

# Test Case Generation by Symbolic Execution: Basic Concepts, a CLP-based Instance, and Actor-based Concurrency

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<http://www.envisage-project.eu>

# Introduction: Test Case Generation

- ▶ Testing: vital part of the software development process
- ▶ Three recent factors have made it take more central role:
  - ① introduction of testing environments (e.g., JUnit)
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  - ② increasingly complex systems are being built
  - ③ there is a growing tendency to prove software correctness
- ▶ TCG: automatic generation of a collection of test-cases to be applied to a system under test.
- ▶ Ensure certain **coverage criterion**: heuristics to estimate how well the program is exercised by a test suite.
  - **statement coverage**: each line of the code is executed,
  - **path coverage**: every possible trace is executed,
  - **loop- $k$** : limit to a threshold  $k$  the number of times we iterate on loops

# White-box Test Case Generation

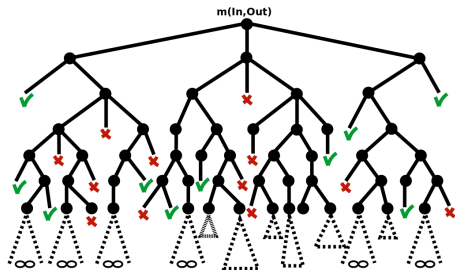
Several classifications of testing techniques:

- ▶ Random vs. **non-random**  $\Rightarrow$  difficult to obtain high degree of code coverage in random unless consider huge number of inputs
- ▶ Black-box vs. **white-box**  $\Rightarrow$  test cases obtained from specifications vs. from program
- ▶ Dynamic vs. **static**  $\Rightarrow$  depending if input variables are instantiated

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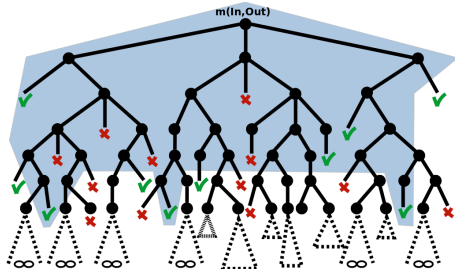
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- ▶ Static white-box TCG
  - **Symbolic Execution**
  - Execution with symbolic values  $\Rightarrow$  constrained variables
  - Non-determinism due to branching instructions involving unknown data
  - Termination criterion  $\Rightarrow$  **loop-k**
  - Path coverage
  - Result: **Path conditions** or **equivalence classes** of inputs



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- ▶ Part 1: Symbolic execution and TCG
  - Introduction
  - Handling heap-manipulating programs
  - Compositionality
- ▶ Part 2: CLP-based TCG
  - Introduction
  - Translation from imperative to CLP
  - Guided-TCG
  - Demo
- ▶ Part 3: TCG of Concurrent (Actor) Programs
  - The path explosion problem
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- ▶ King [Comm. ACM 1976], Clarke [IEEE TSE 1976]
- ▶ Analysis of programs with unspecified inputs
- ▶ Symbolic states represent sets of concrete states
  - Variables carry symbolic expressions instead of concrete values
- ▶ For each path, build path condition
  - Condition on inputs, for the execution to follow that path
  - Check path condition satisfiability, explore only feasible paths
- ▶ Renewed interest in recent years
- ▶ Applications: test-case generation, error detection,...
- ▶ Tools: CUTE and jCUTE (UIUC), EXE and KLEE (Stanford), CREST and BitBlaze (UC Berkeley), Pex, SAGE, YOGI and PREFIX (Microsoft), PET (UCM-UPM), SPF (Symbolic Pathfinder, NASA Ames),...

# Elements Involved in the Testing Process

## Java Code

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## JUnit Code

```
void test_abs() {  
    assertEquals(abs(1), 1);  
    assertEquals(abs(-1), 1);  
}
```

# Termination Criteria

## Java source code

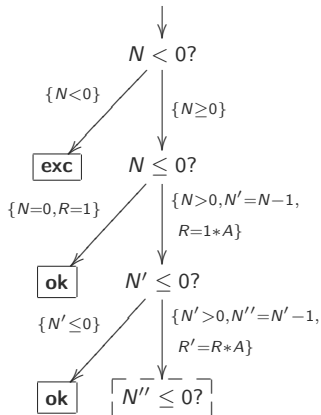
```
int exp(int a,int n) {  
①  if (n < 0)  
②    throw new Exception();  
③  else {  
④    int r = 1;  
⑤    while (n > 0) {  
⑥      r = r*a;  
⑦      n--;  
⑧    }  
⑨    return r;  
⑩  }  
}
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## Symbolic Execution Tree



# Termination Criteria

## Test cases

#	Input	Output	Path condition
1	[A, N]	[exception]	$\{N < 0\}$
2	[A, N]	1	$\{N = 0\}$
3	[A, N]	R	$\{N > 0, N' = N - 1, N' \leq 0, R = 1 * A\}$

Java

int

①

②

③

④

⑤

⑥

⑦

⑧

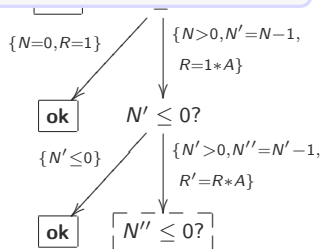
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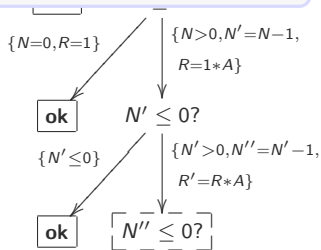
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## Concrete inputs

#	Input	Output
1	[-10, -10]	[Exception]
2	[-10, 0]	1
3	[-10, 1]	-10



# Termination Criteria

## Test cases

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1	[A, N] [ex
2	[A, N]
3	[A, N]

## Concrete input

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2	[-10, 0]
3	[-10, 1]

## Unit tests (JUnit)

```
public void test_1(){
    int input0 = -10, input1 = -10;
    try{ int output = Test.intExp(input0,input1); }
    catch(Exception ex){
        assertEquals("exception", "ArithmeticException",
            ex.getClass().getName());
        return;
    }
    fail("Fail");
}

public void test_2(){
    int input0 = -10, input1 = 0;
    int output = Test.intExp(input0,input1);
    int expected = 1;
    assertEquals("OK", expected, output);
}

public void test_3(){
    int input0 = -10, input1 = 1;
    int output = Test.intExp(input0,input1);
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  - Outperform Lazy Initialization

# Test Case Generation by Symbolic Execution

## Lazy Initialization vs Heap Solver

```
m(C x, C y, C z)
{
    x.f = 1;
    z.f = -5;
    y.f = x.f+1;
    m2();
    if (x==z)
        m3(y.f);
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- ▶ Standard technique to handle aliasing. Used in state-of-the-art systems, e.g., PET (UCM&UPM) and SPF (NASA Ames)

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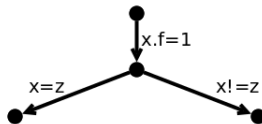


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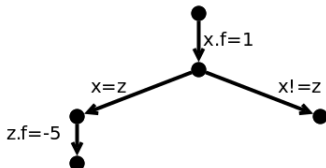


- Field accesses on unknown references trigger non-determinism: 1) Null      2) New reference      3) Each aliasing possibility

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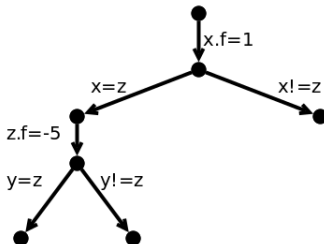


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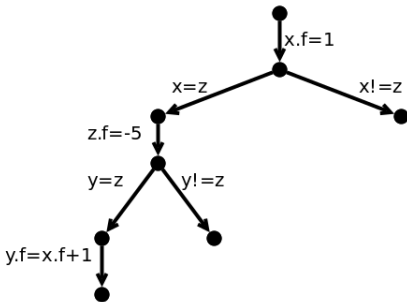


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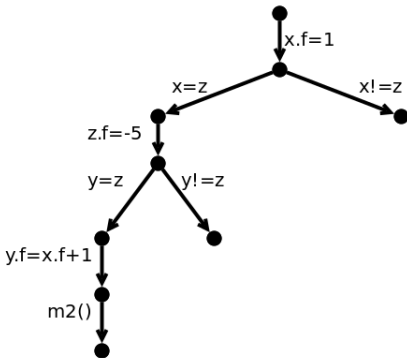


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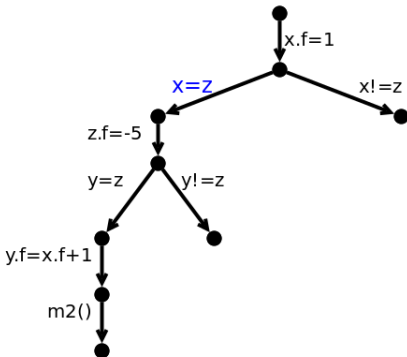
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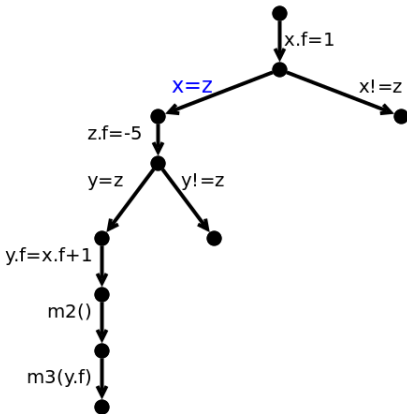


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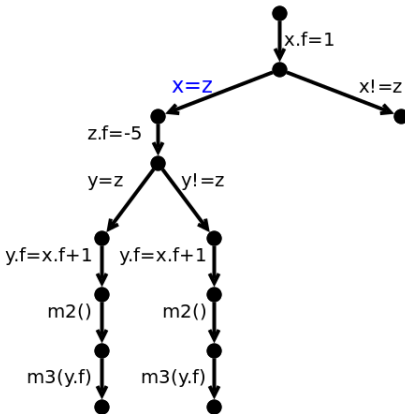


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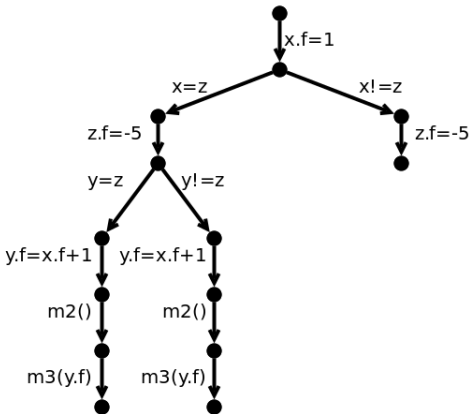


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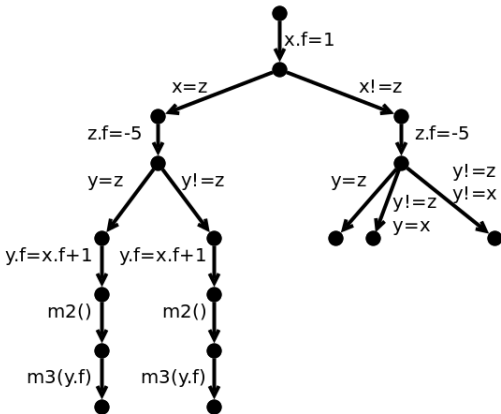


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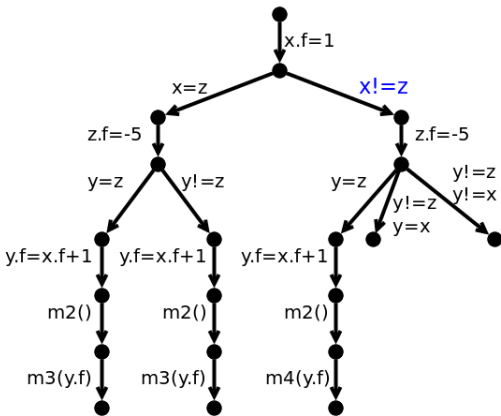


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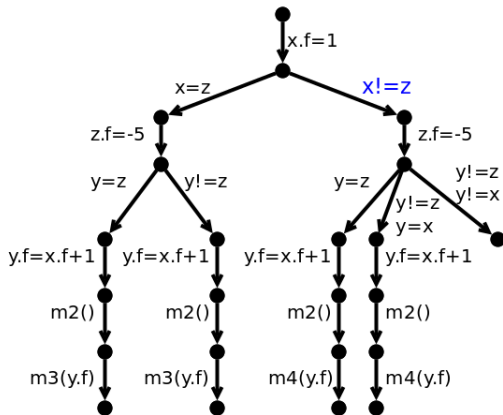
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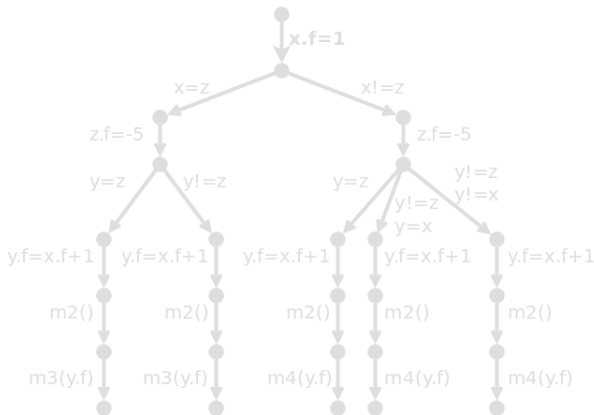




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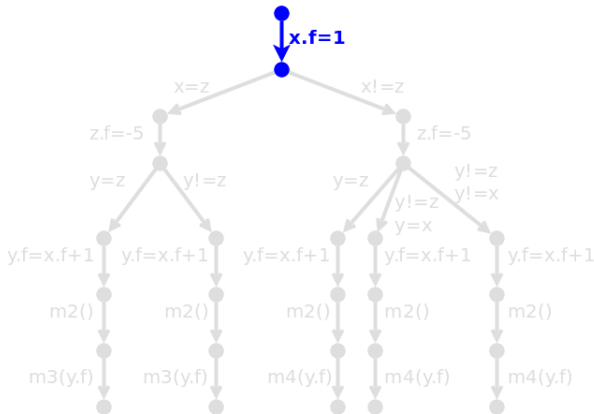


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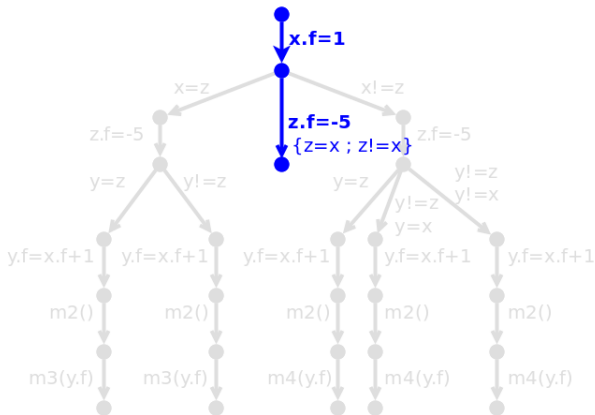


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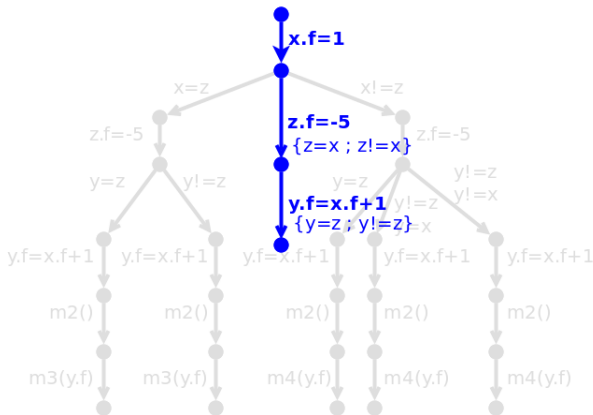


- Avoid non-determinism as much as possible

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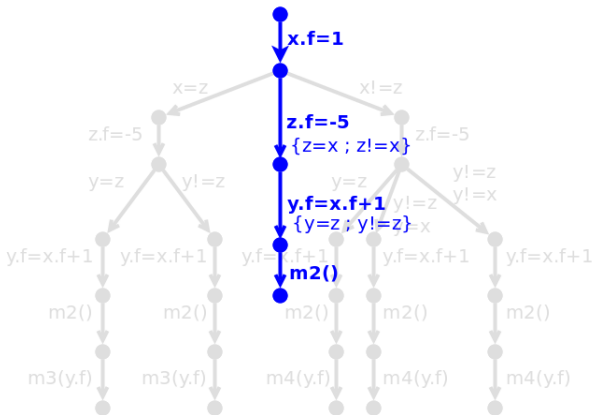


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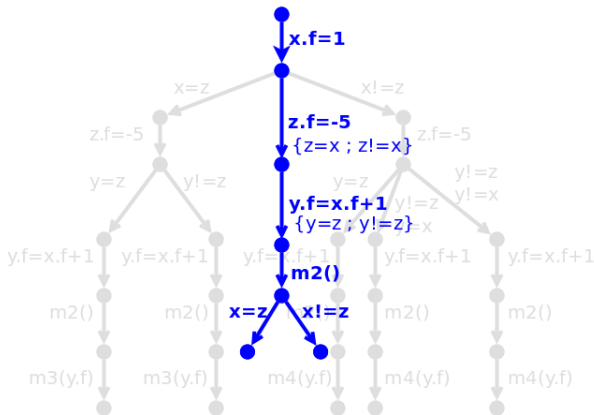


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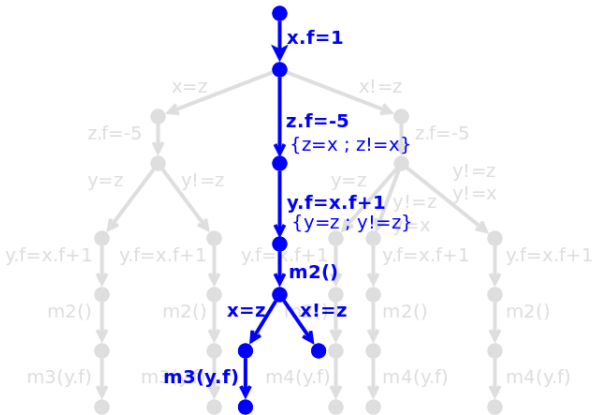


- Propagation of heap-related constraints

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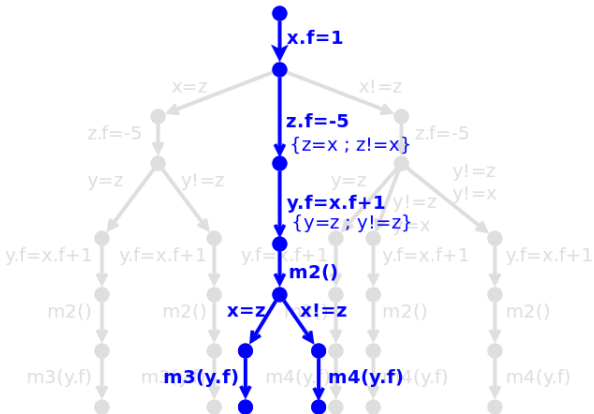
- Support for heap assumptions to avoid certain aliasing configurations.  
E.g., `acyclic(x)`, `non-aliasing(x,z)`

# Test Case Generation by Symbolic Execution

Lazy Initialization vs Heap Solver

