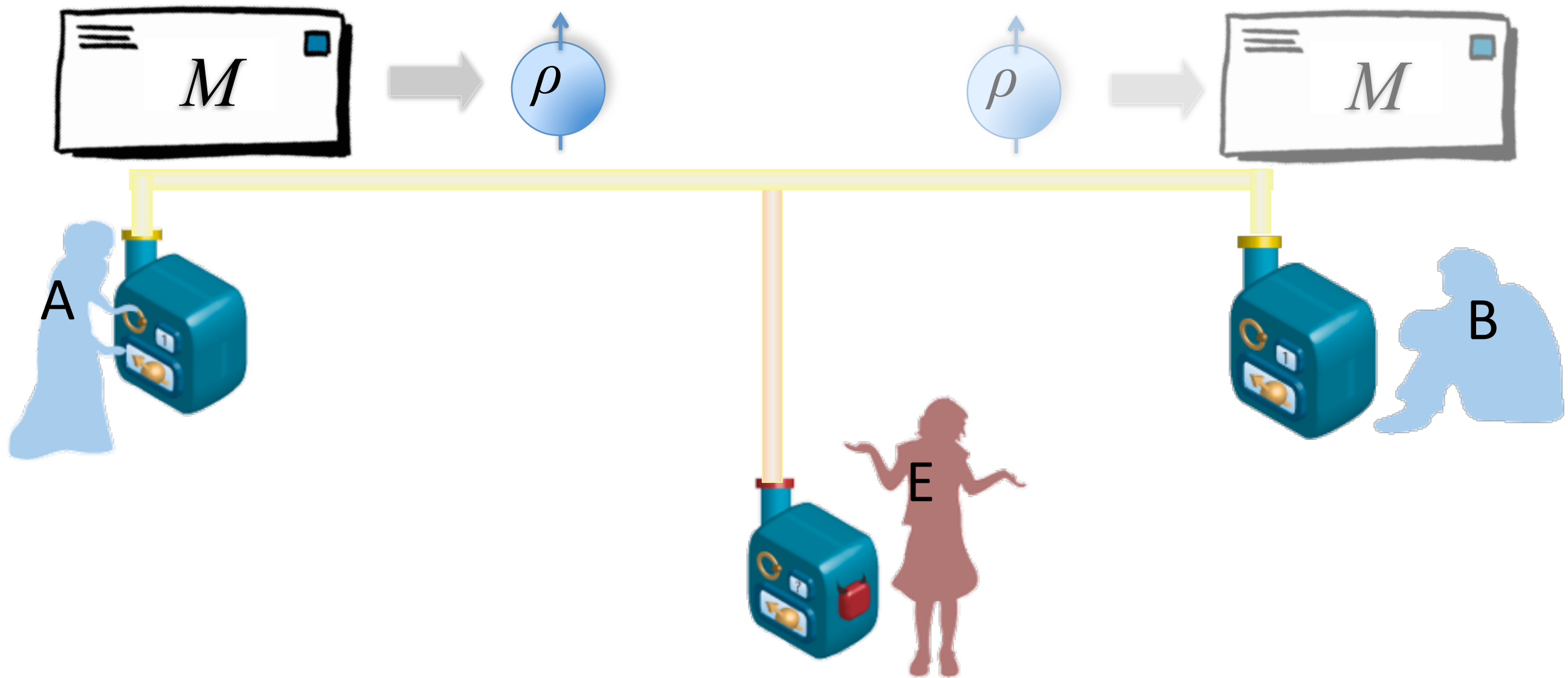
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This was the invention of quantum cryptography.



