

School on Nonlinear Elliptic Problems

Dipartimento di Matematica e Applicazioni
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On higher order p -Kirchhoff problems

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Milan – January 20–24, 2014

- **G. Autuori, F. Colasuonno, P. P.**, *On the existence of stationary solutions for higher order p -Kirchhoff problems via variational methods*, Commun. Contemp. Math., pages 42.
- **G. Autuori, F. Colasuonno, P. P.**, *Lifespan estimates for solutions of polyharmonic Kirchhoff systems*, Math. Models Methods Appl. Sci. 22, Issue 02 - February 2012 - 1150009, pages 36.
- **F. Colasuonno, P. P.**, *Multiplicity of solutions for $p(x)$ -polyharmonic Kirchhoff equations*, Nonlinear Anal. 74 (2011), 5962–5974.
- **G. Autuori, P. P.**, *Local asymptotic stability for polyharmonic Kirchhoff systems*, Appl. Anal., Special Volume dedicated to Prof. P.L. Butzer, 90 (2011), 493-514.

- **M. Delitala, P. P., M.C. Salvatori**, *From methods of the mathematical kinetic theory for active particles to modelling Virus mutations*, Math. Models Methods Appl. Sci., 21 (2011), 843-870.
- **G. Autuori, P. P.**, *Asymptotic stability for Kirchhoff systems in variable exponent Sobolev spaces*, Complex Var. Elliptic Equ., Special Volume dedicated to Prof. V.V. Zhikov, 56 (2011), 715-753.
- **G. Autuori, P. P.**, *Kirchhoff systems with dynamic boundary conditions*, Nonlinear Anal., 73 (2010), 1952-1965.
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- **P. P., S. Saldi**, *Multiple solutions for an eigenvalue problem involving non-local elliptic p -Laplacian operator – Dedicated to Professor Ermanno Lanconelli*, submitted for publication, pages 16.
- **P. P., S. Saldi**, *Critical stationary Kirchhoff equations in \mathbb{R}^N involving nonlocal operators*, in preparation, pages 17.
- **P. P., Q. Zhang**, *Existence of entire solutions for a class of variable exponent elliptic equations*, in preparation, pages 39.

Model Problem

First, consider the eigenvalue p -Kirchhoff Dirichlet problem

$$\begin{cases} M(\|u\|^p) \Delta_p^L u = \lambda \{ \gamma \|u\|_{p,w}^{p(\gamma-1)} w(x) |u|^{p-2} u + f(x, u) \} \text{ in } \Omega, \\ D^\alpha u_k|_{\partial\Omega} = 0 \text{ for all } \alpha, \text{ with } |\alpha| \leq L-1, k = 1, \dots, d, \end{cases} \quad (1)$$

where $\Omega \subset \mathbb{R}^n$ is a bounded domain, $n \geq 1$,

$u = (u_1, \dots, u_d) = u(x)$, $d \geq 1$, $p > 1$, $L = 1, 2, \dots$, $\lambda \in \mathbb{R}$, α is a multi-index, $\gamma \in [1, p_L^*/p)$ and p_L^* is the critical Sobolev exponent

$$p_L^* = \begin{cases} \frac{np}{n-Lp}, & \text{if } n > Lp, \\ \infty, & \text{if } 1 \leq n \leq Lp. \end{cases} \quad (2)$$

The vectorial p -polyharmonic operator Δ_p^L is defined by

$$\Delta_p^L \varphi = \begin{cases} \mathcal{D}_L(|\mathcal{D}_L \varphi|^{p-2} \mathcal{D}_L \varphi), & \text{if } L = 2j, \\ -\operatorname{div} \{ \Delta^{j-1} (|\mathcal{D}_L \varphi|^{p-2} \mathcal{D}_L \varphi) \}, & \text{if } L = 2j-1, \end{cases} \quad \text{for } j = 1, 2, \dots$$

Model Problem

for all $\varphi = (\varphi_1, \dots, \varphi_d) \in [C_0^\infty(\Omega)]^d$, where \mathcal{D}_L denotes the vectorial operator

$$\mathcal{D}_L \varphi = \begin{cases} (\Delta^j \varphi_1, \dots, \Delta^j \varphi_d), & \text{if } L = 2j, \\ (D\Delta^{j-1} \varphi_1, \dots, D\Delta^{j-1} \varphi_d), & \text{if } L = 2j - 1, \end{cases} \quad \text{for } j = 1, 2, \dots \quad (3)$$

For all $x \in \Omega$ the vector $\mathcal{D}_L \varphi(x)$ has dimension d if L is even or dn if L is odd and, in both cases, the dimension is simply denoted by N . The vectorial p -polyharmonic operator Δ_p^L in the weak sense is

$$\langle \Delta_p^L u, \varphi \rangle = \int_{\Omega} |\mathcal{D}_L u|^{p-2} \mathcal{D}_L u \cdot \mathcal{D}_L \varphi \, dx$$

for all $u, \varphi \in [W_0^{L,p}(\Omega)]^d$.

When $d = 1$ the scalar p -polyharmonic operator Δ_p^L was first introduced in

[CP] **F. Colasuonno, P. P.**, *Multiplicity of solutions for $p(x)$ -polyharmonic Kirchhoff equations*, *Nonlinear Anal.* 74 (2011), 5962-5974.

for all $L \geq 1$ and $p > 1$. In the scalar case Δ_p^2 is exactly the well-known p -biharmonic operator

$\Delta_p^2 \psi = \Delta(|\Delta \psi|^{p-2} \Delta \psi)$ for all $\psi \in C_0^\infty(\Omega)$ defined in the pioneering paper

[KN] **A. Kratochvíl, J. Nečās**, *The discreteness of the spectrum of a nonlinear Sturm–Liouville equation of fourth order*, *Comment. Math. Univ. Carolinæ* 12 (1971), 639-653,

see also

[DO] **P. Drábek and M. Ôtani**, *Global bifurcation result for the p -biharmonic operator*, *Electron. J. Differential Equations* 2001 (2001), 1-19.

Lubyshev proved in

[L] **V.F. Lubyshev**, *Multiple solutions of an even-order nonlinear problem with convex-concave nonlinearity*, *Nonlinear Anal.* 74 (2011), 1345-1354.

the existence of multiple solutions of a nonlinear Dirichlet problem governed by the scalar operator Δ_p^L only for L even.

In the 2-dimensional scalar case (1) arises from the theory of thin plates and describes the deflection $u = u(x_1, x_2)$ of the middle surface of a p -power-like elastic isotropic flat plate of uniform thickness, with non-local flexural rigidity of the plate $M(\|u\|^p)$ depending continuously on $\|u\|^p$ of the deflection u and subject to nonlinear source forces. The coordinates (x_1, x_2) are taken in the plane $x_3 = 0$ of the middle surface of the plate before bending. For other scalar problems modeled by (1) we refer to the Introduction of [CP].

For more standard polyharmonic problems we mention the recent monograph

- [GGS] **F. Gazzola, H.-C. Grunau, G. Sweers,**
Polyharmonic boundary value problems. Positivity preserving and nonlinear higher order elliptic equations in bounded domains, Lecture Notes in Mathematics, Vol. 1991 (Springer–Verlag, Berlin, 2010) xviii+423 pp.

Problem (1) is a nonlinear perturbation of the natural eigenvalue problem associated to the non-local higher order operator $M(\|u\|^p)\Delta_p^L u$. The perturbation $f : \Omega \times \mathbb{R}^d \rightarrow \mathbb{R}^d$ is a *Carathéodory function*, with growth at infinity q , $1 < q < p$. The main assumption (\mathcal{F}) on f is stated later. The weight w is *positive a.e. in Ω* and

$$w \in L^\varpi(\Omega), \quad \varpi > \frac{n}{n - \gamma[n - Lp]^+}. \quad (4)$$

Restriction (4) is meaningful, being $\gamma \in [1, p_L^*/p)$. The *Kirchhoff function* $M : \mathbb{R}_0^+ \rightarrow \mathbb{R}_0^+$ is assumed to verify the general structural assumption

The Kirchhoff function M

(\mathcal{M}) M is continuous, non-decreasing and there exists $s > 0$ such that

$$s\gamma\tau^\gamma \leq \tau M(\tau) \quad \text{for all } \tau \in \mathbb{R}^+.$$

From now on, we denote by $\mathcal{M}(\tau) = \int_0^\tau M(z)dz$ for all $\tau \in \mathbb{R}_0^+$. **Problem (1) is called degenerate if $M(0) = 0$, otherwise, if $M(0) > 0$, it is non-degenerate.**

The standard Kirchhoff function introduced in

[K] **G.R. Kirchhoff**, *Vorlesungen über mathematische Physik: Mechanik*, Teubner, Leipzig, 1883) 465 pp.

is

$$M(\tau) = a + b\gamma\tau^{\gamma-1}, \quad a, b \geq 0, \quad a + b > 0, \quad \text{with}$$
$$\gamma \begin{cases} \in (1, p_L^*/p), & \text{if } b > 0, \\ = 1, & \text{if } b = 0, \end{cases} \quad s = \begin{cases} b, & \text{if } b > 0, \\ a, & \text{if } b = 0, \end{cases}$$

which clearly verifies condition (\mathcal{M}).