```
m(C x, C y, C z)
{
```

```
  x.f = 1;
  z.f = -5;
  y.f = x.f+1;
  m2();
  if (x==z)
    m3(y.f);
  else
    m4(y.f);
}
```



- ▶ Implemented in PET
- ▶ Applicable to other systems



- ▶ Part 1: Symbolic execution and TCG
  - Introduction
  - Handling heap-manipulating programs
  - Compositionality
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# Compositional Test Case Generation

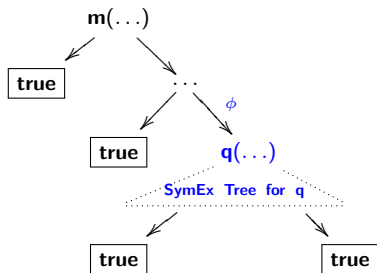
## Motivation



- ▶ Compositional reasoning to tackle inter-procedural path explosion
- ▶ Generation and re-utilization of method summaries
- ▶ Handling native code and libraries

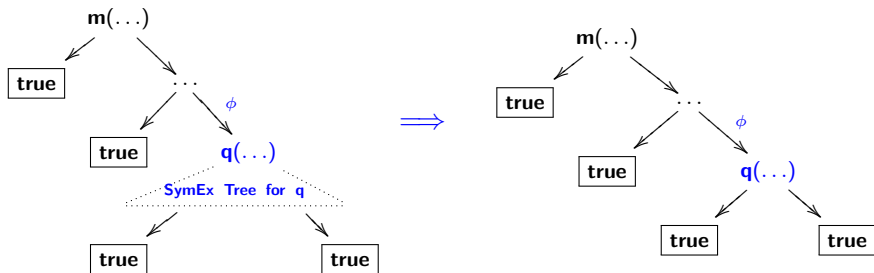
# Compositional Test Case Generation

## Challenge



# Compositional Test Case Generation

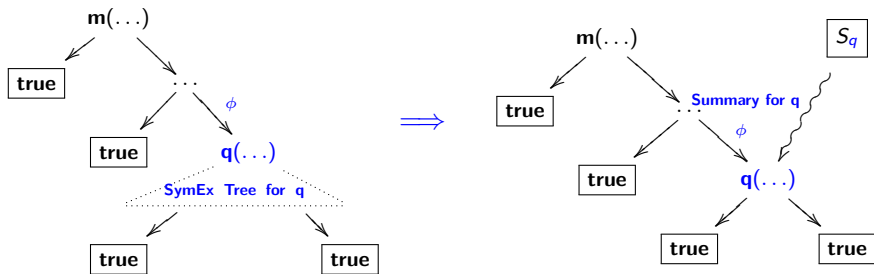
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- Avoid inlining the symbolic execution tree of  $q$

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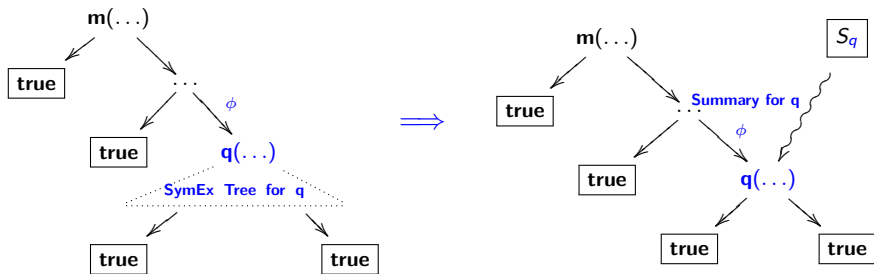
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- ▶ Avoid inlining the symbolic execution tree of  $q$
- ▶ Use method summary for  $q$ : Check compatibility with current state of  $m$  (Only compatible summary cases are composed)

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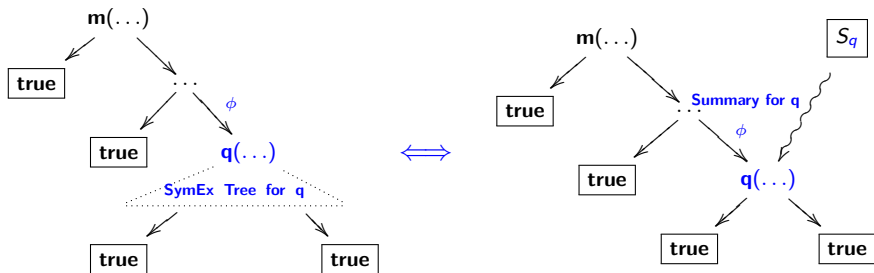
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- ▶ Avoid inlining the symbolic execution tree of  $q$
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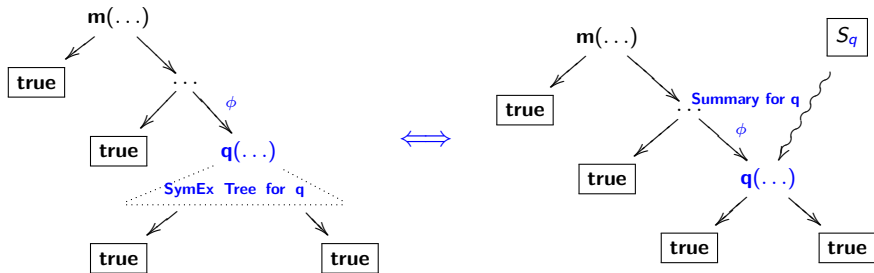
## Challenge



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- ▶ Use method summary for  $q$ : Check compatibility with current state of  $m$  (Only compatible summary cases are composed)
- ▶ Incremental: summary for method  $m$  is created
- ▶ Compositional TCG must compute the same results as Standard TCG

# Compositional Test Case Generation

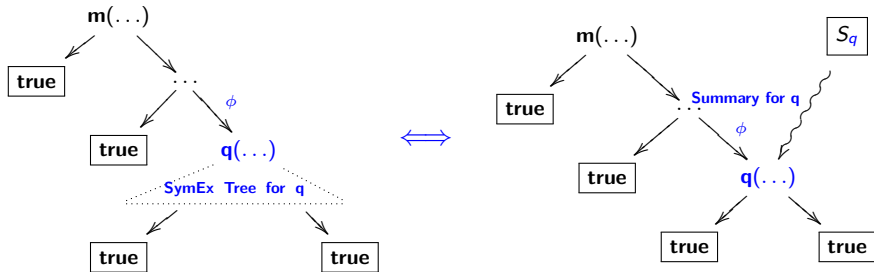
## Composition Strategies





# Compositional Test Case Generation

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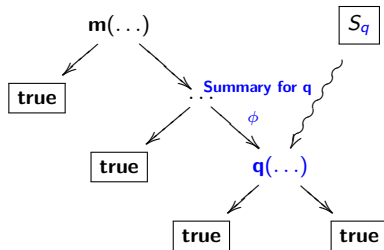
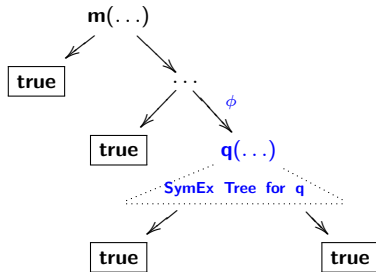


### Context-sensitive

- ▶ **Top-down** traversal of call-graph
- ▶ **Pro.:** Only required information is computed
- ▶ **Con.:** Reusability of summaries is not always possible

# Compositional Test Case Generation

## Composition Strategies



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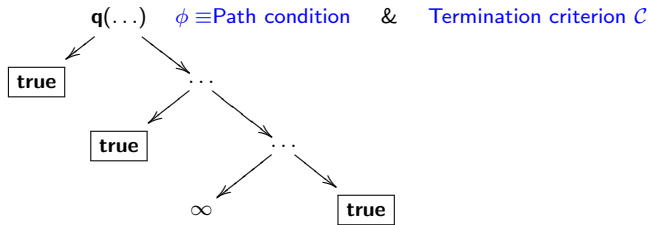
- ▶ **Top-down** traversal of call-graph
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### Context-insensitive

- ▶ **Bottom-up** traversal of call-graph
- ▶ **Pro.:** Composition can always be performed
- ▶ **Con.:** Summaries can contain more test cases than necessary (more expensive)

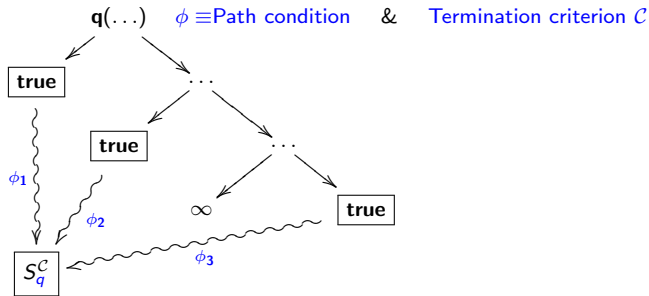
# Compositional Test Case Generation

## Generating Symbolic Execution Summaries



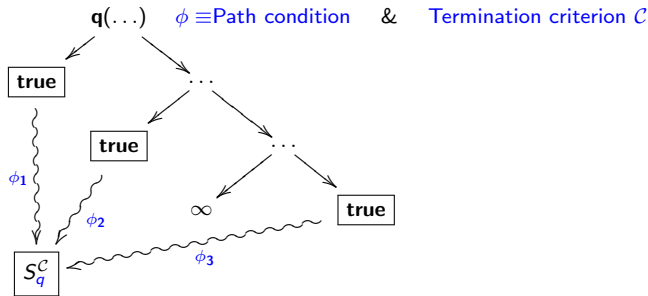
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## Generating Symbolic Execution Summaries



# Compositional Test Case Generation

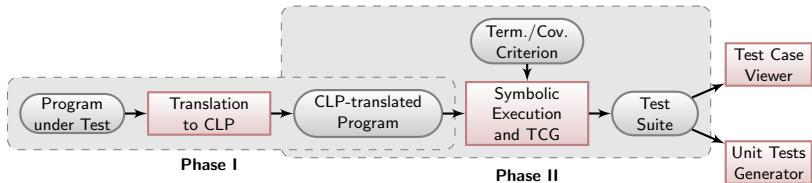
## Generating Symbolic Execution Summaries



- ▶ A summary is a finite representation of the symbolic execution of a program for a given termination criterion, i.e.,  $S_q^C \equiv \mathcal{T}_q^C$
- ▶ Each element in a summary corresponds to a symbolic execution path (test case)
- ▶ **Complete** for a given coverage criterion, but **partial** in general

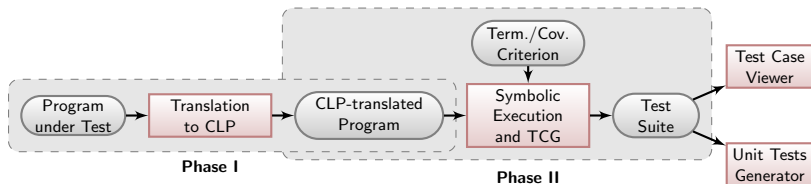
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# CLP-based Symbolic Execution and TCG



- Translation of the source language to CLP

# CLP-based Symbolic Execution and TCG



## ► Translation of the source language to CLP

### Java Code