# Quantum cryptography

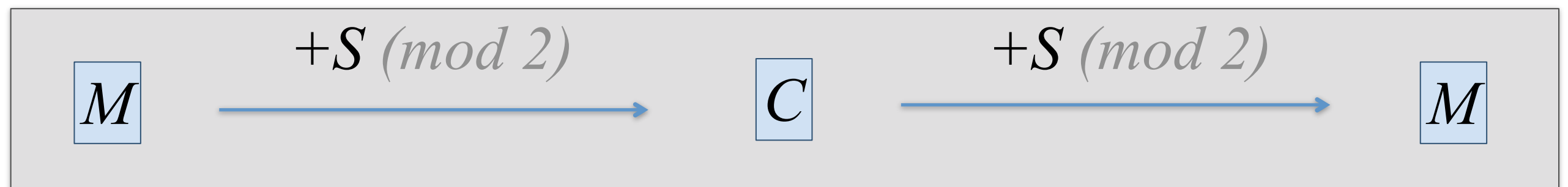
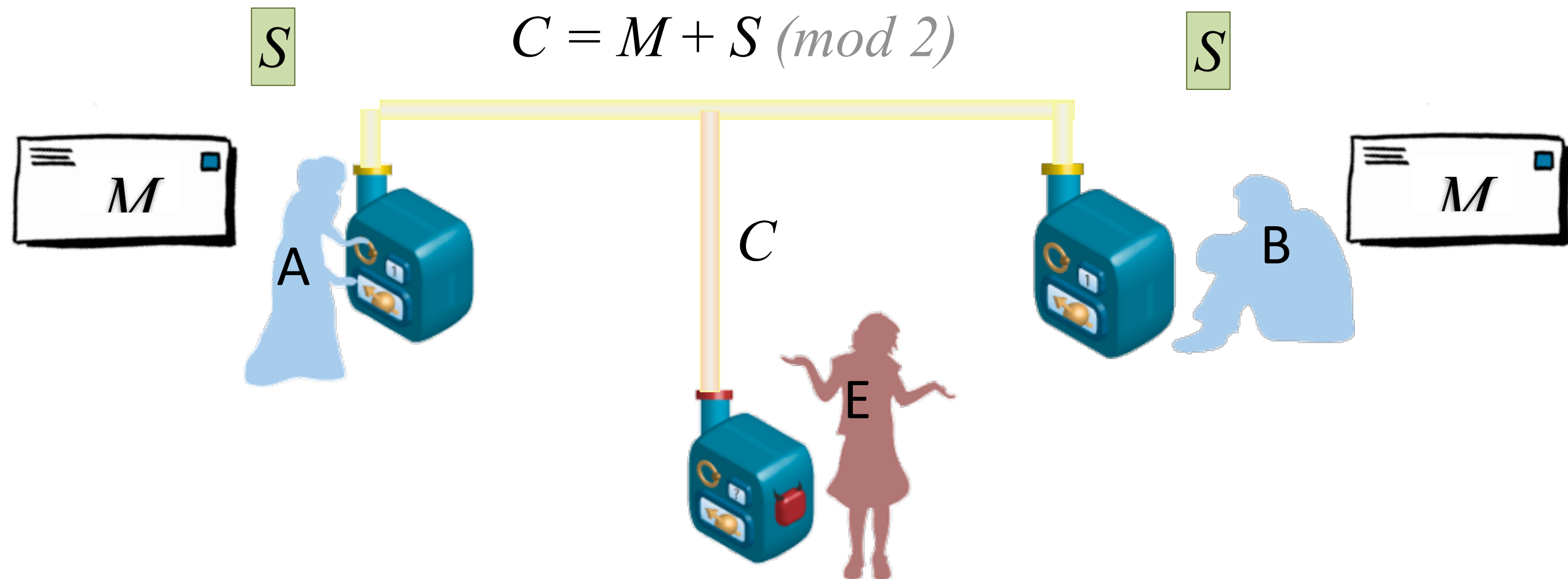


Idea: Use no-cloning principle to verify secrecy.