The Kirchhoff function M

For such M 's (1) is *degenerate* if $a = 0$ and $b > 0$, and *non-degenerate* when $a > 0$ and $b \geq 0$. Finally, when $a > 0$ and $b = 0$, the Kirchhoff function M is simply a constant and (1) reduces to a local quasilinear elliptic Dirichlet problem.

The main difficult point of this work is *to cover the more delicate degenerate case*, in which compactness properties are harder to handle. The efforts in treating the degenerate case require a special care and a deeper analysis, as the main proof of Lemma 2.3 shows.

For this reason, even the most recent papers on stationary problems cover only the non-degenerate case, where $\gamma = 1$ in (\mathcal{M}) , that is when $M(\tau) \geq s > 0$ for all $\tau \in \mathbb{R}_0^+$; see e.g.

- [CWL] B. Cheng, X. Wu, J. Liu, *Multiple solutions for a class of Kirchhoff type problems with concave nonlinearity*, NoDEA Nonlinear Differential Equations Appl. 19 (2012) 521–537.
- [FV] A. Fiscella, E. Valdinoci, *A critical Kirchhoff type problem involving a nonlocal operator*, Nonlinear Anal. 94, 156–170. (2014).
- [LLS] Y. Li, F. Li, J. Shi, *Existence of a positive solution to Kirchhoff type problems without compactness conditions*, J. Differ. Equations 253 (2012) 2285–2294.
- [P] R. Pei, *On a p -Laplacian Equation of Kirchhoff-Type with a Potential Asymptotically Linear at Infinity*, Int. Journal of Math. Analysis 6 (2012) 1347–1353.

A preliminary study of Δ_p^L only when $d = 1$ has been first developed in [CP], where a possibly degenerate scalar stationary p -polyharmonic Kirchhoff Dirichlet problem has been considered.

In the higher order vectorial setting several different norms are available for the solution functional space $[W_0^{L,p}(\Omega)]^d$. We prove the equivalence between the standard Sobolev norm and the norm $\|u\| = \|\mathcal{D}_L u|_N\|_p$, which is the natural norm arising from the variational structure of problem (1). The proof of the equivalence is based on the *Poincaré* and *Caldéron–Zygmund* inequalities and relies on Proposition A.1 proved in [CP] when $d = 1$.

Proposition A.1 of [CP] when $d = 1$

If $L = 1, 2, \dots$, then there exists a positive constant $\kappa_L = \kappa_L(n, p)$ such that for all $u \in W_0^{L,p}(\Omega)$

$$\|u\|_{\mathfrak{D}^{L,p}(\Omega)} \leq \kappa_L \|\mathcal{D}_L u\|_p, \quad (5)$$

where

$$\mathcal{D}_L u = \begin{cases} \Delta^j u & \text{if } L = 2j, \\ D\Delta^{j-1}u & \text{if } L = 2j - 1, \end{cases} \quad j = 1, 2, \dots$$

We distinguish two cases depending on whether L is even or odd.

Case $L = 2j$. Proceed by induction on $j = 1, 2, \dots$. The case $j = 1$ is true by the consequence of the Caldéron–Zygmund inequality cf. Corollary 9.10 the monograph of Gilbarg and Trudinger. Indeed, there

Proposition A.1 of [CP] when $d = 1$

exists a constant $\kappa_2 = \kappa_2(n, p) > 0$ such that for all $u \in W_0^{2,p}(\Omega)$

$$\|u\|_{\mathfrak{D}^{2,p}(\Omega)} \leq \kappa_2 \|\Delta u\|_p = \kappa_2 \|\mathcal{D}_2 u\|_p.$$

Suppose that the inequality (5) holds for $j \geq 1$ and show that it is true also for $j + 1$. Let u be in $C_0^\infty(\Omega)$ and $L = 2(j + 1)$, then

$$\begin{aligned} \|u\|_{\mathfrak{D}^{L,p}(\Omega)}^p &= \sum_{|\alpha|=L} \|D^\alpha u\|_p^p = \sum_{|\alpha|=2j} \sum_{|\beta|=2} \|D^\beta(D^\alpha u)\|_p^p = \sum_{|\alpha|=2j} \|D^\alpha u\|_{\mathfrak{D}^{2,p}(\Omega)}^p \\ &\leq \kappa_2^p \sum_{|\alpha|=2j} \|\Delta(D^\alpha u)\|_p^p = \kappa_2^p \sum_{|\alpha|=2j} \|D^\alpha(\Delta u)\|_p^p = \kappa_2^p \|\Delta u\|_{\mathfrak{D}^{2j,p}(\Omega)}^p \\ &\leq (\kappa_2 \kappa_{2j})^p \|\mathcal{D}_{2j}(\Delta u)\|_p^p = \kappa_L^p \|\mathcal{D}_L u\|_p^p, \end{aligned}$$

where $\kappa_L = \kappa_2 \kappa_{2j}$, and κ_2 is given above. We conclude the proof using density arguments.

Proposition A.1 of [CP] when $d = 1$

Case $L = 2j - 1$. For $L = 1$ inequality (5) is trivial, indeed it holds with the equality sign by the definitions of the norms. Proceeding by induction on $j = 1, 2, \dots$, we suppose the inequality holds for j and we show that it is true also for $j + 1$, namely for $L = 2j + 1$. Indeed for all $u \in C_0^\infty(\Omega)$,

$$\begin{aligned}\|u\|_{\mathfrak{D}^{L,p}(\Omega)}^p &= \sum_{|\alpha|=2j-1} \sum_{|\beta|=2} \|D^\beta(D^\alpha u)\|_p^p \leq \kappa_2^p \sum_{|\alpha|=2j-1} \|\Delta(D^\alpha u)\|_p^p \\ &= \kappa_2^p \sum_{|\alpha|=2j-1} \|D^\alpha(\Delta u)\|_p^p = \kappa_2^p \|\Delta u\|_{\mathfrak{D}^{2j-1,p}(\Omega)}^p \\ &\leq (\kappa_2 \kappa_{2j-1})^p \|\mathcal{D}_{2j-1}(\Delta u)\|_p^p = \kappa_L^p \|D\Delta^{j-1}(\Delta u)\|_p^p = \kappa_L^p \|\mathcal{D}_L u\|_p^p\end{aligned}$$

where $\kappa_L = \kappa_2 \kappa_{2j-1}$ and κ_2 is given above. The proof is completed again using density arguments.

However, the space $([W_0^{L,p}(\Omega)]^d, \|\cdot\|)$ is uniformly convex, as shown in this paper by a useful inequality given in Lemma A.1 of

[APV] G. Autuori, P. P., Cs. Varga, *Existence theorems for quasilinear elliptic eigenvalue problems in unbounded domains*, Adv. Differential Equ. 18 (2013) 1-48.

We conclude the first part of the talk with the easier problem

$$\begin{cases} M(\|u\|^p)\Delta_p^L u = \lambda f(x, u) & \text{in } \Omega, \\ D^\alpha u_k|_{\partial\Omega} = 0 \text{ for all } \alpha, \text{ with } |\alpha| \leq L-1, k = 1, \dots, d, \end{cases} \quad (6)$$

which is the main model first treated in

[KLV] **A. Kristály, H. Lisei, Cs. Varga**, *Multiple solutions for p -Laplacian type equations*, *Nonlinear Anal.* **68** (2008) 1375-1381,

when $M \equiv 1$, $L = d = 1$ and $p \geq 2$, and in which the right-hand side of the system presents only the term $\lambda f(x, u)$. The main result for (41), an analogue of the principle theorem for (1), is proved under a simpler and more direct condition on f and without the use of the first eigenfunction of Δ_p^L .