```
int abs(int x){  
    if (x >= 0) return x;  
    else return -x; }
```

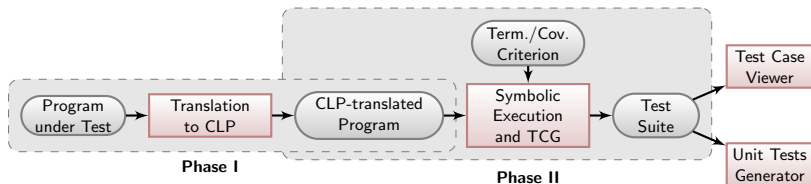
### CLP-translated

```
abs(X,X) :- X \#>= 0.  
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## ► Bounded symbolic execution of the CLP-translated program



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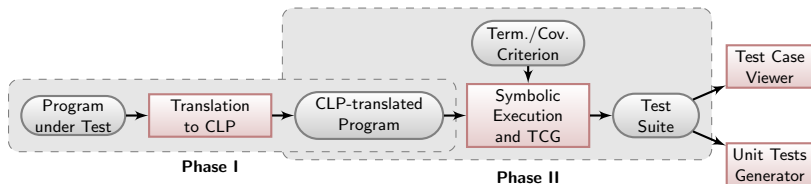
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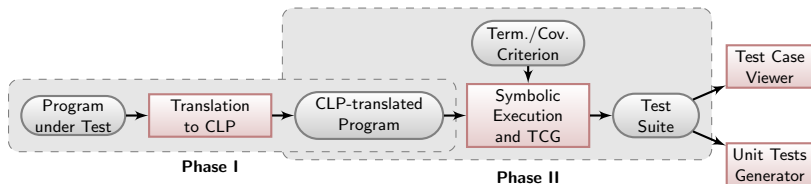
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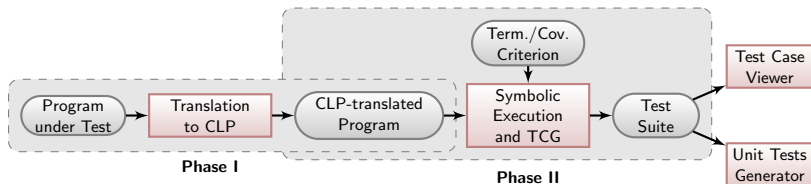
### Concrete inputs

```
{ < X = 1, Z = 1>,
  < X = -1, Z = 1> }
```

### JUnit code

```
void test_abs() {
    assertEquals(abs(1), 1);
    assertEquals(abs(-1), -1); }
```

# CLP-based Symbolic Execution and TCG



## ► Translation of the source language to CLP

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## ► The PET System ([costa.ls.fi.upm.es/pet](http://costa.ls.fi.upm.es/pet))

# CLP-based Symbolic Execution and TCG

## Symbolic Execution and Test Case Generation

Let  $M$  be a method,  $m$  be its corresponding predicate from its CLP-translated program  $P$ , and  $\mathcal{C}$  be a termination criterion.

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- ▶ TCG is the process of generating the set of test cases **for all** successful (terminating) paths in  $\mathcal{T}_m^{\mathcal{C}}$ .

# CLP-based Symbolic Execution and TCG

## Concrete example

### Java source code

```
int exp(int a,int n) {  
    if (n < 0)  
        throw new Exception();  
    else {  
        int r = 1;  
        while (n > 0) {  
            r = r*a;  
            n--;  
        }  
        return r;  
    }  
}
```

# CLP-based Symbolic Execution and TCG

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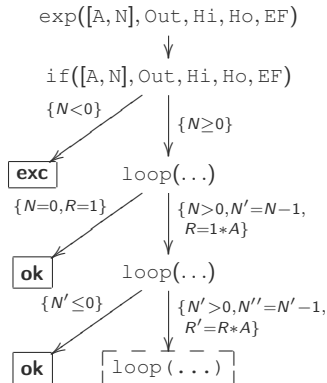
### CLP-translated program

```
exp([A,N],Out,Hi,Ho,EF) :-  
    if([A,N],Out,Hi,Ho,EF).  
if([A,N],_Out,Hi,Ho,exc(X)) :-  
    N < 0,  
    new_object(Hi, 'Exc', X, Ho).  
if([A,N],Out,H,H,ok) :-  
    N >= 0,  
    loop([A,N,1],Out).  
loop([_A,N,R],R) :-  
    N <= 0.  
loop([A,N,R],Out) :-  
    N > 0,  
    R' = R*A,  
    N' = N-1,  
    loop(A,N',R',Out).
```

# CLP-based Symbolic Execution and TCG

## Concrete example

### Symb. Ex. Tree



### CLP-translated program

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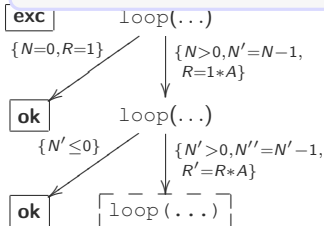
# CLP-based Symbolic Execution and TCG

## Concrete example

### Test cases

Sy

#	Input	Output	Path condition
1	[A, N]	[exception]	{N<0}
2	[A, N]	1	{N=0}
3	[A, N]	R	{N>0, N' = N-1, R=1*A, N' <= 0}



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### Concrete inputs

#	Input	Output
1	[-10, -10]	[Exception]
2	[-10, 0]	1
3	[-10, 1]	-10

```
N >= 0,  
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# CLP-based Symbolic Execution and TCG

## Concrete example

### Test cases

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1	[A, N] [ex
2	[A, N]
3	[A, N]

### Concrete input

#	Input
1	[-10, -10]
2	[-10, 0]
3	[-10, 1]

### Unit tests (JUnit)

```
public void test_1(){
    int input0 = -10, input1 = -10;
    try{ int output = Test.intExp(input0,input1); }
    catch(Exception ex){
        assertEquals("exception", "ArithmeticException",
            ex.getClass().getName());
        return;
    }
    fail("Fail");
}

public void test_2(){
    int input0 = -10, input1 = 0;
    int output = Test.intExp(input0,input1);
    int expected = 1;
    assertEquals("OK", expected, output);
}

public void test_3(){
    int input0 = -10, input1 = 1;
    int output = Test.intExp(input0,input1);
    int expected = -10;
    assertEquals("OK", expected, output);
}
```

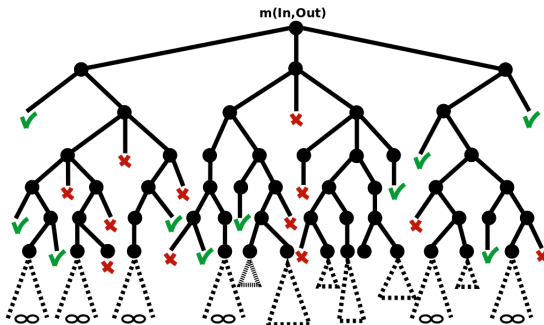
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# Guided Test Case Generation

## Motivation and Selective Coverage Criteria

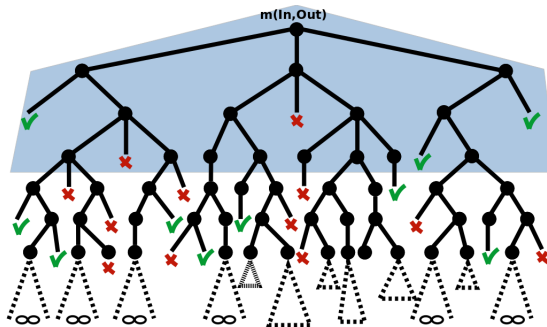
TCG = Symbolic exec. +



# Guided Test Case Generation

## Motivation and Selective Coverage Criteria

**TCG = Symbolic exec. + termination criterion + constraint solving**

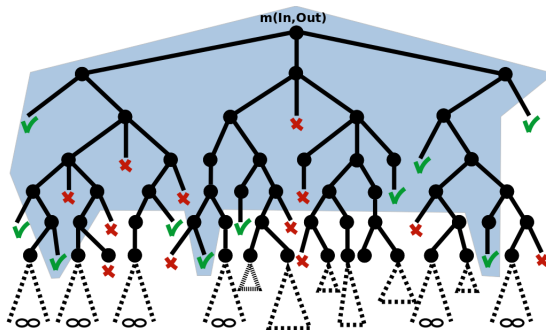


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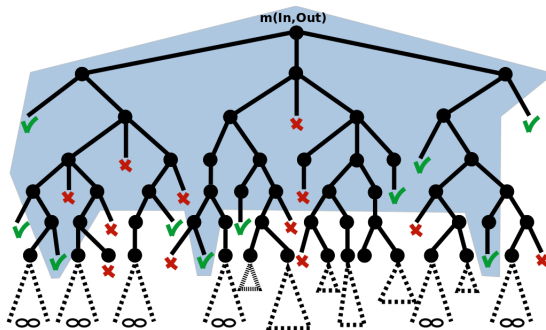


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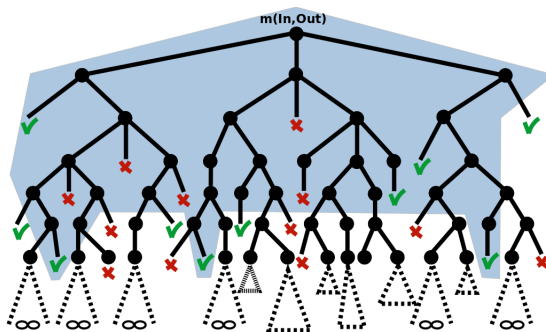


- ▶ Termination criteria: **depth-k**, **loop-k**
- ▶ Selection criteria: specific program point(s) (specific exception(s)), all local paths, worst memory consumption (within a **loop-k** limit), ...

# Guided Test Case Generation

## Naive Approach to Selective TCG

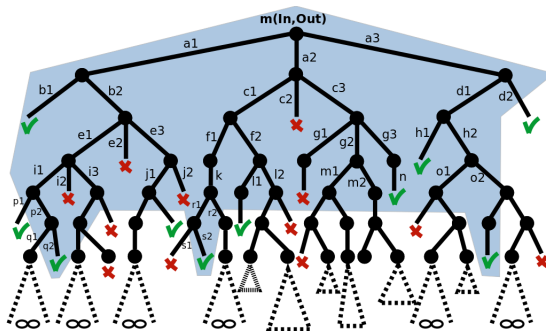
Selective TCG (naive) = TCG + filtering of test cases



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## Naive Approach to Selective TCG

**Selective TCG (naive) = TCG + filtering of test cases**

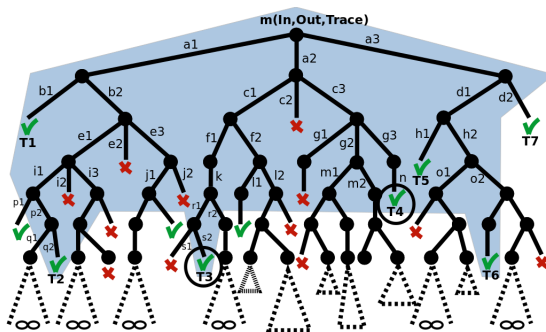


- Paths in the symbolic execution tree can be labeled

# Guided Test Case Generation

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**Selective TCG (naive) = TCG + filtering of test cases**

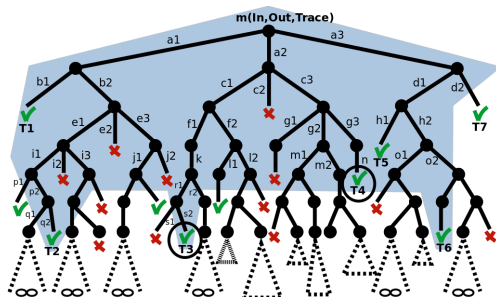


- ▶ Paths in the symbolic execution tree can be labeled
- ▶ Filtering is done by looking at the traces associated to the test cases

# Guided Test Case Generation

## Intuition

- ▶ Challenge: Avoid the generation of non-interesting paths
- ▶ Idea: Use the trace argument as an input to guide symbolic exec.

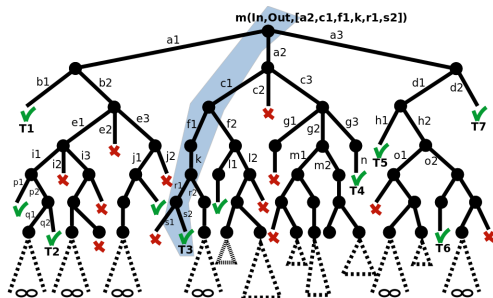




# Guided Test Case Generation

## Intuition

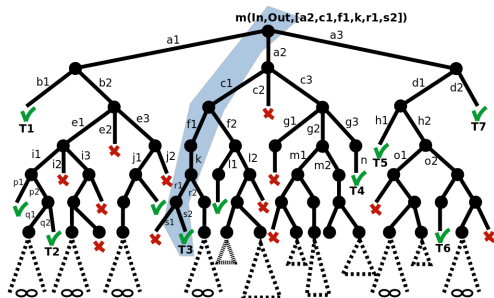
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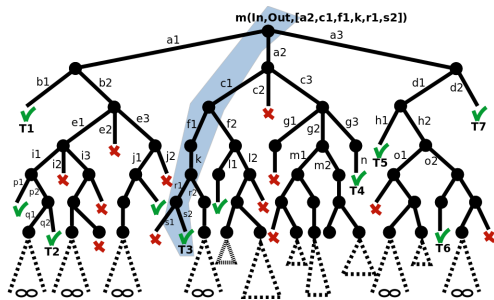


Guided TCG = Traces generator + guided symb. execs. + constr. solving

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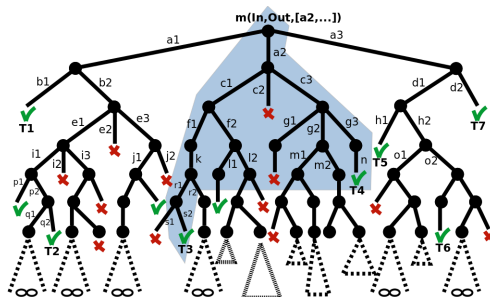
Guided TCG = Traces generator + guided symb. execs. + constr. solving

- ▶ Traces can be complete

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## Intuition

- ▶ Challenge: Avoid the generation of non-interesting paths
- ▶ Idea: Use the trace argument as an input to guide symbolic exec.



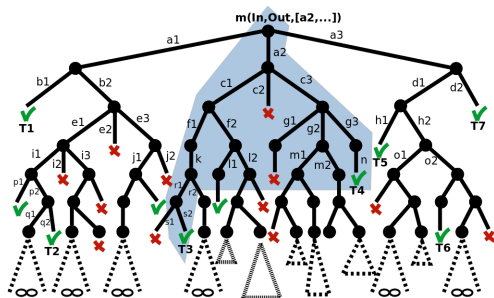
Guided TCG = Traces generator + guided symb. execs. + constr. solving

- ▶ Traces can be complete or partial

# Guided Test Case Generation

## Intuition

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Guided TCG = Traces generator + guided symb. execs. + constr. solving

- ▶ Traces can be complete or partial
- ▶ The different symbolic executions are independent of each other
  - Can be performed in parallel and simplifies constraint solving

# Guided Test Case Generation

## A Generic Algorithm for Guided TCG