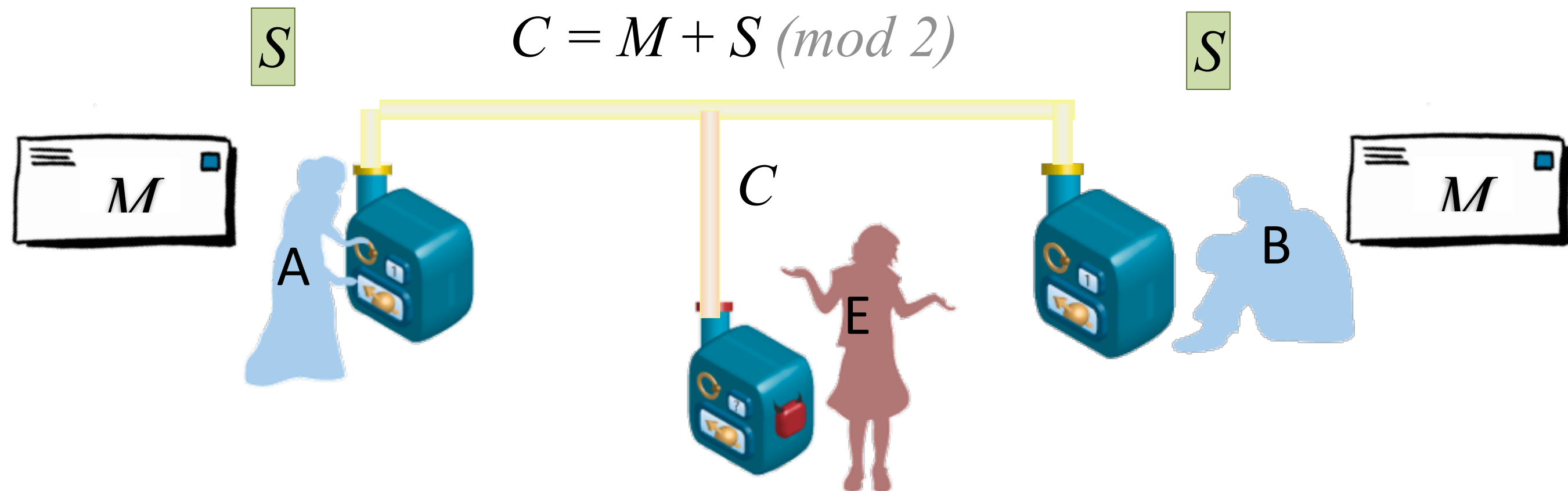
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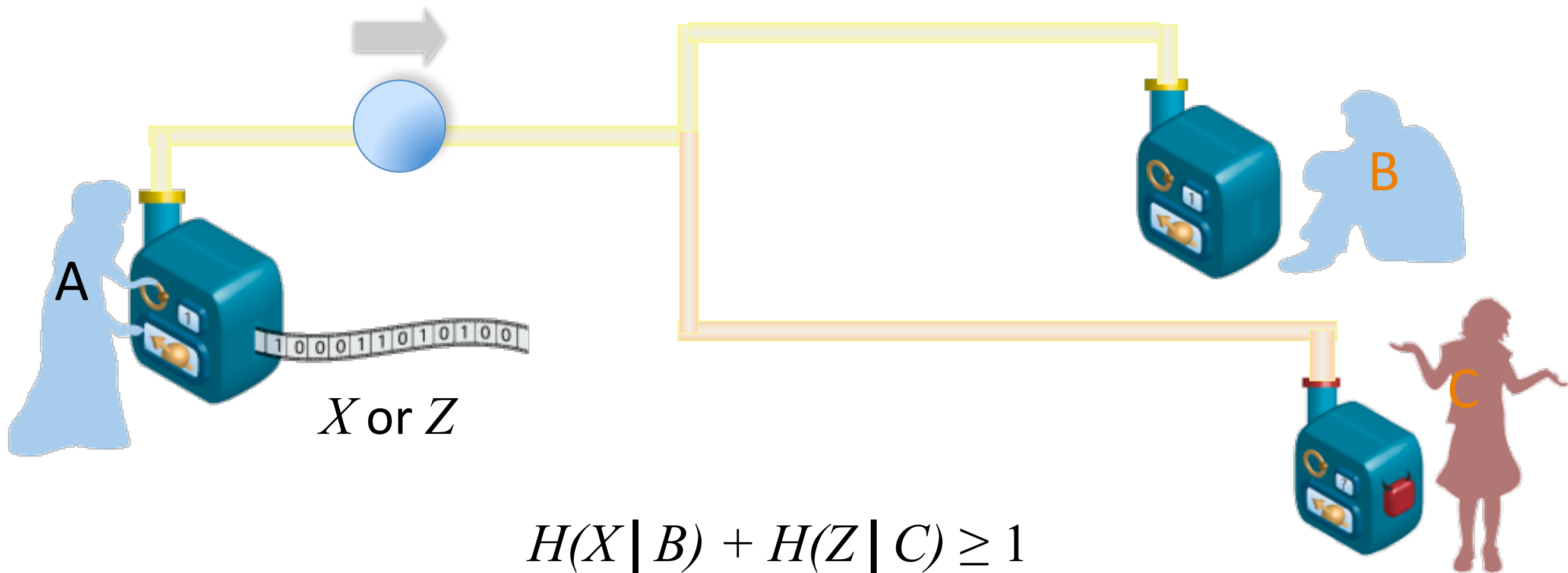
Let  $M \in \{0,1\}$  be a message bit and  $S \in \{0,1\}$  a “key bit”.