Later we assume that $\gamma = 1$, that is we deal with the *non-degenerate* case of (1), being $M(\tau) \geq s > 0$ for all $\tau \in \mathbb{R}_0^+$ by (\mathcal{M}) . Hence, we are devoted to the study of the special higher order p -Kirchhoff problem

$$\begin{cases} M(\|u\|^p) \Delta_p^L u = \lambda \{w(x)|u|^{p-2}u + f(x, u)\} & \text{in } \Omega, \\ D^\alpha u_k|_{\partial\Omega} = 0 & \text{for all } \alpha, \text{ with } |\alpha| \leq L-1, k = 1, \dots, d, \end{cases} \quad (7)$$

where here w satisfies (4), with $\gamma = 1$.

We then extend the results of the non-degenerate case $\gamma = 1$ to the $p(x)$ -polyharmonic Kirchhoff problem

$$\begin{cases} M(\mathcal{J}_L(u))\Delta_{p(x)}^L u = \lambda\{w(x)|u|^{p(x)-2}u + f(x, u)\} \text{ in } \Omega, \\ D^\alpha u_k|_{\partial\Omega} = 0 \text{ for all } \alpha, \text{ with } |\alpha| \leq L-1, k = 1, \dots, d, \end{cases} \quad (8)$$

where now $\Omega \subset \mathbb{R}^n$ is a bounded domain with Lipschitz boundary and

$$\mathcal{J}_L(u) = \int_{\Omega} \frac{|\mathcal{D}_L u|^{p(x)}}{p(x)} dx \quad (9)$$

is the Dirichlet functional associated to the weak form of $\Delta_{p(x)}^L$, that is related to

$$\langle \Delta_{p(x)}^L u, \varphi \rangle = \int_{\Omega} |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u \cdot \mathcal{D}_L \varphi dx$$

for all $u, \varphi \in [W_0^{L,p(\cdot)}(\Omega)]^d$.

References for variable exponent Lebesgue and Sobolev spaces

The solution functional space is the vector-valued variable exponent Sobolev space $[W_0^{L,p(\cdot)}(\Omega)]^d$, which in the scalar case $d = 1$ has been extensively studied in the last two decades, see

[D] **L. Diening**, *Riesz potential and Sobolev embeddings on generalized Lebesgue and Sobolev spaces $L^{p(\cdot)}$ and $W^{k,p(\cdot)}$* , Math. Nachr. 268 (2004) 31-43.

[DHHR] **L. Diening, P. Harjulehto, P. Hästö and M. Růžička**, *Lebesgue and Sobolev spaces with variable exponents*, Lecture Notes in Mathematics, Vol. 2017 (Springer-Verlag, Berlin, 2011) ix+509 pp.

[ER] **D.E. Edmunds, J. Rákosník**, *Sobolev embeddings with variable exponent*, Studia Math. 143 (2000) 267-293.

The variable exponent case

[KR] O. Kováčik, J. Rákosník, *On spaces $L^{p(x)}$ and $W^{1,p(x)}$* , Czechoslovak Math. J. 41 (1991) 592-618.

[MOSS] Y. Mizuta, T. Ohno, T. Shimomura, N. Shioji, *Compact embeddings for Sobolev spaces of variable exponents and existence of solutions for nonlinear elliptic problems involving the $p(x)$ -Laplacian and its critical exponent*, Ann. Acad. Sci. Fenn. Math. 35 (2010) 115-130.

Indeed, the variable exponent Lebesgue and Sobolev spaces arouse a great interest not only for the mathematical curiosity, but also for concrete applications. For instance, in models where linear elasticity (Hooke's law) is replaced by $p(x)$ -power-like elasticity. Problem (8) can be used in modeling steady electrorheological fluids (that is fluids whose mechanical properties strongly depend on the applied electromagnetic field).

See

[DER] L. Diening, F. Ettwein, M. Růžička, *$C^{1,\alpha}$ -regularity for electrorheological fluids in two dimensions*, NoDEA Nonlinear Differential Equations Appl. 14 (2007) 207–217.

[R] M. Růžička, *Electrorheological fluids: modeling and mathematical theory*, Lecture Notes in Mathematics Vol. 1748 (Springer–Verlag, Berlin, 2000) xvi+176 pp.

for more specific comments. The range of applications of electrorheological fluids is wide and includes vibration absorbers, engine mounts, earthquake-resistant buildings, clutches, etc.

The variable exponent case

However, the vectorial case does not seem to be so well-known, so that in this lectures we present also the main properties of $[W_0^{L,p(\cdot)}(\Omega)]^d$.

We require that *the variable exponent p is of a specific class $C_+(\overline{\Omega})$* satisfies all the standard assumptions which are natural in this setting. For simplicity, we also *assume that*

$$\text{either} \quad \frac{n}{L} > p_+ = \max_{\overline{\Omega}} p \quad \frac{n}{L} \leq p_- = \min_{\overline{\Omega}} p.$$

The weight w is *positive a.e. in Ω and of class $L^\varpi(\Omega)$, with $\varpi > n/(n - [n - Lp_-]^+)$* . Furthermore, the most interesting case occurs when $p_- < p_+$, that is in the so-called *nonstandard growth condition of (p_-, p_+) type*; cf.

The variable exponent case

[AS] S. Antontsev, S. Shmarev, *Elliptic equations and systems with nonstandard growth conditions: existence, uniqueness, localization properties of solutions*, *Nonlinear Anal.* 65 (2006) 728-761.

The main reason why the $p(x)$ -Laplace operators possess more complicated behavior is the fact that they are no longer homogeneous. Moreover, the first eigenvalue λ_1 of the $p(x)$ -Laplace Dirichlet problem could be zero, see

[FZZ] X. Fan, Q. Zhang, D. Zhao, *Eigenvalues of $p(x)$ -Laplacian Dirichlet problem*, *J. Math. Anal. Appl.* 302 (2005) 306-317.

When $L = d = 1$, in [FZZ] and then in

[A] W. Allegretto, *Form estimates for the $p(x)$ -Laplacian*, *Proc. Amer. Math. Soc.* 135 (2007) 2177-2185.

The first eigenvalue λ_1 of the $p(x)$ -polyharmonic Dirichlet problem

[MRS] M. Mihăilescu, V. Rădulescu, D. Stancu–Dumitru,
*A Caffarelli–Kohn–Nirenberg–type inequality with
variable exponent and applications to PDEs,*
Complex Var. Elliptic Equ. 56 (2011) 659–669.

the authors give sufficient conditions on the function p
in order to have $\lambda_1 > 0$.

We carry on a long discussion on the positivity of the
first eigenvalue of the $p(x)$ -polyharmonic Dirichlet
problem when $L, d \geq 1$, and finally prove the main
results of this case.

Preliminaries for (1)

In the scalar setting, by $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}_0^n$ we denote a multi-index, with length $|\alpha| = \sum_{i=1}^n \alpha_i \leq L$ and the corresponding partial differentiation

$$D^\alpha = \frac{\partial^{|\alpha|}}{\partial x_1^{\alpha_1} \dots \partial x_n^{\alpha_n}}.$$

Throughout the talk we assume that $1 < p < \infty$ and denote by $W_0^{L,p}(\Omega)$ the completion of $C_0^\infty(\Omega)$ with respect to the standard norm $\|\psi\|_{W^{L,p}(\Omega)} = \left(\sum_{|\alpha| \leq L} \|D^\alpha \psi\|_p^p \right)^{1/p}$. By the *Poincaré* and the *Caldéron–Zygmund* inequalities, Proposition A.1 in [CP] shows that the standard norm $\|\cdot\|_{W^{L,p}(\Omega)}$ and the norm

$$\|\psi\|_{L,p} = \begin{cases} \|\Delta^j \psi\|_p, & \text{if } L = 2j, \\ \left(\sum_{i=1}^n \|\partial_{x_i} \Delta^{j-1} \psi\|_p^p \right)^{1/p}, & \text{if } L = 2j - 1, \end{cases} \quad j = 1, 2, \dots \quad (10)$$

are equivalent in $W_0^{L,p}(\Omega)$.