```
Input:  $M$ ,  $\langle TC, SC \rangle$  and TraceGen  
TestCases = {}  
while TraceGen has more traces and TestCases doesn't satisfy SC  
    Ask TraceGen to generate a new trace in Trace  
    TestCases = TestCases  $\cup$  {first of guidedSymbExec( $M, TC, Trace$ )}  
Output: TestCases
```

# Conclusions & References (Parts 1 and 2)

- ❶ Symbolic execution consists in executing the program with symbolic (constraint) variables
- ❷ Test cases are extracted from successful branches of the symbolic execution tree
- ❸ The main challenges are related to scalability:
  - heap-manipulating programs [ICLP'10,ICLP'13]
  - compositionality [LOPSTR'09]
- ❹ CLP-based instance:
  - Symbolic execution almost for free [LOPSTR'08]
  - Language-independent approach (same TCG engine)
  - Guided TCG [LOPSTR'11,LOPSTR'12]
- ❺ PET: implementation of this approach [PEPM'10]

- ▶ Part 1: Symbolic execution and TCG
  - Introduction
  - Handling heap-manipulating programs
  - Compositionality
- ▶ Part 2: CLP-based TCG
  - Introduction
  - Translation from imperative to CLP
  - Guided-TCG
  - Demo
- ▶ Part 3: TCG of Concurrent (Actor) Programs
  - The path explosion problem
  - Symbolic execution and TCG for actors
  - Demo



- ▶ Concurrency in programming is gaining importance
- ▶ Additional hazards in concurrent programs: data races, deadlocks, etc.
- ▶ Software validation techniques urge especially in this context
- ▶ Path explosion problem - non-deterministic interleavings of processes
  - An exhaustive exploration is often computationally intractable
  - Challenge: Avoid redundant state exploration
  - Partial Order Reduction techniques (POR)

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  - An exhaustive exploration is often computationally intractable
  - Challenge: Avoid redundant state exploration
  - Partial Order Reduction techniques (POR)
- ▶ Thread-based concurrency tends to be error-prone, very difficult to debug and analyze and not scalable
- ▶ Alternative  $\Rightarrow$  the Actors-based concurrency model (e.g. Erlang, Scala, ABS, Java libraries for actors, ...)
- ▶ Actors concurrency model in OO style (**Concurrent Objects**):
  - ① Actor/Object  $\Leftrightarrow$  concurrency unit
  - ② No shared memory  $\Rightarrow$  Information exchange by means of messages/asynchronous-method-calls
  - ③ Task scheduling is **cooperative**

# The Actor Model

## Syntax of the Language

$$\begin{aligned} M &::= \textbf{void } m(\bar{T} \ \bar{x})\{s; \} \\ s &::= s ; s \mid x = e \mid x = \textbf{this}.f \mid \textbf{this}.f = y \mid \textbf{if } b \textbf{ then } s \textbf{ else } s \mid \\ &\quad \textbf{while } b \textbf{ do } s \mid x = \textbf{new } C \mid x ! m(\bar{z}) \mid \textbf{return} \end{aligned}$$

- ▶ A **program** is a set of classes. A class contains a set of **fields**  $f$  and **methods**  $M$ .
- ▶ Actors are created dynamically using the instruction **new**.
- ▶ Each actor has its own local state and thread control and communicate by exchanging messages asynchronously.
- ▶ An actor sends a message to another actor  $x$  by means of an **asynchronous method call**  $x ! m(\bar{z})$ .
- ▶ An actor configuration consists: **local state** and **pending tasks**.

# Partial Order Reduction

- ▶ At each execution step, firstly an actor and secondly a process of its pending tasks are scheduled.
- ▶ There are two levels of non-determinism:
  - **Actor-selection:** The selection of which actor executes;
  - **Task-selection:** The selection of the task within the selected actor.

# Partial Order Reduction

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## State Explosion Problem

- ▶ As actors do not share their states, in testing we assume that evaluation of all statements of a task is serial until processor released
- ▶ A naïve exploration of the search space to reach all possible system configurations does not scale.
- ▶ **Partial-order reduction** (POR) helps mitigate this problem by exploring the subset of all possible interleavings which lead to a different configuration.

# Partial Order Reduction

$$h_{o_1} = \{this.f = 2\}$$

$$t_1 \mapsto this.f = 5$$

$$t_2 \mapsto this.f = 7$$

$$h_{o_2} = \{this.g = 1\}$$

$$t_3 \mapsto this.g = 9$$

$$\{\overbrace{t_1, t_2}^{o_1}, \overbrace{t_3}^{o_2}\}$$

# Partial Order Reduction

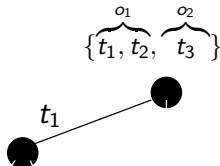
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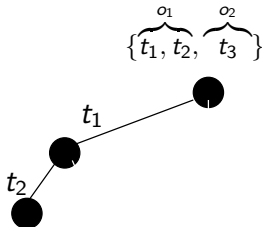
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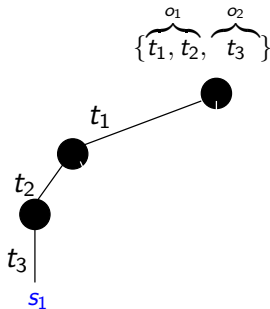
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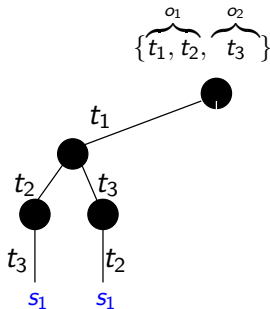
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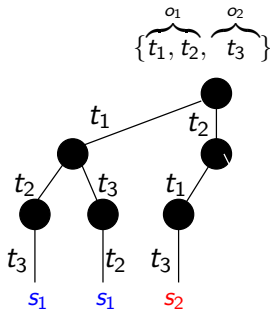
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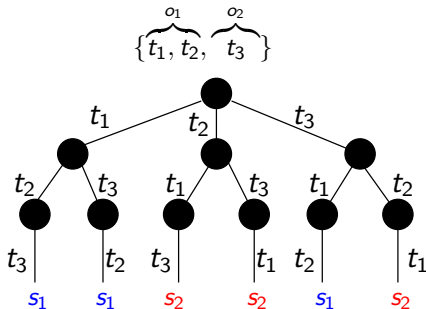
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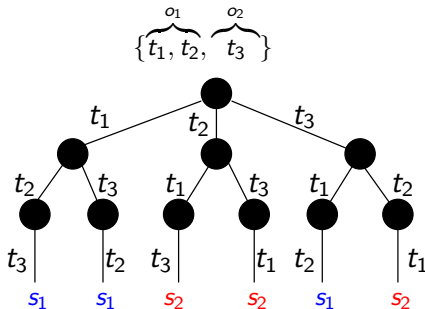
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 $o_1, o_2$  are temporarily stable

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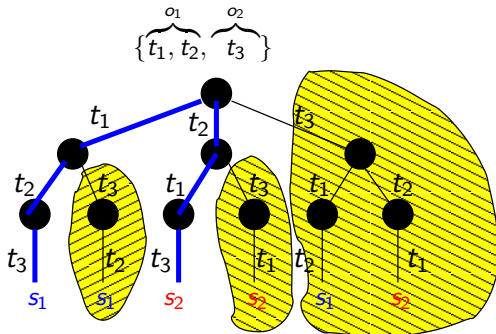
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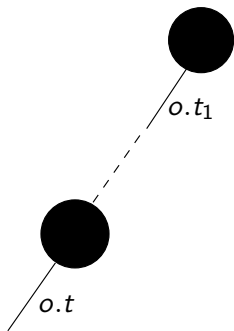
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# How to avoid redundant exploration in testing?

## TransDPOR [Tasharofi et al FMOODS/FORTE 2012]

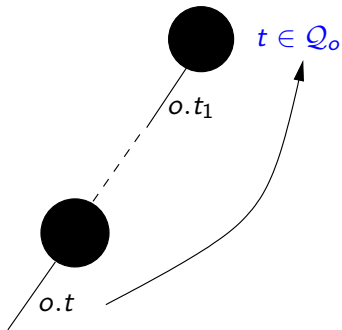
- ▶ Intuition: for each configuration, use a **backtrack set**, which is updated during the execution of the program when it realises that a non-deterministic choice must be tried
- ▶ **Select Object** and **Select Task** (non-deterministically) from a node  $n: o.t$
- ▶ Execute  $o.t$  in node  $n$ ;
- ▶ If  $o$  has been previously selected, look for the first node  $n'$  from the root, selecting object  $o$ .
  - If  $t$  was in  $n'$ , then mark **backtracking** on  $n'$  with  $o.t$ ;
  - Otherwise, look from  $n$  upwards, the object  $o'$  which introduced  $t$  by executing  $o'.t'$ . If  $o'.t'$  is in  $n'$ , add **backtracking** on  $o'.t'$  in node  $n'$ . Otherwise repeat the process with  $o'.t'$  upwards.

# How to avoid redundant exploration in testing?

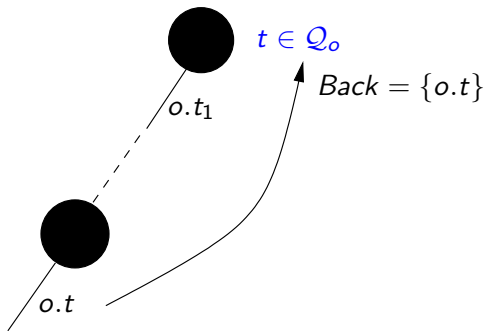




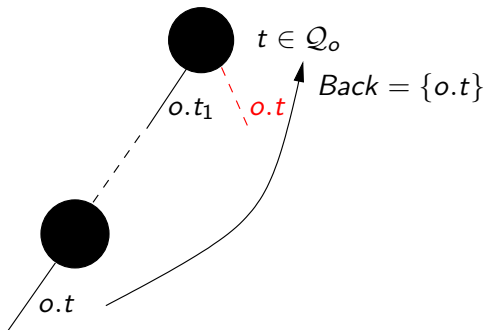
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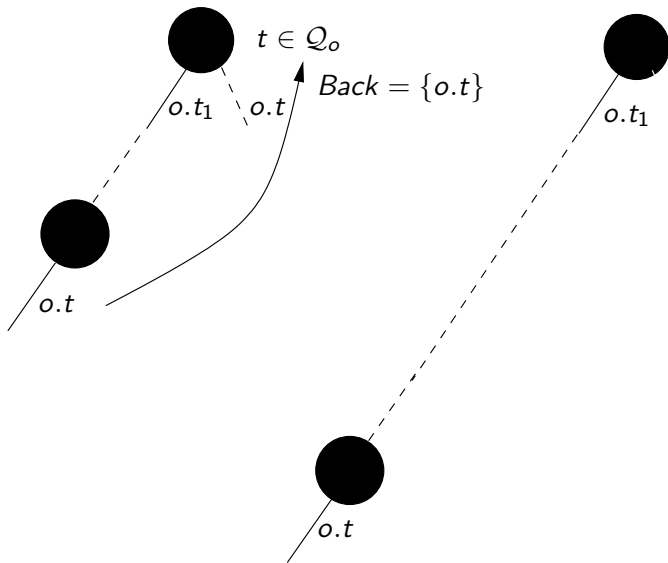
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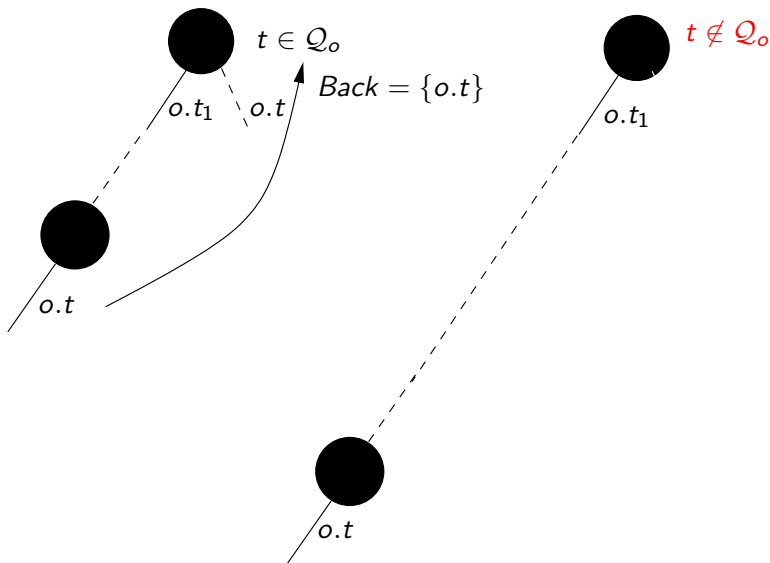
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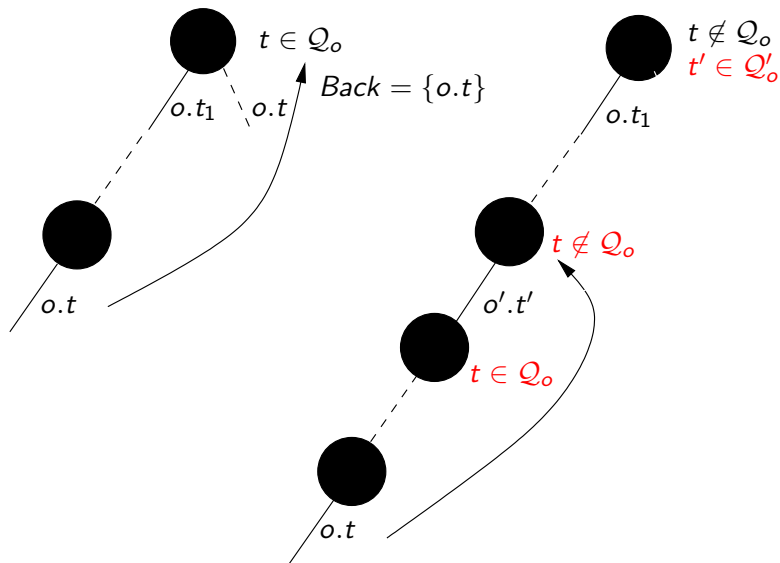
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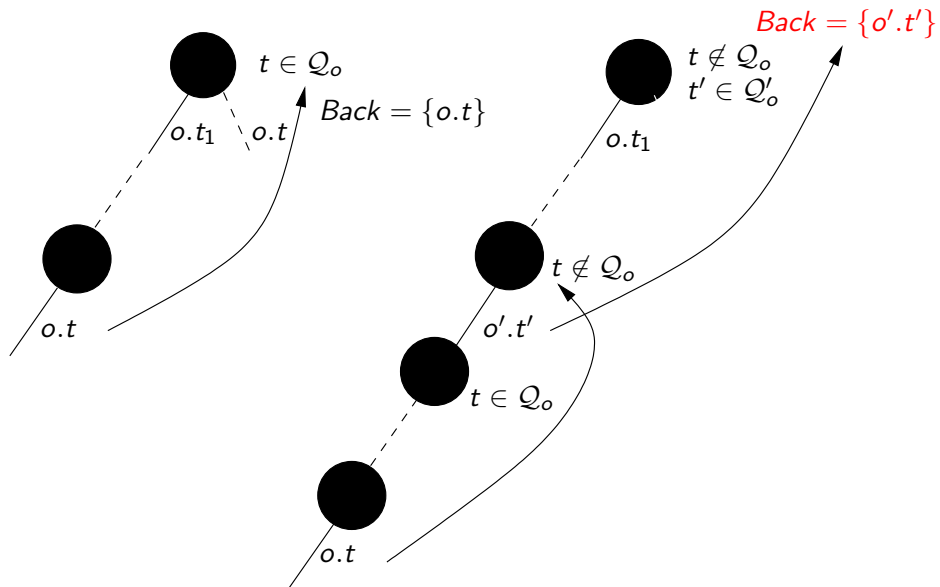
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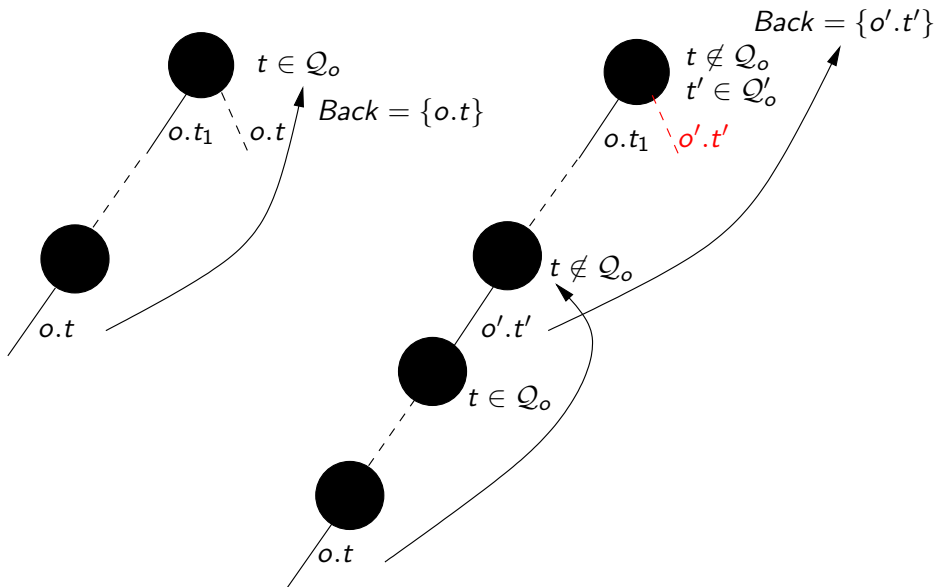
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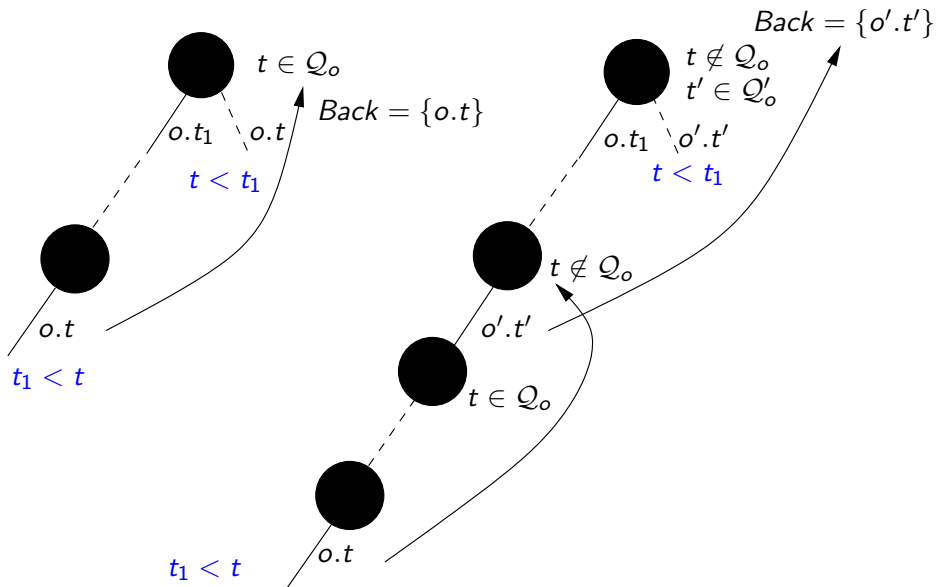


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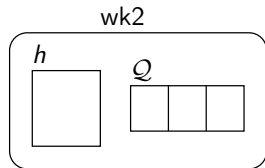
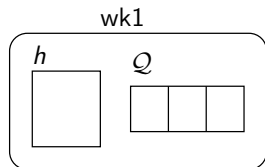
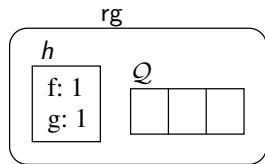
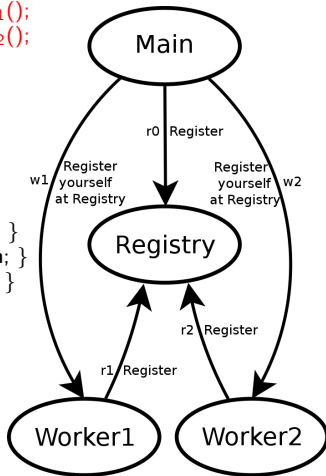


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# Working Example. Actors in Action

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{  
  Reg rg = new Reg();  
  Worker1 wk1 = new Worker1();  
  Worker2 wk2 = new Worker2();  
  rg!r0();  
  wk1!w1(rg);  
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}  
  
class Reg {  
  int f=1; int g=1;  
  void r0() { this.f++; return; }  
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class Worker1 {  
  void w1(Reg rg) {  
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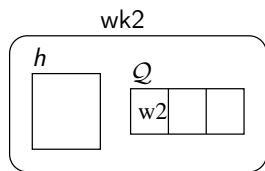
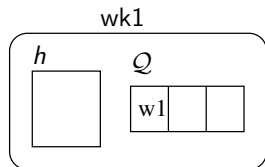
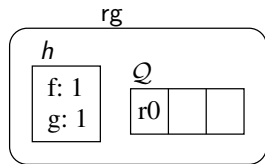
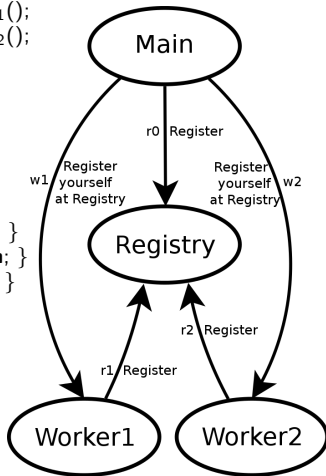
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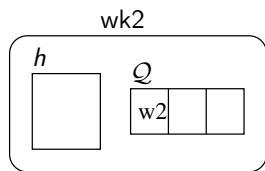
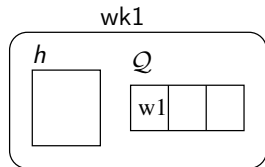
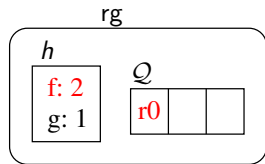
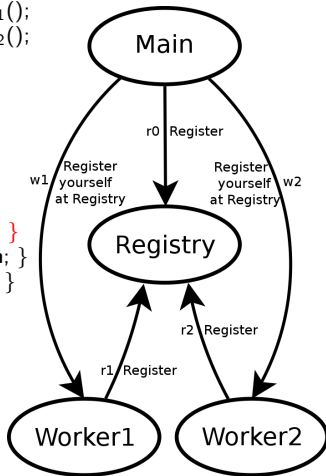
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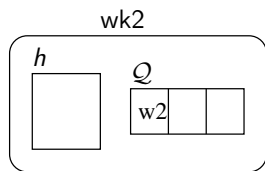
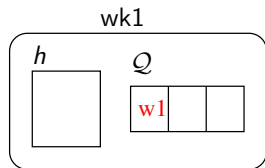
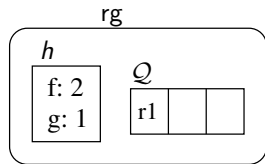
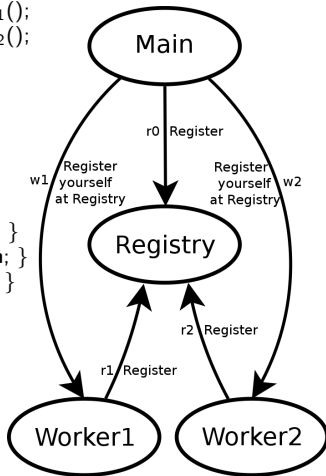
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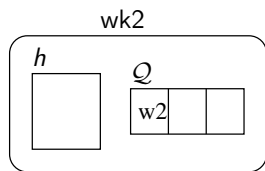
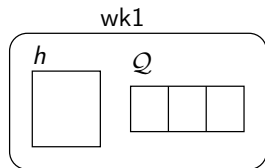
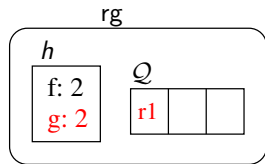
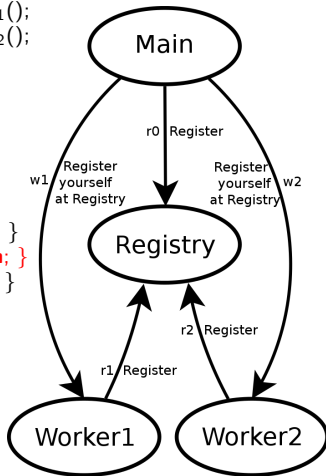
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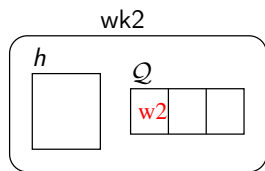
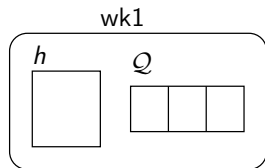
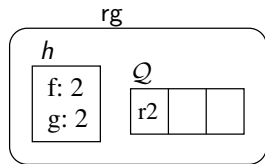
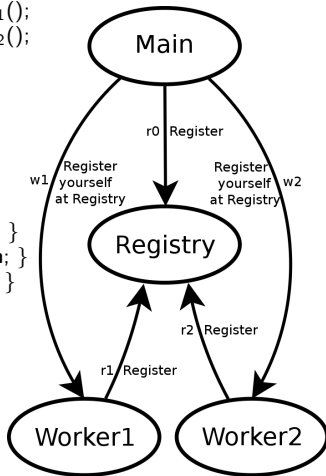
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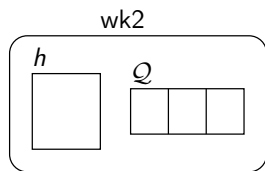
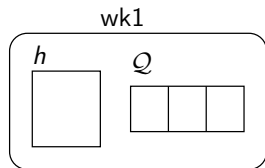
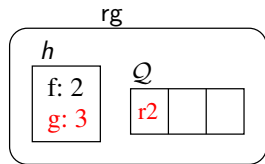
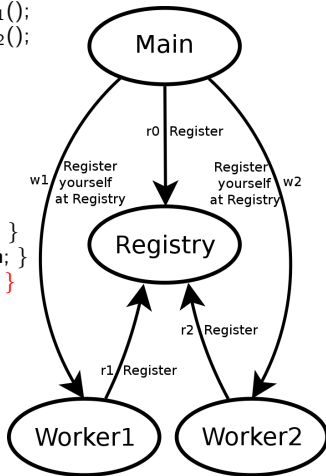
```
{
  Reg rg = new Reg();
  Worker1 wk1 = new Worker1();
  Worker2 wk2 = new Worker2();
  rg!r0();
  wk1!w1(rg);
  wk2!w2(rg);
}