## Theorem

If  $S$  is uniformly distributed then  $C$  is uncorrelated to  $M$ .

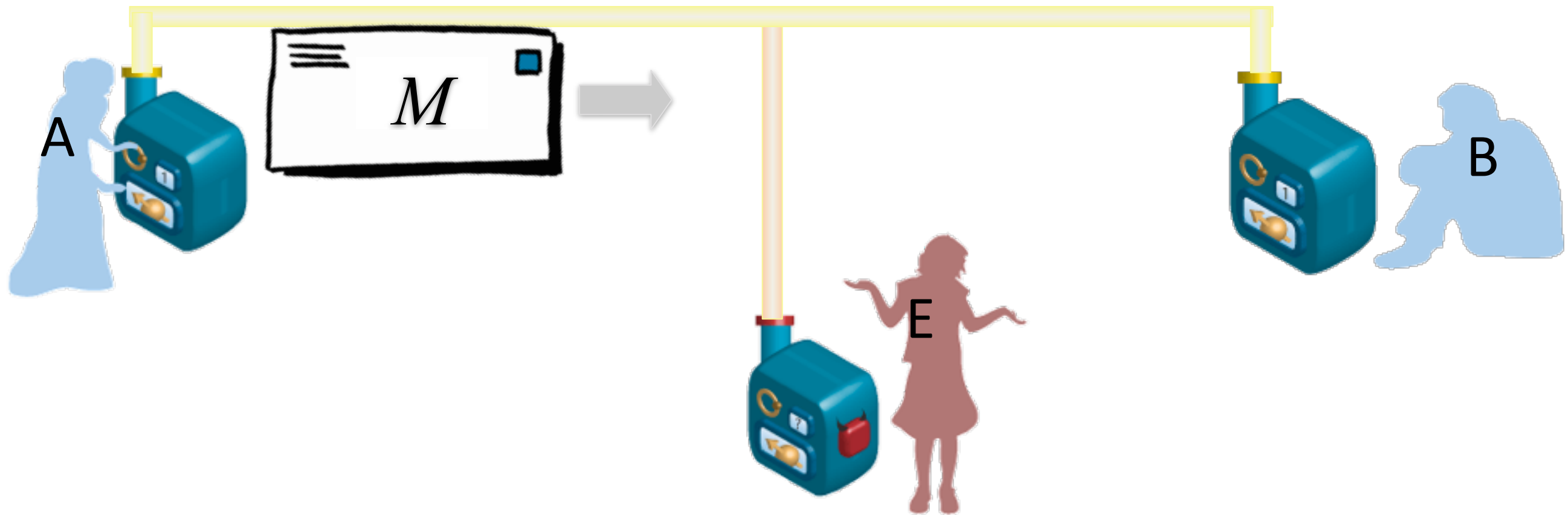
# No-cloning principle provides security



## Idea

Check statistically that  $H(X | B)$  is small. The generalized uncertainty principle then implies that  $H(Z | C)$  is large.