Preliminaries for (1)

As already noted, since we are *in the vectorial setting*, we denote by \mathcal{D}_L the vectorial operator defined in (3). Hence, if $L = 1$, the operator \mathcal{D}_1 writes the pointwise Jacobian matrix of u , $Ju(x) \in \mathcal{M}_{d \times n}(\mathbb{R})$, as the dn -row vector $\mathcal{D}_1 u(x) \in \mathbb{R}^{dn}$. Furthermore, for all $L = 1, 2, \dots$, the norm

$$\|u\|_{d,L,p} = \left(\sum_{k=1}^d \|u_k\|_{L,p}^p \right)^{1/p}$$

in $[W_0^{L,p}(\Omega)]^d$ is equivalent to the standard norm

$$\|u\|_{[W^{L,p}(\Omega)]^d} = \left(\sum_{k=1}^d \|u_k\|_{W^{L,p}(\Omega)}^p \right)^{1/p},$$

as a direct consequence of Proposition A.1 in [CP].

Moreover, $\left([W_0^{L,p}(\Omega)]^d, \|\cdot\|_{d,L,p} \right)$ is a uniformly convex Banach space.

$\left([W_0^{L,p}(\Omega)]^d, \|\cdot\|_{d,L,p}\right)$ is a uniformly convex Banach space

Indeed, the vector-valued space $\left([W_0^{L,p}(\Omega)]^d, \|\cdot\|_{d,L,p}\right)$ is the Cartesian product of d copies of the scalar space $W_0^{L,p}(\Omega)$ endowed with the norm $\|u\|_{L,p}$ defined in (10). It is enough to prove that $\left(W_0^{L,p}(\Omega), \|\cdot\|_{L,p}\right)$ is uniformly convex. Indeed, this implies that $\left([W_0^{L,p}(\Omega)]^d, \|\cdot\|_{d,L,p}\right)$ is uniformly convex, by Theorem 1.22 of

[A] **R.A. Adams**, *Sobolev spaces*, Pure and Applied Mathematics Vol. 65 (Academic Press, New York–London, 1975) xviii+268 pp.

We distinguish two cases depending on whether L is even or odd.

Case $L = 2j$, $j = 1, 2, \dots$

Fix $\varepsilon \in (0, 2)$ and let $u, v \in W_0^{2j,p}(\Omega)$ be such that

$\|u\|_{L,p} = \|v\|_{L,p} = 1$ and $\|u - v\|_{L,p} \geq \varepsilon$.

Consider first the case $p \in [2, \infty)$. By (35) of Lemma 2.27 of [A], we have that for all $z, \zeta \in \mathbb{R}$

$$\left| \frac{z + \zeta}{2} \right|^p + \left| \frac{z - \zeta}{2} \right|^p \leq \frac{1}{2}(|z|^p + |\zeta|^p).$$

Hence,

$$\begin{aligned} \left\| \frac{u + v}{2} \right\|_{L,p}^p + \left\| \frac{u - v}{2} \right\|_{L,p}^p &= \int_{\Omega} \left(\left| \frac{\Delta^j u + \Delta^j v}{2} \right|^p + \left| \frac{\Delta^j u - \Delta^j v}{2} \right|^p \right) dx \\ &\leq \frac{1}{2} \int_{\Omega} (|\Delta^j u|^p + |\Delta^j v|^p) dx \\ &= \frac{1}{2} \left(\|u\|_{L,p}^p + \|v\|_{L,p}^p \right) = 1. \end{aligned}$$

Case $L = 2j$, $j = 1, 2, \dots$

This implies that

$$\left\| \frac{u+v}{2} \right\|_{L,p}^p \leq 1 - \left(\frac{\varepsilon}{2} \right)^p$$

and so, taking $\delta = \delta(\varepsilon)$ such that $1 - (\varepsilon/2)^p = (1 - \delta)^p$, the proof of this case is concluded.

If $p \in (1, 2)$, then by Theorem 2.7 of [A]

$$\left\| \left\| \mathcal{D}_L \left(\frac{u+v}{2} \right) \right\|^{p'} \right\|_{p-1} + \left\| \left\| \mathcal{D}_L \left(\frac{u-v}{2} \right) \right\|^{p'} \right\|_{p-1} \leq \left\| \left\| \mathcal{D}_L \left(\frac{u+v}{2} \right) \right\|^{p'} + \left\| \mathcal{D}_L \left(\frac{u-v}{2} \right) \right\|^{p'} \right\|_{p-1}$$

In other words

$$\left\| \frac{u+v}{2} \right\|_{L,p}^{p'} + \left\| \frac{u-v}{2} \right\|_{L,p}^{p'} \leq \left\| \left\| \mathcal{D}_L \left(\frac{u+v}{2} \right) \right\|^{p'} + \left\| \mathcal{D}_L \left(\frac{u-v}{2} \right) \right\|^{p'} \right\|_{p-1}, \quad (11)$$

since $|\Delta^j \psi|^{p'} \in L^{p-1}(\Omega)$ and $\| |\mathcal{D}_L \psi|^{p'} \|_{p-1} = \| \mathcal{D}_L \psi \|_p^{p'}$ for all $\psi \in W_0^{L,p}(\Omega)$.

Case $L = 2j, j = 1, 2, \dots$

Moreover, being $1 < p < 2$, by (34) of Lemma 2.27 of [A]

$$\left| \frac{z + \zeta}{2} \right|^{p'} + \left| \frac{z - \zeta}{2} \right|^{p'} \leq \left[\frac{1}{2} (|z|^p + |\zeta|^p) \right]^{1/(p-1)}$$


for all $z, \zeta \in \mathbb{R}$. Hence,

$$\begin{aligned} \left\| \left| \mathcal{D}_L \left(\frac{u+v}{2} \right) \right|^{p'} + \left| \mathcal{D}_L \left(\frac{u-v}{2} \right) \right|^{p'} \right\|_{p-1} &\leq \left[\int_{\Omega} \frac{1}{2} (|\Delta^j u|^p + |\Delta^j v|^p) dx \right]^{1/(p-1)} \\ &= \left(\frac{1}{2} \|u\|_{L,p}^p + \frac{1}{2} \|v\|_{L,p}^p \right)^{1/(p-1)} = 1 \end{aligned} \quad (12)$$

Combining together (11) and (12), we get

$$\left\| \frac{u+v}{2} \right\|_{L,p}^{p'} \leq 1 - \left\| \frac{u-v}{2} \right\|_{L,p}^{p'} \leq 1 - \left(\frac{\varepsilon}{2} \right)^{p'}.$$

It is enough to take $\delta = \delta(\varepsilon)$ such that

$1 - (\varepsilon/2)^{p'} = (1 - \delta)^{p'}$ in order to conclude the proof also 

Case $L = 2j - 1, j = 1, 2, \dots$

in the case $1 < p < 2$.

Consider the vector-valued space

$[L^p(\Omega)]^n = ([L^p(\Omega)]^n, \|\cdot\|_{[L^p(\Omega)]^n})$, where

$$\|g\|_{[L^p(\Omega)]^n} = \left(\sum_{i=1}^n \|g_i\|_p^p \right)^{1/p} \quad \text{for all } g = (g_1, \dots, g_n) \in [L^p(\Omega)]^n.$$

The linear operator $T : W_0^{L,p}(\Omega) \rightarrow [L^p(\Omega)]^n$, defined for all $u \in W_0^{L,p}(\Omega)$ by

$$T(u) = (\partial_{x_1} \Delta^j u, \dots, \partial_{x_n} \Delta^j u),$$

is isometric. Furthermore, the space $[L^p(\Omega)]^n$ is uniformly convex, by Theorem 3 of

[D] M.M. Day, *Some more uniformly convex spaces*,
Bull. Amer. Math. Soc. 47 (1941) 504–507.

since $(L^p(\Omega), \|\cdot\|_p)$ is uniformly convex itself. Hence, also $(W_0^{L,p}(\Omega), \|\cdot\|_{L,p})$ is uniformly convex, being isometric to a uniformly convex Banach space. This concludes the proof.

However, since we are interested in the variational problem (1), *from now on we endow the space $[W_0^{L,p}(\Omega)]^d$ with the norm*

$$\|u\| = \|\mathcal{D}_L u|_N\|_p,$$

where $|\cdot|_N$ denotes the Euclidean norm in \mathbb{R}^N and $N = d$ when L is even, while $N = dn$ when L is odd. Also *the space $([W_0^{L,p}(\Omega)]^d, \|\cdot\|)$ is uniformly convex.*

$([W_0^{L,p}(\Omega)]^d, \|\cdot\|)$ is uniformly convex

Fix $\varepsilon \in (0, 2)$ and let $u, v \in [W_0^{L,p}(\Omega)]^d$ be such that $\|u\| = \|v\| = 1$ and $\|u - v\| \geq \varepsilon$.