class Reg {
  int f=1; int g=1;

  void r0() { this.f++; return; }
  void r1() { this.g*=2; return; }
  void r2() { this.g++; return; }
}

class Worker1 {
  void w1(Reg rg) {
    rg!r1(); return;
  }
}

class Worker2 {
  void w2(Reg rg) {
    rg!r2(); return;
  }
}
```





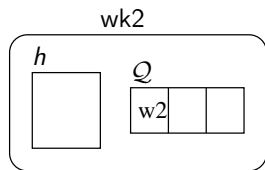
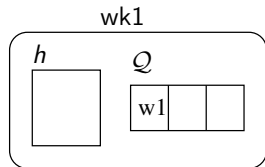
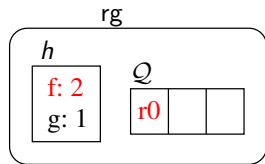
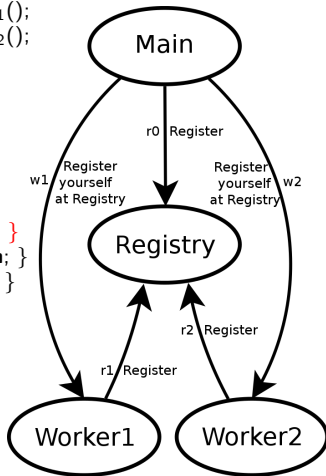
# Working Example. Actors in Action