# Quantum cryptography



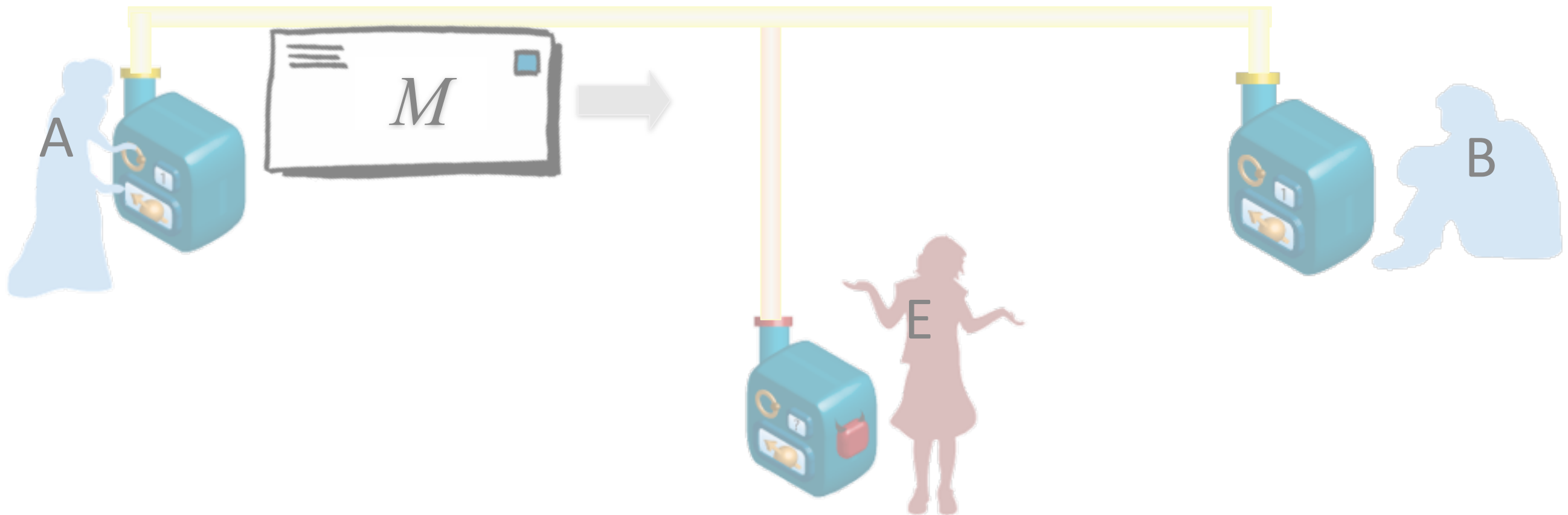
## Protocol

1. Use quantum communication to generate a key (the no-cloning principle guarantees that it is secure)
2. Use one-time-pad encryption to send message  $M$ .

# An apparent contradiction

## Theorem [Bennett and Brassard, 1984]

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# Properties of entangled qubits



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**Note:** If the left particle is measured with angle  $\alpha$  and gives output 0 (or 1) then the right particle behaves as if it was prepared along  $\alpha$  (or  $\alpha + \pi/2$ ).



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- **Information is physical:** Since information is physical, the physical properties of the underlying information carriers have to be taken into account when describing the laws of information.
- **Implications:** The resulting laws of information are fundamentally different from the corresponding classical laws. Examples include the no-cloning principle, which has applications, e.g., in cryptography.

Many thanks for your attention