Consider first the case $p \in [2, \infty)$. By (A.2) of Lemma A.1 of [APV], we have that for all $z, \zeta \in \mathbb{R}^N$

$$\left| \frac{z + \zeta}{2} \right|_N^p + \left| \frac{z - \zeta}{2} \right|_N^p \leq \frac{1}{2} (|z|_N^p + |\zeta|_N^p).$$

Hence, with $z = \mathcal{D}_L u$, $\zeta = \mathcal{D}_L v \in \mathbb{R}^N$, we get

$$\begin{aligned} \left\| \frac{u+v}{2} \right\|_p^p + \left\| \frac{u-v}{2} \right\|_p^p &= \int_{\Omega} \left(\left| \frac{\mathcal{D}_L u + \mathcal{D}_L v}{2} \right|_N^p + \left| \frac{\mathcal{D}_L u - \mathcal{D}_L v}{2} \right|_N^p \right) dx \\ &\leq \frac{1}{2} \int_{\Omega} (|\mathcal{D}_L u|_N^p + |\mathcal{D}_L v|_N^p) dx \\ &= \frac{1}{2} (\|u\|^p + \|v\|^p) = 1. \end{aligned}$$

This implies that

$$\left\| \frac{u+v}{2} \right\|^p \leq 1 - \left(\frac{\varepsilon}{2}\right)^p.$$

It is enough to take $\delta = \delta(\varepsilon)$ such that

$1 - (\varepsilon/2)^p = (1 - \delta)^p$, in order to conclude the proof.

If $p \in (1, 2)$, then by Theorem 2.7 of [A]

$$\begin{aligned} \left\| \left\| \mathcal{D}_L \left(\frac{u+v}{2} \right) \right\|_N \right\|_{p-1}^{p'} + \left\| \left\| \mathcal{D}_L \left(\frac{u-v}{2} \right) \right\|_N \right\|_{p-1}^{p'} \\ \leq \left\| \left\| \mathcal{D}_L \left(\frac{u+v}{2} \right) \right\|_N + \left\| \mathcal{D}_L \left(\frac{u-v}{2} \right) \right\|_N \right\|_{p-1}^{p'}. \end{aligned}$$

In other words

$$\left\| \frac{u+v}{2} \right\|^{p'} + \left\| \frac{u-v}{2} \right\|^{p'} \leq \left\| \left\| \mathcal{D}_L \left(\frac{u+v}{2} \right) \right\|_N + \left\| \mathcal{D}_L \left(\frac{u-v}{2} \right) \right\|_N \right\|_{p-1}^{p'},$$

$([W_0^{L,p}(\Omega)]^d, \|\cdot\|)$ is uniformly convex

since $|\mathcal{D}_L\phi|_N^{p'} \in L^{p-1}(\Omega)$ and $\| |\mathcal{D}_L\phi|_N^{p'} \|_{p-1} = \| |\mathcal{D}_L\phi|_N \|_p^{p'}$ for all $\phi \in [W_0^{L,p}(\Omega)]^d$. Moreover, being $1 < p < 2$, by (A.1) of Lemma A.1 of [APV], we have that for all $z, \zeta \in \mathbb{R}^N$

$$\left| \frac{z + \zeta}{2} \right|_N^{p'} + \left| \frac{z - \zeta}{2} \right|_N^{p'} \leq \left(\frac{1}{2} |z|_N^p + \frac{1}{2} |\zeta|_N^p \right)^{1/(p-1)}.$$

Hence, with $z = \mathcal{D}_L u$, $\zeta = \mathcal{D}_L v \in \mathbb{R}^N$, we get

$$\begin{aligned} \left\| \left| \mathcal{D}_L \left(\frac{u+v}{2} \right) \right|_N^{p'} + \left| \mathcal{D}_L \left(\frac{u-v}{2} \right) \right|_N^{p'} \right\|_{p-1} &\leq \left[\frac{1}{2} \int_{\Omega} (|\mathcal{D}_L u|_N^p + |\mathcal{D}_L v|_N^p) dx \right]^{1/(p-1)} \\ &= \left(\frac{1}{2} \|u\|^p + \frac{1}{2} \|v\|^p \right)^{1/(p-1)} = 1. \end{aligned} \tag{14}$$

Combining together (13) with (14), we obtain

$$\left\| \frac{u+v}{2} \right\|^{p'} \leq 1 - \left\| \frac{u-v}{2} \right\|^{p'} \leq 1 - \left(\frac{\varepsilon}{2} \right)^{p'}.$$

It is enough to take $\delta = \delta(\varepsilon)$ such that $1 - (\varepsilon/2)^{p'} = (1 - \delta)^{p'}$ in order to conclude the proof.

An easy calculation shows that the two norms $\|\cdot\|_{d,L,p}$ and $\|\cdot\|$ are equivalent in $[W_0^{L,p}(\Omega)]^d$. Indeed, for all $u \in [W_0^{L,p}(\Omega)]^d$

$$\min\{1, N^{\frac{1}{p}-\frac{1}{2}}\} \|u\| \leq \|u\|_{d,L,p} \leq \max\{1, N^{\frac{1}{p}-\frac{1}{2}}\} \|u\|.$$

In particular, the two norms coincide whenever either $p = 2$, or $N = 1$.

Preliminaries for (1)

The Lebesgue spaces $[L^\sigma(\Omega)]^m$ and $[L^\sigma(\Omega, \omega)]^m$, where $\sigma \geq 1$, ω is any weight on Ω and $m \geq 1$ is any dimension, are endowed with the norms $\|\varphi\|_{m,\sigma} = \||\varphi|_m\|_\sigma$ and $\|\varphi\|_{m,\sigma,\omega} = \||\varphi|_m\|_{\sigma,\omega}$, respectively. When $m = 1$ the norm is denoted by $\|\varphi\|_{\sigma,\omega}$. The dot \cdot indicates the inner product and $|\cdot|_m$ denotes the Euclidean norm in \mathbb{R}^m . In what follows, when the dimension is clear from the context, we drop the subscript m and denote the m -Euclidean norm simply by $|\cdot|$.

As already noted, the main assumption

$$1 \leq \gamma < p_L^*/p \quad \text{implies that} \quad \varpi > n/(n - \gamma[n - Lp]^+) \geq 1,$$

by (4). When $n > Lp$ then

$$\varpi' < n/\gamma(n - Lp), \quad \text{that is} \quad \gamma p < p_L^*/\varpi', \quad (15)$$

this will be useful in the next lemma. For simplicity in notation, whenever the embedding operator

$$i : [W_0^{L,p}(\Omega)]^d \rightarrow [L^\sigma(\Omega, \omega)]^d$$

is continuous, we denote by $\mathcal{S}_{d,\sigma,\omega} > 0$ the best constant such that $\|u\|_{d,\sigma,\omega} \leq \mathcal{S}_{d,\sigma,\omega} \|u\|$ for all $u \in [W_0^{L,p}(\Omega)]^d$, that is $\mathcal{S}_{d,\sigma,\omega}$ is the operator norm of i . If $d = 1$ and $\omega \equiv 1$, we briefly write \mathcal{S}_σ . Furthermore, whenever $p_L^* = \infty$, the symbol p_L^*/ϖ' is again ∞ .

LEMMA

The following embeddings hold.

- (i) $[W_0^{L,p}(\Omega)]^d \hookrightarrow\hookrightarrow [L^\sigma(\Omega, w)]^d$ compactly, if $\sigma \in [1, \gamma p]$.
- (ii) $[W_0^{L,p}(\Omega)]^d \hookrightarrow [L^\sigma(\Omega, w)]^d$ continuously, if $\sigma \in (\gamma p, p_L^*/\varpi')$.

Proof. (i) The space $W_0^{L,p}(\Omega)$ is compactly embedded into $L^{\varpi'\sigma}(\Omega)$, being $\varpi'\sigma < p_L^*$ by (2) and (15). Similarly, $L^{\varpi'\sigma}(\Omega)$ is continuously embedded in $L^\sigma(\Omega, w)$ by Hölder's inequality and (4). Hence, $([W_0^{L,p}(\Omega)]^d, \|\cdot\|)$ is compactly embedded into $([L^\sigma(\Omega, w)]^d, \|\cdot\|_{d,\sigma,w})$, being $\|\cdot\|$ equivalent to $\|\cdot\|_{d,L,p}$ in $[W_0^{L,p}(\Omega)]^d$ as observed above.