```
{  
  Reg rg = new Reg();  
  Worker1 wk1 = new Worker1();  
  Worker2 wk2 = new Worker2();  
  rg!r0();  
  wk1!w1(rg);  
  wk2!w2(rg);  
}
```

```
class Reg {  
  int f=1; int g=1;  
  
  void r0() { this.f++; return; }  
  void r1() { this.g*=2; return; }  
  void r2() { this.g++;return; }  
}
```

```
class Worker1 {  
  void w1(Reg rg) {  
    rg!r1(); return; }  
}
```

```
class Worker2 {  
  void w2(Reg rg) {  
    rg!r2(); return; }  
}
```



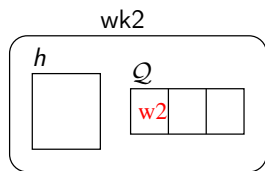
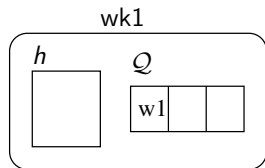
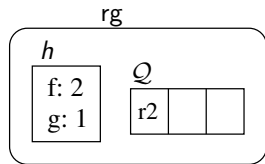
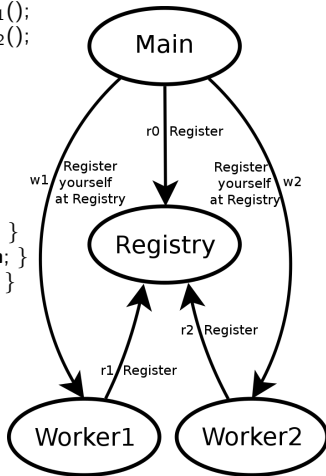
# Working Example. Actors in Action

```
{  
  Reg rg = new Reg();  
  Worker1 wk1 = new Worker1();  
  Worker2 wk2 = new Worker2();  
  rg!r0();  
  wk1!w1(rg);  
  wk2!w2(rg);  
}
```

```
class Reg {  
  int f=1; int g=1;  
  
  void r0() { this.f++; return; }  
  void r1() { this.g*=2; return; }  
  void r2() { this.g++;return; }  
}
```

```
class Worker1 {  
  void w1(Reg rg) {  
    rg!r1(); return; }  
}
```

```
class Worker2 {  
  void w2(Reg rg) {  
    rg!r2(); return; }  
}
```



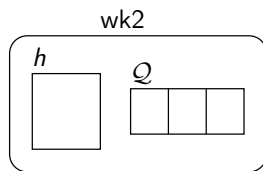
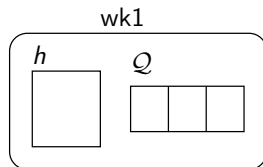
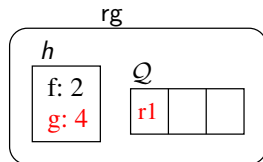
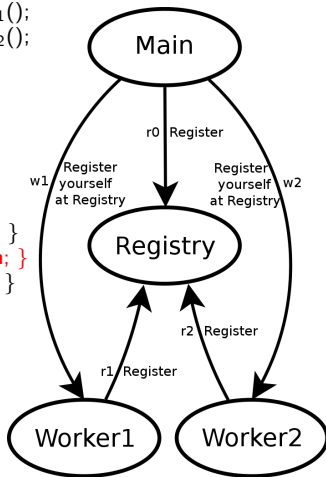
# Working Example. Actors in Action

```
{  
  Reg rg = new Reg();  
  Worker1 wk1 = new Worker1();  
  Worker2 wk2 = new Worker2();  
  rg!r0();  
  wk1!w1(rg);  
  wk2!w2(rg);  
}
```

```
class Reg {  
  int f=1; int g=1;  
  void r0() { this.f++; return; }  
  void r1() { this.g*=2; return; }  
  void r2() { this.g++;return; }  
}
```

```
class Worker1 {  
  void w1(Reg rg) {  
    rg!r1(); return; }  
}
```

```
class Worker2 {  
  void w2(Reg rg) {  
    rg!r2(); return; }  
}
```



// main Block

```
Reg reg = new Reg;  
Worker1 wk1 = new Worker1();  
Worker2 wk2 = new Worker2();  
reg!r0();  
wk1!w1(reg); wk2!w2(reg);
```

```
class Worker1 {  
    void w1(Reg rg) {rg!r1(); return;}  
}  
class Worker2 {  
    void w2(Reg rg) {rg!r2(); return;}  
}
```

*reg:{r0}, wk1:{w1}, wk2:{w2}*

```

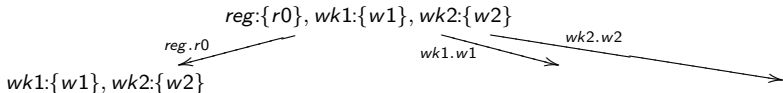
class Reg {
  int f=1; int g=1;
  void r0() {this.f++; return;}
  void r1() {this.g*=2; return;}
  void r2() {this.g++; return;}
}

```

```

class Worker1 {
  void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
  void w2(Reg rg) {rg.r2(); return;}
}

```



► **Macro-step semantics**  $\Rightarrow$  Interleavings only at return points

```

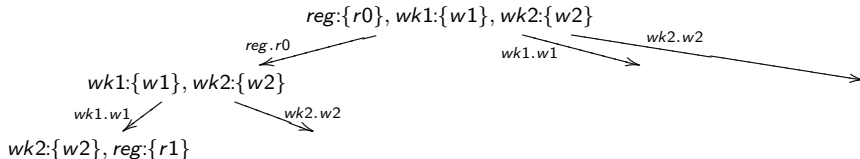
class Reg {
  int f=1; int g=1;
  void r0() {this.f++; return;}
  void r1() {this.g*=2; return;}
  void r2() {this.g++; return;}
}

```

```

class Worker1 {
  void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
  void w2(Reg rg) {rg.r2(); return;}
}

```



► **Macro-step semantics**  $\Rightarrow$  Interleavings only at return points

```

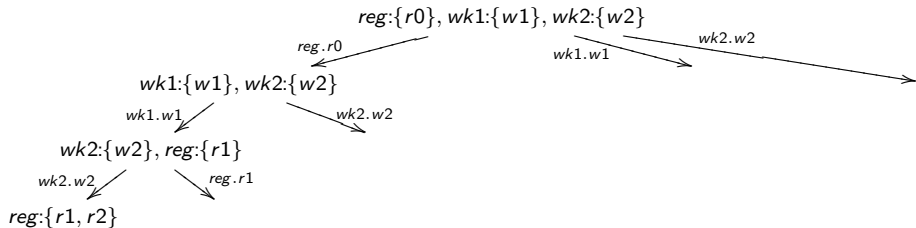
class Reg {
  int f=1; int g=1;
  void r0() {this.f++; return;}
  void r1() {this.g*=2; return;}
  void r2() {this.g++; return;}
}

```

```

class Worker1 {
  void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
  void w2(Reg rg) {rg.r2(); return;}
}

```



► **Macro-step semantics**  $\Rightarrow$  Interleavings only at return points

```

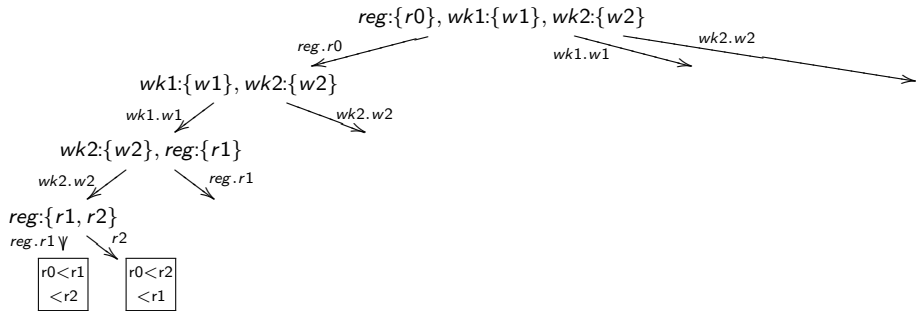
class Reg {
  int f=1; int g=1;
  void r0() {this.f++; return;}
  void r1() {this.g*=2; return;}
  void r2() {this.g++; return;}
}

```

```

class Worker1 {
  void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
  void w2(Reg rg) {rg.r2(); return;}
}

```



► **Macro-step semantics**  $\Rightarrow$  Interleavings only at return points



```

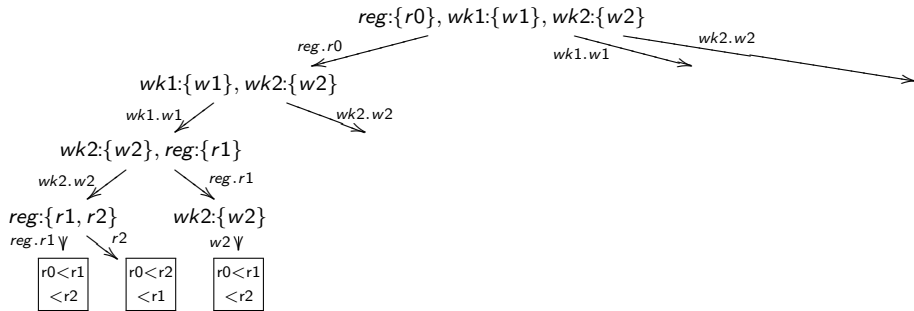
class Reg {
  int f=1; int g=1;
  void r0() {this.f++; return;}
  void r1() {this.g*=2; return;}
  void r2() {this.g++; return;}
}

```

```

class Worker1 {
  void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
  void w2(Reg rg) {rg.r2(); return;}
}

```



► **Partial Order Reduction:** Executions with the same partial order are redundant

```

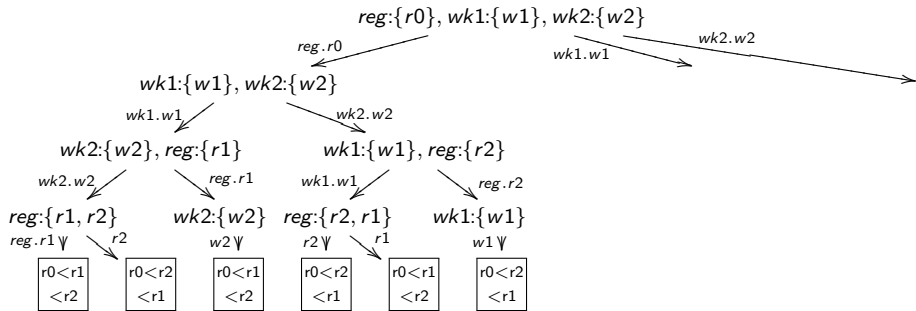
class Reg {
  int f=1; int g=1;
  void r0() {this.f++; return;}
  void r1() {this.g*=2; return;}
  void r2() {this.g++; return;}
}

```

```

class Worker1 {
  void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
  void w2(Reg rg) {rg.r2(); return;}
}

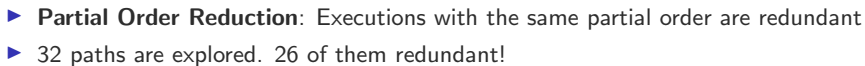
```



► **Partial Order Reduction:** Executions with the same partial order are redundant

```
class Worker1 {
    void w1(Reg rg) {rg!r1(); return;}
}

class Worker2 {
    void w2(Reg rg) {rg!r2(); return;}
}
```



```
class Reg {  
    int f=1; int g=1;  
  
    void r0() {this.f++; return;}  
    void r1() {this.g*=2; return;}  
    void r2() {this.g++; return;}  
}
```

```
class Worker1 {  
    void w1(Reg rg) {rg!r1(); return;}  
}  
  
class Worker2 {  
    void w2(Reg rg) {rg!r2(); return;}  
}
```

*reg:{r0}, wk1:{w1}, wk2:{w2}[ ]*

```

class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```

*reg:{r0}, wk1:{w1}, wk2:{w2}[]*

*reg.r0*

*wk1:{w1}, wk2:{w2}[]*

*wk1.w1*

*reg:{r1}, wk2:{w2}[]*

- To explore *r1* before *r0* actor *wk1* must be selected in the root

```

class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```

*reg:{r0}, wk1:{w1}, wk2:{w2}[wk1]*

*reg.r0* ↙

*wk1:{w1}, wk2:{w2}[ ]*

*wk1.w1* ↙

*reg:{r1}, wk2:{w2}[ ]*

- ▶ Actor *wk1* is added to the backtrack set of the root

```

class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```

$reg:\{r0\}, wk1:\{w1\}, wk2:\{w2\}_{[wk1]}$

$reg.r0$

$wk1:\{w1\}, wk2:\{w2\}_{[]}$

$wk1.w1$

$reg:\{r1\}, wk2:\{w2\}_{[]}$

$wk2.w2 \downarrow$

$reg:\{r1, r2\}_{[r2]}$

$r1 \downarrow$

$reg:\{r1\}$

$r0 < r1 < r2$

```

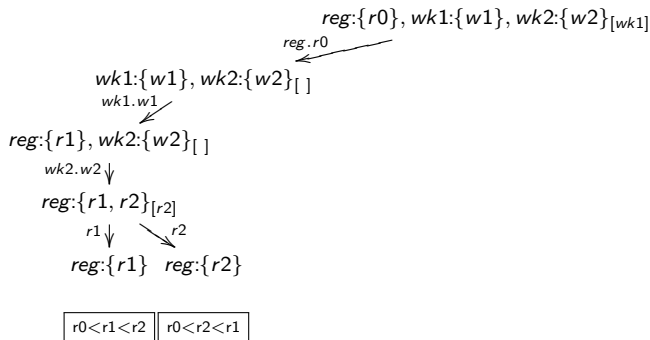
class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg!r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg!r2(); return;}
}

```





```

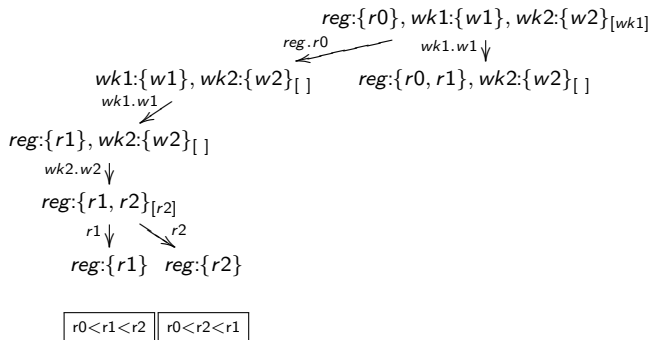
class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```



```

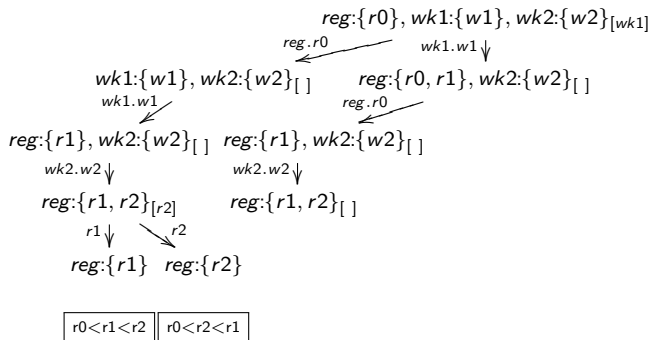
class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```