(ii) By Hölder's inequality, for all $\psi \in W_0^{L,p}(\Omega)$

$$\|\psi\|_{\sigma,w}^{\sigma} \leq |\Omega|^{1/\wp} \|w\|_{\varpi} \|\psi\|_{p_L^*}^{\sigma} \leq C \|\psi\|^{\sigma},$$

where $C = S_{p_L^*}^{\sigma} |\Omega|^{1/\varpi} \|w\|_{\varpi}$ and \wp is the crucial exponent

$$\wp = \begin{cases} \frac{\varpi' p_L^*}{p_L^* - \sigma \varpi'}, & \text{if } n > Lp, \\ \varpi', & \text{if } 1 \leq n \leq Lp. \end{cases}$$

Clearly $\wp > 1$, being $\sigma < p_L^*/\varpi'$. The conclusion now follows as in (i).

Let us now turn to the main problem (1) and let

$$\lambda_1 = \inf_{\substack{u \in [W_0^{L,p}(\Omega)]^d \\ u \neq 0}} \frac{\|u\|^p}{\|u\|_{d,p,w}^p} \quad (16)$$

be the first eigenvalue of

$$\begin{cases} \Delta_p^L u = \lambda w(x) |u|^{p-2} u \text{ in } \Omega, \\ D^\alpha u_k|_{\partial\Omega} = 0 \text{ for all } \alpha, \text{ with } |\alpha| \leq L-1, k = 1, \dots, d. \end{cases}$$

Clearly, λ_1 is well defined since the embedding $[W_0^{L,p}(\Omega)]^d \hookrightarrow [L^p(\Omega, w)]^d$ is compact, as shown in Lemma 1-(i).

PROPOSITION

The infimum λ_1 in (16) is positive and attained at a certain function $u_1 \in [W_0^{L,p}(\Omega)]^d$, with $\|u_1\|_{d,p,w} = 1$.

Proof. For any $u \in [W_0^{L,p}(\Omega)]^d$ define the functionals $\mathcal{I}(u) = \|u\|^p$ and $\mathcal{J}(u) = \|u\|_{d,p,w}^p$. Let

$\lambda_0 = \inf\{\mathcal{I}(u)/\mathcal{J}(u) : u \in [W_0^{L,p}(\Omega)]^d \setminus \{0\}, \|u\|_{d,p,w} \leq 1\}$.

Observe that \mathcal{I} and \mathcal{J} are continuously Fréchet differentiable and convex in $[W_0^{L,p}(\Omega)]^d$. Clearly $\mathcal{I}'(0) = \mathcal{J}'(0) = 0$. Moreover, $\mathcal{J}'(u) = 0$ implies $u = 0$. In particular, \mathcal{I} and \mathcal{J} are weakly lower semi-continuous on $[W_0^{L,p}(\Omega)]^d$. Actually, \mathcal{J} is weakly sequentially continuous on $[W_0^{L,p}(\Omega)]^d$. Indeed, if $(u_k)_k \subset [W_0^{L,p}(\Omega)]^d$ and $u_k \rightharpoonup u$ in $[W_0^{L,p}(\Omega)]^d$, then $u_k \rightarrow u$ in $[L^p(\Omega, w)]^d$ by Lemma 1-(i). This implies at once that

$\mathcal{J}(u_k) = \|u_k\|_{d,p,w}^p \rightarrow \|u\|_{d,p,w}^p = \mathcal{J}(u)$, as claimed.

Now, either $W = \{u \in [W_0^{L,p}(\Omega)]^d : \mathcal{J}(u) \leq 1\}$ is bounded in $[W_0^{L,p}(\Omega)]^d$, or not. In the first case we are done, while in the latter \mathcal{I} is coercive in W , being coercive in the reflexive Banach space $[W_0^{L,p}(\Omega)]^d$ as shown above. Therefore, all the assumptions of Theorem 6.3.2 of

[B] **M.S. Berger**, *Nonlinearity and functional analysis*,
Lectures on Nonlinear Problems in Mathematical
Analysis, Pure and Applied Mathematics
(Academic Press, New York–London, 1977)
xix+417 pp.

are fulfilled and λ_0 is attained at a point $u_1 \in [W_0^{L,p}(\Omega)]^d$, with $\|u_1\|_{d,p,w} = 1$.

We claim now that $\lambda_0 = \lambda_1$. Indeed,

$$\begin{aligned}\lambda_1 &= \inf_{u \in [W_0^{L,p}(\Omega)]^d \setminus \{0\}} \left\| \frac{u}{\|u\|_{d,p,w}} \right\|^p \\ &= \inf_{\substack{u \in [W_0^{L,p}(\Omega)]^d \\ \|u\|_{d,p,w} = 1}} \|u\|^p \\ &\geq \inf_{\substack{u \in [W_0^{L,p}(\Omega)]^d \\ 0 < \|u\|_{d,p,w} \leq 1}} \frac{\|u\|^p}{\|u\|_{d,p,w}^p} \\ &= \lambda_0 \geq \lambda_1.\end{aligned}$$

Finally, $\lambda_1 = \|u_1\|^p > 0$. This concludes the proof.

By Lemma 1-(i), there exists $c_{\gamma p} = \mathcal{S}_{d,\gamma p,w}^{\gamma p} > 0$ such that

$$\|u\|_{d,\gamma p,w}^{\gamma p} \leq c_{\gamma p} \|u\|^{\gamma p} \quad \text{for all } u \in [W_0^{L,p}(\Omega)]^d. \quad (17)$$

When $\gamma = 1$ in (\mathcal{M}) we have $c_p = 1/\lambda_1 \geq 0$ by (16).

The nonlinearity f verifies the condition

(\mathcal{F}) *Let $f : \Omega \times \mathbb{R}^d \rightarrow \mathbb{R}^d$, $f = f(x, v) \not\equiv 0$, be a Carathéodory function, which admits a potential $F : \Omega \times \mathbb{R}^d \rightarrow \mathbb{R}$, $f = D_v F$, with $F(x, 0) = 0$ a.e. in Ω , satisfying the following properties.*

(a) *There exist $q \in (1, \gamma p)$ and $C_f > 0$ such that*

$$|f(x, v)| \leq C_f w(x) (1 + |v|^{q-1}) \text{ for a.a. } x \in \Omega \text{ and all } v \in \mathbb{R}^d.$$

(b) *There exists $p^* \in (\gamma p, p_L^*/\varpi')$ such that*

$$\limsup_{|v| \rightarrow 0} \frac{|f(x, v) \cdot v|}{w(x)|v|^{p^*}} < \infty, \text{ uniformly a.e. in } \Omega.$$

(c) $\int_{\Omega} F(x, u_1) dx > \frac{1}{p} \left(\frac{\mathcal{M}(\lambda_1)}{s\lambda_1^\gamma} - 1 \right).$

The perturbation f

Note that, in the more familiar and standard setting in the literature in which $L = \gamma = 1$ and $w \in L^\infty(\Omega)$, the exponent p^* in (\mathcal{F}) –(b) belongs to the open interval (p, p^*) . Furthermore, in condition (\mathcal{F}) –(c), the constant $\frac{1}{p} \left(\frac{\mathcal{M}(\lambda_1)}{s\lambda_1^\gamma} - 1 \right)$ is non-negative thanks to (\mathcal{M}) . Thus, (\mathcal{F}) –(c) is automatic when $M \equiv 1$, $s = \gamma = 1$ and $F(x, v) > 0$ a.e. in Ω for all $v \in \mathbb{R}^d \setminus \{0\}$. An example of function $f : \Omega \times \mathbb{R}^d \rightarrow \mathbb{R}^d$ verifying (\mathcal{F}) –(a) and (b) is

$$f(x, v) = w(x) \begin{cases} |v|^{p^*-2}v, & \text{if } |v| \leq 1, \\ |v|^{q-2}v, & \text{if } |v| > 1, \end{cases}$$

with $q \in (1, \gamma p)$, $p^* \in (\gamma p, p_L^*/\varpi')$ and w verifying (4). More precisely, (\mathcal{F}) –(a) holds with $C_f = 1$ and (\mathcal{F}) –(b) is trivially verified. Finally, $F(x, v) > 0$ for a.a. $x \in \Omega$ and all $v \in \mathbb{R}^d \setminus \{0\}$.

The perturbation f

As already noted, this shows that $(\mathcal{F})-(c)$ holds when $M \equiv 1$ and $s = \gamma = 1$.

Following [CPV], we later introduce in place of $(\mathcal{F})-(c)$ the weaker assumption $(\mathcal{F})-(c)'$ much easier to check, which will play the same role for a less involved problem.

PROPOSITION

Assume that $(\mathcal{F})-(a)$ and (b) hold. Then $f(x, 0) = 0$ for a.a. $x \in \Omega$,

$$S_f = \operatorname{ess\,sup}_{v \neq 0, x \in \Omega} \frac{|f(x, v) \cdot v|}{w(x)|v|^{\gamma p}} \in \mathbb{R}^+ \quad \text{and} \quad \operatorname{ess\,sup}_{v \neq 0, x \in \Omega} \frac{|F(x, v)|}{w(x)|v|^{\gamma p}} \leq \frac{S_f}{\gamma p}. \quad (18)$$

Moreover, there exists $K > 0$ such that

$$|F(x, v)| \leq K w(x) |v|^{p^*} \quad (19)$$

The perturbation f

for a.a. $x \in \Omega$ and all $v \in \mathbb{R}^d$.

Assume first by contradiction that there exists $A \subset \Omega$, $|A| > 0$, such that $|f(x, 0)| > 0$ and $w(x) > 0$ for all $x \in A$. In particular,

$$\lim_{|v| \rightarrow 0} \frac{|f(x, v) \cdot v|}{w(x)|v|^{p^*}} = \infty$$

for all $x \in A$, contradicting $(\mathcal{F})-(b)$. Hence $f(x, 0) = 0$ for a.a. $x \in \Omega$.

Since $F(x, 0) = 0$ a.e. in Ω by (\mathcal{F}) , we assert that

$$\limsup_{|v| \rightarrow 0} \frac{|F(x, v)|}{w(x)|v|^{p^*}} = \ell_0 < \infty \quad \text{uniformly a.e. in } \Omega. \quad (20)$$

Indeed, by $(\mathcal{F})-(b)$ there exist $\ell > 0$ and $\delta > 0$ such that

$$\frac{|F(x, v)|}{w(x)|v|^{p^*}} \leq \int_0^1 \frac{|f(x, tv) \cdot tv|}{w(x)|tv|^{p^*}} t^{p^*-1} dt < \frac{\ell}{p^*}$$

The perturbation f

for all $v \in \mathbb{R}^d$, with $0 < |v| < \delta$, and uniformly a.e. in Ω . This implies (20).

Clearly, S_f defined in (18) is positive, being $f \not\equiv 0$. We claim that $S_f < \infty$. Indeed, uniformly a.e. in Ω

$$\lim_{|v| \rightarrow 0} \frac{|f(x, v) \cdot v|}{w(x)|v|^{\gamma p}} = \lim_{|v| \rightarrow 0} \left[\frac{|f(x, v) \cdot v|}{w(x)|v|^{\mathfrak{p}^*}} \right] |v|^{\mathfrak{p}^* - \gamma p} = 0$$

by (\mathcal{F}) -(b) and the fact that $\gamma p < \mathfrak{p}^*$. Moreover, $|f(x, v) \cdot v|/w(x)|v|^{\gamma p} \leq 2C_f|v|^{q-\gamma p}$ for a.a. $x \in \Omega$ and all $|v| \geq 1$ by (\mathcal{F}) -(a), that is

$$\lim_{|v| \rightarrow \infty} \frac{|f(x, v) \cdot v|}{w(x)|v|^{\gamma p}} = 0 \quad \text{uniformly a.e. in } \Omega,$$

since $q < \gamma p$. This shows the claim.

Condition $(18)_1$ implies at once $(18)_2$, since

$$\frac{|F(x, v)|}{w(x)|v|^{\gamma p}} \leq \int_0^1 \frac{|f(x, tv) \cdot tv|}{w(x)|tv|^{\gamma p}} t^{\gamma p - 1} dt \leq \frac{S_f}{\gamma p}$$

for a.a. $x \in \Omega$ and all $v \in \mathbb{R}^d \setminus \{0\}$.

Finally, by (20) there exists $\delta > 0$ such that

$|F(x, v)| \leq (\ell_0 + 1)w(x)|v|^{p^*}$ for a.a. $x \in \Omega$ and all v , with $0 < |v| < \delta$. Fix v , with $|v| \geq \delta$, then by (18) for a.a. $x \in \Omega$

$$|F(x, v)| \leq \frac{S_f}{\gamma p} |v|^{\gamma p - p^*} w(x) |v|^{p^*} \leq \frac{S_f \delta^{\gamma p - p^*}}{\gamma p} w(x) |v|^{p^*},$$

being $p^* \in (\gamma p, p_L^*/\varpi')$ by (\mathcal{F}) -(b). Hence, taking

$K = \max\{\ell_0 + 1, S_f \delta^{\gamma p - p^*} / \gamma p\}$, we get (19).

Now we are ready to introduce *the crucial positive number*

$$\lambda_\star = \frac{s\gamma\lambda_1^\gamma}{\gamma + c_{\gamma p}S_f\lambda_1^\gamma}, \quad (21)$$

which is well defined by (17), and Propositions 1 and 2. In passing, we point out that λ_\star coincides with the same parameter λ_\star of [CPV], when $d = s = \gamma = 1$ and $M \equiv 1$, see (17).

In what follows, the dual space of $[W_0^{L,p}(\Omega)]^d$ is denoted by $\left([W_0^{L,p}(\Omega)]^d\right)^\star$.

LEMMA

The functional $\Phi : [W_0^{L,p}(\Omega)]^d \rightarrow \mathbb{R}$, defined by

$$\Phi(u) = \frac{1}{p} \mathcal{M}(\|u\|^p) \quad (22)$$

is convex, weakly lower semi-continuous in $[W_0^{L,p}(\Omega)]^d$ and of class $C^1([W_0^{L,p}(\Omega)]^d)$.

Moreover, $\Phi' : [W_0^{L,p}(\Omega)]^d \rightarrow ([W_0^{L,p}(\Omega)]^d)^*$ verifies the (\mathcal{S}_+) condition, i.e. for every sequence $(u_k)_k \subset [W_0^{L,p}(\Omega)]^d$ such that $u_k \rightharpoonup u$ in $[W_0^{L,p}(\Omega)]^d$ and

$$\limsup_{k \rightarrow \infty} M(\|u_k\|^p) \int_{\Omega} |\mathcal{D}_L u_k|^{p-2} \mathcal{D}_L u_k \cdot (\mathcal{D}_L u_k - \mathcal{D}_L u) dx \leq 0, \quad (23)$$

then $u_k \rightarrow u$ in $[W_0^{L,p}(\Omega)]^d$.

The functional Φ

A simple calculation shows that Φ is convex in $[W_0^{L,p}(\Omega)]^d$, since \mathcal{M} is convex and monotone non-decreasing in \mathbb{R}_0^+ by (\mathcal{M}) . Moreover, we claim that $\Phi \in C^1([W_0^{L,p}(\Omega)]^d)$. Indeed, Φ is Gâteaux differentiable in $[W_0^{L,p}(\Omega)]^d$ and for all $u, v \in [W_0^{L,p}(\Omega)]^d$

$$\langle \Phi'(u), v \rangle = M(\|u\|^p) \int_{\Omega} |\mathcal{D}_L u|^{p-2} \mathcal{D}_L u \cdot \mathcal{D}_L v \, dx.$$

Now, let $u, (u_k)_k \subset [W_0^{L,p}(\Omega)]^d$ be such that $u_k \rightarrow u$ in $[W_0^{L,p}(\Omega)]^d$ as $k \rightarrow \infty$. We claim that

$$\|\Phi'(u_k) - \Phi'(u)\|_{\star} = \sup_{\substack{v \in [W_0^{L,p}(\Omega)]^d \\ \|v\|=1}} |\langle \Phi'(u_k) - \Phi'(u), v \rangle| = o(1)$$

as $k \rightarrow \infty$. By Hölder's inequality

$$\begin{aligned} & |\langle \Phi'(u_k) - \Phi'(u), v \rangle| \\ & \leq \|M(\|u_k\|^p) |\mathcal{D}_L u_k|^{p-2} \mathcal{D}_L u_k - M(\|u\|^p) |\mathcal{D}_L u|^{p-2} \mathcal{D}_L u\|_{N,p'} \| \mathcal{D}_L v \|_{N,p}. \end{aligned}$$