- To explore  $r2$  before  $r0$  actor  $wk2$  must be selected

```

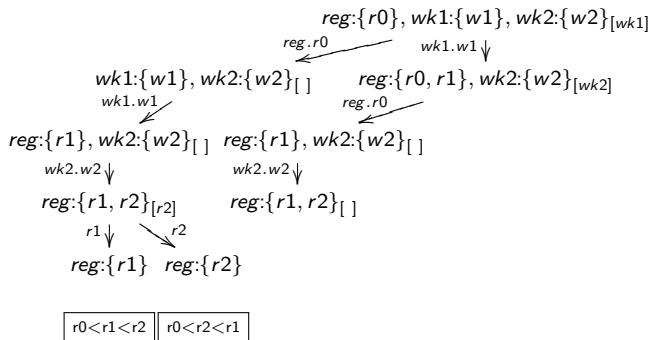
class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```



► Actor *wk2* is added to the backtrack set

```

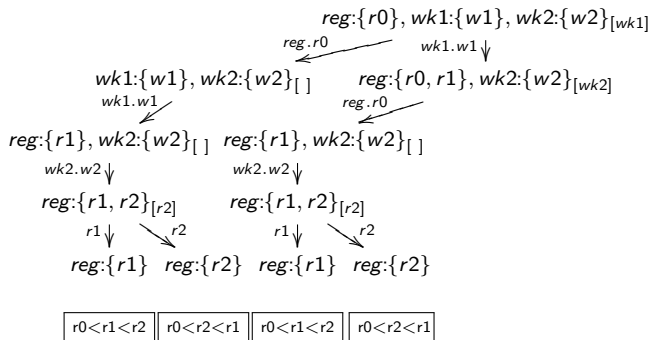
class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```



```

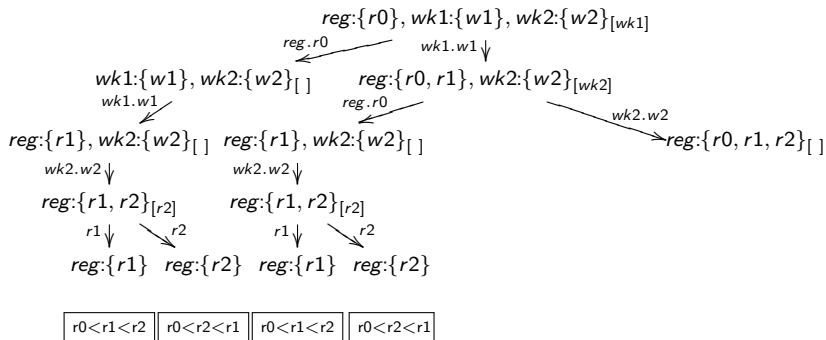
class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```



```

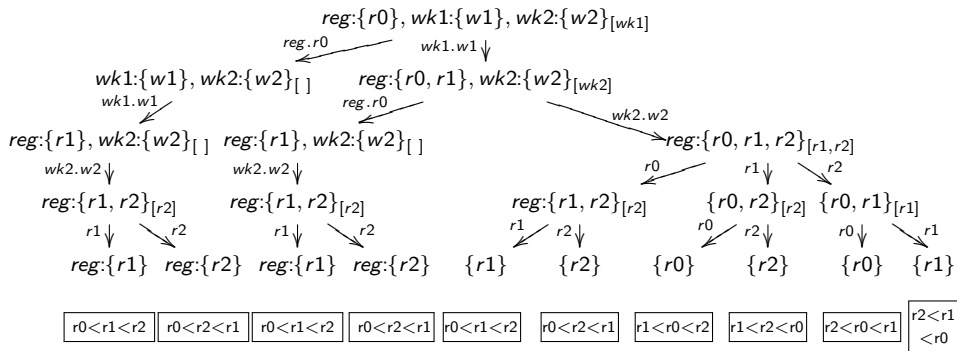
class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```



► TransDPOR reduces the exploration from 32 to 10 explorations

```

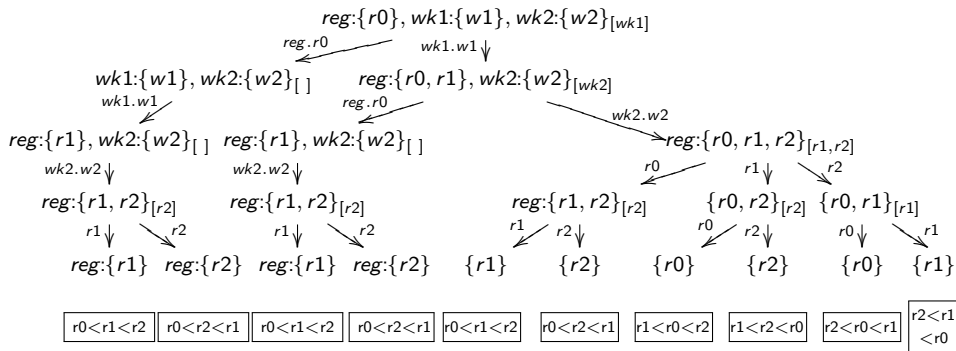
class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```



- ▶ TransDPOR reduces the exploration from 32 to 10 explorations
- ▶ But this can be improved further

# First Contribution: Actor Selection based on Stability Crit.

- ▶ Effectiveness of (Trans)DPOR highly depends on selection ordering
  - E.g., if *wk1* and *wk2* are selected before *reg* no redundant execs are produced
- ▶ Idea: Select first **stable** actors
  - An actor is stable if no other actor different from it introduces tasks in its queue
  - If we select a stable actor its backtrack set will remain empty
  - We provide an analysis which computes sufficient cond. for **temporal** object stability (wrt the actors in that state)



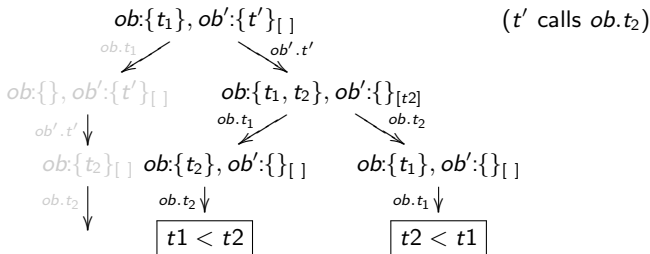
# First Contribution: Actor Selection based on Stability Crit.

- ▶ Effectiveness of (Trans)DPOR highly depends on selection ordering
  - E.g., if *wk1* and *wk2* are selected before *reg* no redundant execs are produced
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  - We provide an analysis which computes sufficient cond. for **temporal** object stability (wrt the actors in that state)
- ▶ Intuition:

$$ob:\{t_1\}, ob':\{t'\}_{[]}\quad (t' \text{ calls } ob.t_2)$$

# First Contribution: Actor Selection based on Stability Crit.

- ▶ Effectiveness of (Trans)DPOR highly depends on selection ordering
  - E.g., if *wk1* and *wk2* are selected before *reg* no redundant execs are produced
- ▶ Idea: Select first **stable** actors
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- ▶ Intuition:



```

class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```

*reg*:{*r0*}, *wk1*:{*w1*}, *wk2*:{*w2*}[ ]

- Actor *reg* is not stable. *wk1* and *wk2* are stable

```

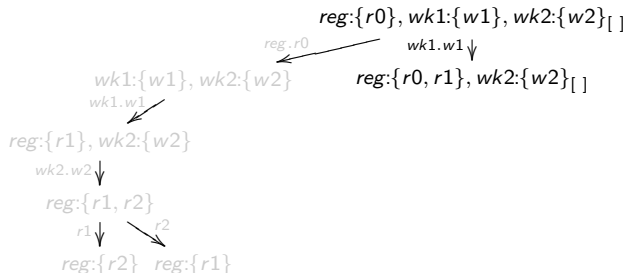
class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg.r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg.r2(); return;}
}

```



► Actor *reg* is not stable. *wk2* is stable

```

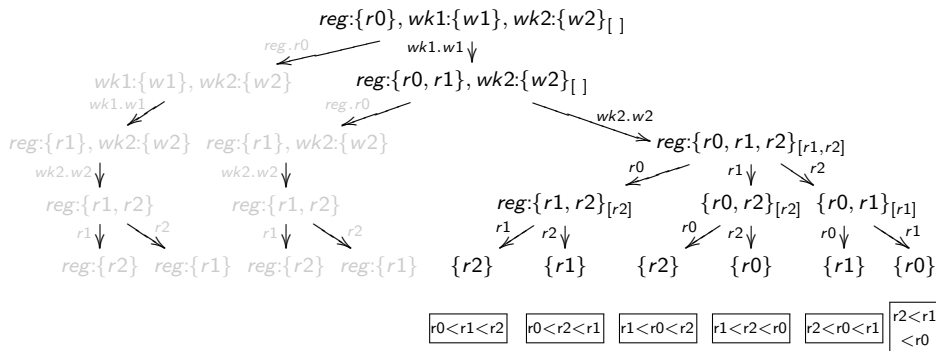
class Reg {
    int f=1; int g=1;
    void r0() {this.f++; return;}
    void r1() {this.g*=2; return;}
    void r2() {this.g++; return;}
}

```

```

class Worker1 {
    void w1(Reg rg) {rg!r1(); return;}
}
class Worker2 {
    void w2(Reg rg) {rg!r2(); return;}
}

```



► This reduces the exploration further, from 10 to 6 executions

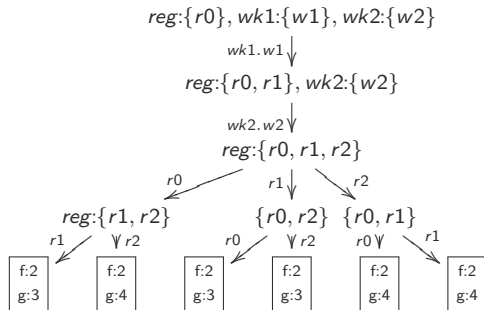
# Experimental Results of Actor Selection

- ▶ Not always possible finding a stable actor
  - Either because our analysis loses precision or because there is not
  - We propose **Heuristics** based on stability
- ▶ Experimental evaluation with 10 benchmarks:
  - In 9 of them no backtracking due to actor selection is performed
    - In 99% of the states (thousands, even millions!) a stable actor is found
    - In the remaining 1% the heuristics selects a stable actor
  - In the other benchmark more intelligent heuristics would be required
- ▶ Our actor selection is very effective in practice and has no significant overhead

## 2nd Contrib.: Task Selection based on Dependency Info.

### Motivation

- Observation: Execs. with different partial order lead to the same state

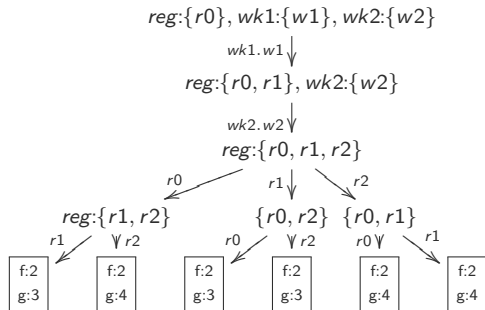


```
class Reg {  
    int f=1; int g=1;  
  
    void r0() {this.f++; return;}  
    void r1() {this.g*=2; return;}  
    void r2() {this.g++; return;}  
}
```

# 2nd Contrib.: Task Selection based on Dependency Info.

## Motivation

- ▶ Observation: Execs. with different partial order lead to the same state



```
class Reg {  
    int f=1; int g=1;  
  
    void r0() {this.f++; return;}  
    void r1() {this.g*=2; return;}  
    void r2() {this.g++; return;}  
}
```

- ▶ Execution of  $r0$  is **independent** from that of  $r1$  and  $r2$

**indep**( $t, t'$ )  $\Leftarrow$   $t$  does not write to fields that  $t'$  accesses and viceversa

- ▶ In the example we have: **indep**( $r0, r1$ ) and **indep**( $r0, r2$ )



# A Task Selection Algorithm based on Indep. Info.

## ► Intuition of algorithm:

- Tasks have an associated mark, and can be marked or unmarked during the execution
- A marked task cannot be selected
- When selecting a task, independent tasks after it in the queue are marked, and the rest are unmarked

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## Algorithm in action

$reg:\{r0, r1, r2\}$

$indep(r0,r1)$  and  $indep(r0,r2)$

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## ► Intuition of algorithm:

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## Algorithm in action

$reg:\{r0, r1, r2\}$

$r0$  ←

$reg:\{\bar{r1}, \bar{r2}\}$

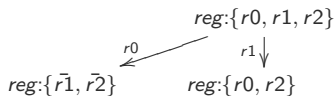
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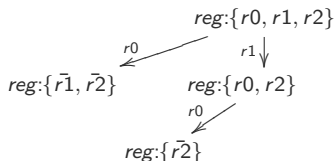
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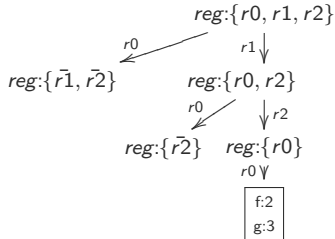
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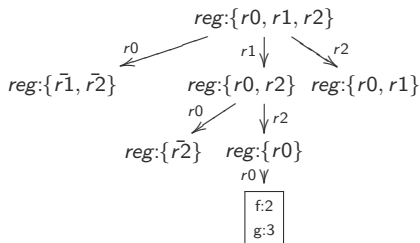
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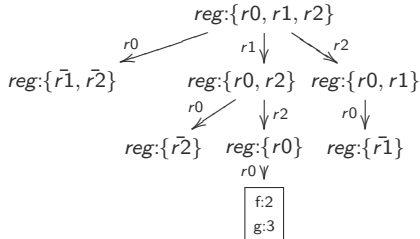
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indep(r0,r1) and indep(r0,r2)

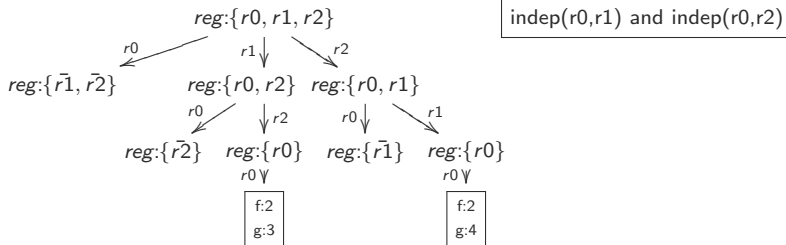


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## Algorithm in action



- Independent tasks are selected consecutively just in a single order

# Experimental Results

Test name	No task sel. reduction				With task. sel. reduct.				Speedup	
	Execs	Time	States	H	Execs	Time	States	H	Execs	Time
QSort.test1	4	2	18	3	4	2	18	3	1.0x	1.0x
QSort.test2	16	10	70	21	16	10	70	21	1.0x	1.0x
Fib.test1	4	3	18	3	4	3	18	3	1.0x	1.0x
Fib.test2	128	80	524	189	128	81	524	189	1.0x	1.0x
PSort.test1	288	69	1294	144	288	71	1294	144	1.0x	1.0x
PSort.test2	5760	1385	25829	2880	288	71	1304	144	20.0x	19.5x
RegSim.test1	10080	806	27415	0	720	136	3923	0	14.0x	5.9x
RegSim.test2	11520	864	31576	0	384	70	2132	0	30.0x	12.3x
DHT.test1	1152	137	3905	0	36	6	141	0	32.0x	22.8x
DHT.test2	480	97	2304	0	12	4	85	0	40.0x	24.2x
Mail.test1	2648	557	11377	0	460	120	2270	0	5.8x	4.6x
Mail.test2	1665500	>200s	5109783	0	27880	4064	94222	0	>60x	49.2x
BB.test1	155520	23907	475205	0	4320	681	13214	0	36.0x	35.1x
BB.test2	1099008	165114	3028298	0	45792	6945	126192	0	24.0x	23.8x

- ▶ Except for the first two benchmarks, the pruning is huge, the speedup ranging from one to two orders of magnitude

- ▶ Part 1: Symbolic execution and TCG
  - Introduction
  - Handling heap-manipulating programs
  - Compositionality
- ▶ Part 2: CLP-based TCG
  - Introduction
  - Translation from imperative to CLP
  - Guided-TCG
  - Demo
- ▶ Part 3: TCG of Concurrent (Actor) Programs
  - The path explosion problem
  - Symbolic execution and TCG for actors
  - Demo

Define a TCG framework for Actors:

- ▶ Symbolic execution (previous part)
- ▶ Termination criteria
- ▶ Coverage criteria
- ▶ TCG with synchronization primitives (await and get)

# Symbolic Execution and TCG for Actor Models

## Coverage and Termination Criteria for Concurrent Objects

- ▶ *loop-k* coverage criteria: limits the number of times we iterate on loops for a task (similar to the sequential setting).

```
class A {  
  int f = 1;  
  void choose(int n, int m) {  
    if (n ≤ m) then this ! p(n);  
    else this ! q(m);  
  }  
  void p(int n) {  
    while (n > 0) {  
      this.f = this.f * n;  
      n = n - 1;  
    }  
  }  
  ...  
}
```

choose(N,M)  
{N ≤ M}  
p(N)

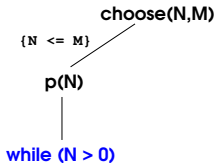
```
graph TD; A[choose(N,M)] -- "{N ≤ M}" --> B[p(N)];
```

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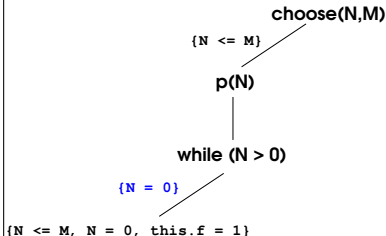


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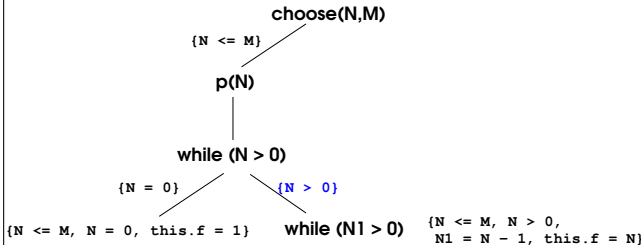


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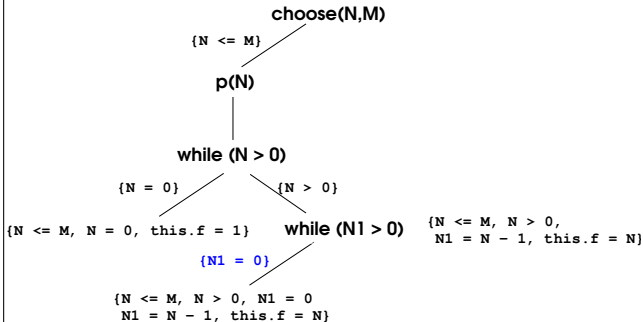


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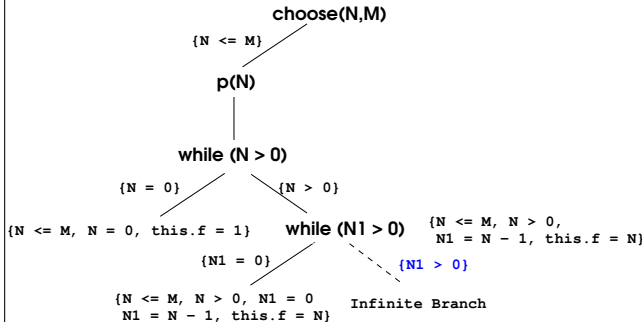


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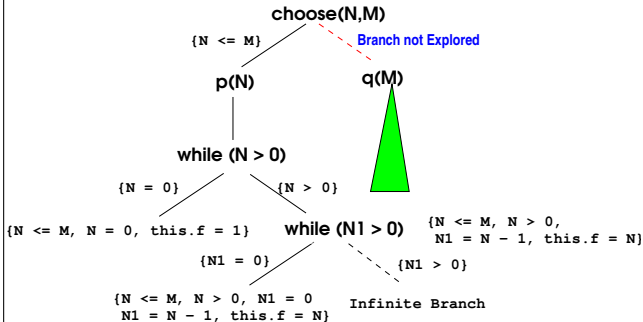


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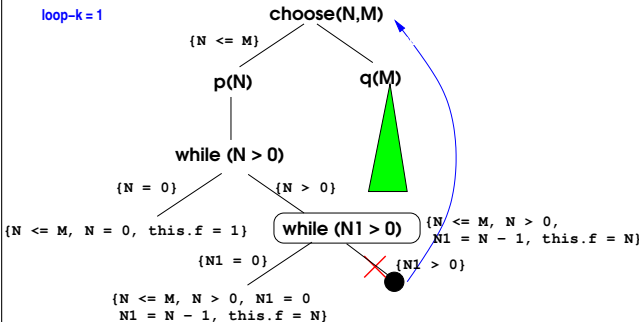


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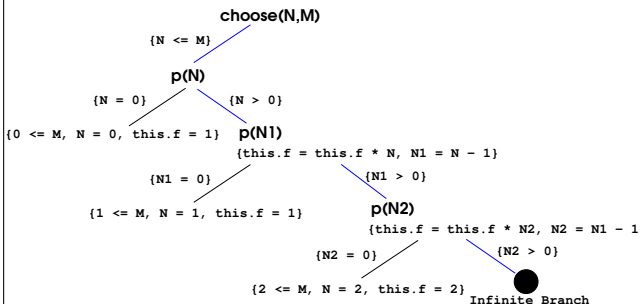


# Symbolic Execution and TCG for Actor Models

## Coverage and Termination Criteria for Concurrent Objects

*Task-switching* coverage criteria: limit the number of task switches per object

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  }  
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    if (n > 0) then {  
      this.f = this.f * n;  
      this ! p(n-1);  
    }  
  }  
  ...  
}
```

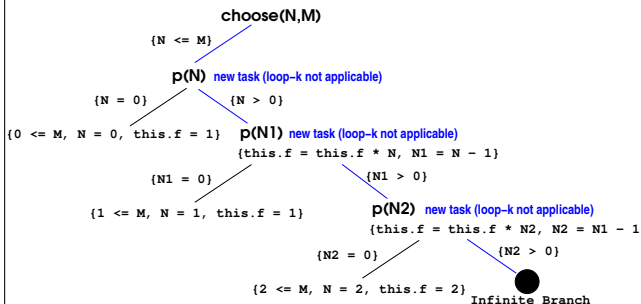


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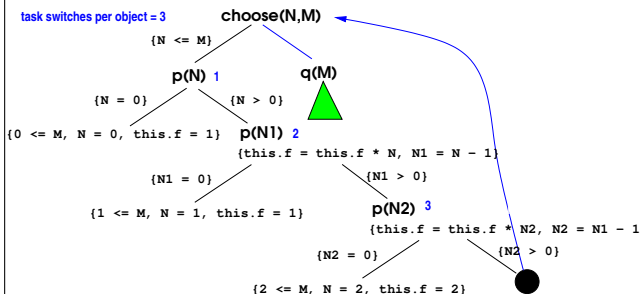


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*Number of objects* coverage criteria: limits the total number of created objects during the execution.

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    if (n==0) then bodyThen  
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      A a = new A(...);  
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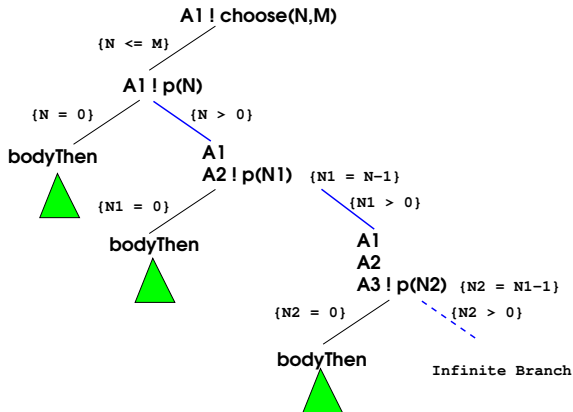
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# Symbolic Execution and TCG for Actor Models

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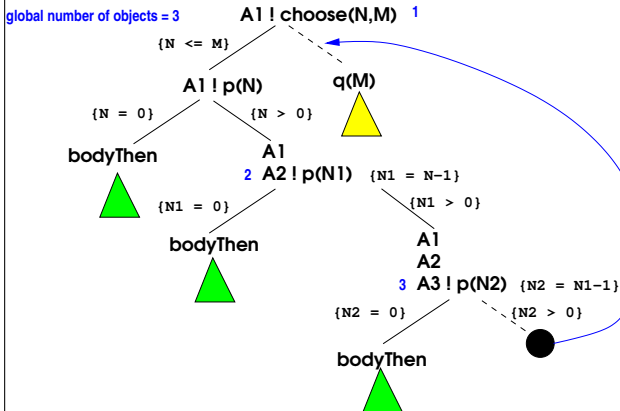
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**await** and **get** primitives

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# Synchronization Primitives for Concurrent Objects

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- ▶  $y = x.\text{get}$ : If the value of x is ready then the execution proceeds. Otherwise the execution in the current object is blocked until the value of x be ready. Another task is selected to be executed

```
y = o ! q(n);  
await y?;  
z = y.get;
```

# Synchronization Primitives for Concurrent Objects

## Task Interleavings

- ▶ When a task  $t$  **suspends**, there could be other tasks on the same object whose execution at this point could interleave with  $t$  and modify the information stored in the heap.



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```
class A {  
  int n;  
  int p(...) {  
    n=0;  
    await ...;  
    if (n  $\geq$  0) ...; else ...;  
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    await ...;  
    if (n  $\geq$  0) ...; else ...;  
  }  
}
```

- ▶ The symbolic execution of  $p$  will consider just the path that goes through the **if** branch;
- ▶ There can be another task (suspended in the queue of the object) which executes when  $p$  suspends and writes a negative value on  $n$ . This would exercise the **else** branch when  $p$  resumes.

## Local Trace

Given a method  $m$ , the **local trace** associated with an execution of  $m$  is the sequence of instructions that belong to  $m$ .

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- ▶ The objective is to overapproximate, for each method  $m$ , the set **related( $m$ )**, which contains all methods whose interleaved execution with  $m$  can lead to a local execution not considered before.
- ▶ Initially **related( $m$ )** will contains all methods of the class under test.
- ▶ Limit the size of the queue

# Reducing the set **related(m)**

## Pruning 1

Discard those methods which do not modify the heap



# Reducing the set **related(m)**

## Pruning 1

Discard those methods which do not modify the heap

```
class A {  
  int f;  
  int g;  
  int p(B o, int n) {  
    this.f = this.f + 1;  
    y = o ! q(n);  
    await y?;  
    z = y.get;  
    return z + this.f;  
  }  
  void setF(int v) { this.f = v; }  
  void setG(int v) { this.G = v; }  
  void set(int v1, int v2) { this.setF(v1); this.setG(v2); }  
}
```

}  $\Rightarrow \text{related}(p) = \{\text{setF}, \text{setG}, \text{set}\}$

# Reducing the set **related(m)**

## Pruning 2

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class A {  
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  int g;  
  int p(B o, int n) {  
    this.f = this.f + 1;  
    y = o ! q(n);  
    await y?;  
    z = y.get;  
    return z + this.f;  
  }  
  void setF(int v) { this.f = v; }  
  void setG(int v) { this.G = v; }  
  void set(int v1, int v2) { this.setF(v1); this.setG(v2); }  
}
```

}  $\Rightarrow \text{related}(p) = \{\text{setF}, \text{setG}\}$

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Consider only interleavings with those methods that write directly on fields which are used before an **await** and used after the **await**

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- ▶ Part 1: Symbolic execution and TCG
  - Introduction
  - Handling heap-manipulating programs
  - Compositionality
- ▶ Part 2: CLP-based TCG
  - Introduction
  - Translation from imperative to CLP
  - Guided-TCG
  - Demo
- ▶ Part 3: TCG of Concurrent (Actor) Programs
  - The path explosion problem
  - Symbolic execution and TCG for actors
  - Demo

## Conclusions

- ▶ Symbolic execution of actor systems [PADL'12]
- ▶ We have proposed termination and coverage criteria for actors
- ▶ We have proposed different prunings to consider task interleavings in TCG [ICLP'12]
- ▶ An implementation of the technique [ACM/FSE'13]
- ▶ We have proposed two improvements to the state-of-the-art algorithm for testing actor systems [FORTE'14]
  - ① Actor selection strategy based on actors stability
  - ② Task selection based on task independence

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## Ongoing/Future Work

- ▶ Experiment with more intelligent heuristics
- ▶ Improve sufficient condition for task independence



## (CLP-based) TCG based on Symbolic Execution:

- ▶ Symbolic execution is the standard approach to generating glass-box test cases statically
- ▶ The main challenges in TCG based on symbolic execution are related to the scalability of the approach
- ▶ We have presented a (scalable) approach to TCG of heap-manipulating programs
- ▶ We have studied compositionality in TCG
- ▶ Guided TCG

## CLP-based TCG for Actor Systems:

- ▶ Novel termination and coverage criteria
- ▶ Elimination of redundant exploration
- ▶ Consider tasks interleavings