Hence

$$\begin{aligned} & \|\Phi'(u_k) - \Phi'(u)\|_* \\ & \leq \left\| M(\|u_k\|^p) |\mathcal{D}_L u_k|^{p-2} \mathcal{D}_L u_k - M(\|u\|^p) |\mathcal{D}_L u|^{p-2} \mathcal{D}_L u \right\|_{N,p'}. \end{aligned} \quad (24)$$

Fix now a subsequence $(u_{k_j})_j$ of $(u_k)_k$. Clearly, $u_{k_j} \rightarrow u$ in $[W_0^{L,p}(\Omega)]^d$ and so $\mathcal{D}_L u_{k_j} \rightarrow \mathcal{D}_L u$ in $[L^p(\Omega)]^N$ as $j \rightarrow \infty$, where as usual $N = d$ if L is even and $N = dn$ if L is odd. By

LEMMA

If $(\varphi_k)_k$ and φ are in $[L^\sigma(\Omega, \omega)]^m$ and $\varphi_k \rightarrow \varphi$ in $[L^\sigma(\Omega, \omega)]^m$ as $k \rightarrow \infty$, then there exist a subsequence $(\varphi_{k_j})_j$ of $(\varphi_k)_k$ and a function $h \in L^\sigma(\Omega, \omega)$ such that a.e. in Ω

- (i) $\varphi_{k_j} \rightarrow \varphi$ as $j \rightarrow \infty$; (ii) $|\varphi_{k_j}| \leq h$ for all $j \in \mathbb{N}$.

with $m = N$, $\sigma = p$ and $\omega \equiv 1$, there exist a subsequence of $(u_{k_j})_j$, still denoted by $(u_{k_j})_j$, and an appropriate function $h \in L^p(\Omega)$ such that a.e. in Ω we get that $\mathcal{D}_L u_{k_j} \rightarrow \mathcal{D}_L u$ as $j \rightarrow \infty$ and $|\mathcal{D}_L u_{k_j}| \leq h$ for all $j \in \mathbb{N}$. Thus,

$$\begin{aligned} & |M(\|u_{k_j}\|^p)|\mathcal{D}_L u_{k_j}|^{p-2}\mathcal{D}_L u_{k_j} - M(\|u\|^p)|\mathcal{D}_L u|^{p-2}\mathcal{D}_L u|^{p'} \\ & \leq 2^{p'-1} \left\{ [M(\|u_{k_j}\|^p)|\mathcal{D}_L u_{k_j}|^{p-1}]^{p'} + [M(\|u\|^p)|\mathcal{D}_L u|^{p-1}]^{p'} \right\} \\ & \leq (2K)^{p'} h^p \in L^1(\Omega), \end{aligned}$$

where $K = \sup_j M(\|u_{k_j}\|^p) < \infty$, being $(u_k)_k$ convergent and so bounded in $[W_0^{L,p}(\Omega)]^d$. In particular, $M(\|u_{k_j}\|^p) \rightarrow M(\|u\|^p)$ by (\mathcal{M}) . Furthermore, $|\mathcal{D}_L u_{k_j}|^{p-2}\mathcal{D}_L u_{k_j} \rightarrow |\mathcal{D}_L u|^{p-2}\mathcal{D}_L u$ a.e. in Ω . Therefore,

$$\begin{aligned} & |M(\|u_{k_j}\|^p)|\mathcal{D}_L u_{k_j}|^{p-2}\mathcal{D}_L u_{k_j} - M(\|u\|^p)|\mathcal{D}_L u|^{p-2}\mathcal{D}_L u| \\ & \leq K \left| |\mathcal{D}_L u_{k_j}|^{p-2}\mathcal{D}_L u_{k_j} - |\mathcal{D}_L u|^{p-2}\mathcal{D}_L u \right| + |M(\|u_{k_j}\|^p) - M(\|u\|^p)| \cdot |\mathcal{D}_L u| \\ & \rightarrow 0 \quad \text{a.e. in } \Omega, \text{ as } j \rightarrow \infty. \end{aligned}$$

Applying the Lebesgue dominated convergence theorem, we obtain as $j \rightarrow \infty$

$$\|M(\|u_{k_j}\|^p)|\mathcal{D}_L u_{k_j}|^{p-2}\mathcal{D}_L u_{k_j} - M(\|u\|^p)|\mathcal{D}_L u|^{p-2}\mathcal{D}_L u\|_{N,p'} \rightarrow 0. \quad (25)$$

Actually, (25) holds for the entire sequence $(u_k)_k$ and this implies the claim, by virtue of (24).

Therefore Φ is of class $C^1([W_0^{L,p}(\Omega)]^d)$. In particular, Φ is weakly lower semi-continuous in $[W_0^{L,p}(\Omega)]^d$, by Corollary 3.9 of

[B] **H. Brezis**, *Functional analysis, Sobolev spaces and partial differential equations*, Universitext (Springer, New York, 2011) xiv+599 pp.

Let us now prove the (\mathcal{S}_+) condition. Let $(u_k)_k \subset [W_0^{L,p}(\Omega)]^d$ be such that $u_k \rightharpoonup u$ in $[W_0^{L,p}(\Omega)]^d$ and (23) holds. Since $u_k \rightharpoonup u$, then

$$\lim_{k \rightarrow \infty} M(\|u\|^p) \int_{\Omega} |\mathcal{D}_L u|^{p-2} \mathcal{D}_L u \cdot \mathcal{D}_L (u_k - u) dx = 0, \quad (26)$$

being $|\mathcal{D}_L u|^{p-2} \mathcal{D}_L u \in [L^{p'}(\Omega)]^N$. Hence, putting $\mathcal{I}_k(x) = [M(\|u_k\|^p) |\mathcal{D}_L u_k|^{p-2} \mathcal{D}_L u_k - M(\|u\|^p) |\mathcal{D}_L u|^{p-2} \mathcal{D}_L u] \cdot \mathcal{D}_L (u_k - u)$, then (23) is equivalent to

$$\limsup_{k \rightarrow \infty} \int_{\Omega} \mathcal{I}_k(x) dx \leq 0.$$

Since $\mathcal{M}(\|\cdot\|^p)$ is convex in $[W_0^{L,p}(\Omega)]^d$, then $\mathcal{I}_k(x) \geq 0$.
Therefore

$$\lim_{k \rightarrow \infty} \int_{\Omega} \mathcal{I}_k(x) = 0.$$

This implies by (26)

$$\lim_{k \rightarrow \infty} M(\|u_k\|^p) \int_{\Omega} |\mathcal{D}_L u_k|^{p-2} \mathcal{D}_L u_k \cdot \mathcal{D}_L(u_k - u) dx = 0. \quad (27)$$

Now, two cases arise.

Case $u \neq 0$. By the weak lower semi-continuity of the norm, we get

$$0 < \|u\| \leq \liminf_k \|u_k\| = \ell$$

and consequently there exists $K \in \mathbb{N}$ such that $\|u_k\| \geq \ell/2 > 0$ for all $k \geq K$. Hence, by condition (\mathcal{M})

$$M(\|u_k\|^p) \geq \kappa > 0 \quad \text{for all } k \geq K, \quad (28)$$

with $\kappa = s\gamma(\ell/2)^{p(\gamma-1)}$. Thus, by (27) and (28), we have

$$\lim_{k \rightarrow \infty} \int_{\Omega} |\mathcal{D}_L u_k|^{p-2} \mathcal{D}_L u_k \cdot \mathcal{D}_L(u_k - u) dx = 0. \quad (29)$$

By convexity

$$\|\mathcal{D}_L u\|_{N,p}^p + p \int_{\Omega} |\mathcal{D}_L u_k|^{p-2} \mathcal{D}_L u_k \cdot \mathcal{D}_L(u_k - u) dx \geq \|\mathcal{D}_L u_k\|_{N,p}^p. \quad (30)$$

Therefore, combining together (29), (30) and the weak lower semi-continuity of the norm, we have

$$\|\mathcal{D}_L u\|_{N,p}^p \geq \limsup_{k \rightarrow \infty} \|\mathcal{D}_L u_k\|_{N,p}^p \geq \liminf_{k \rightarrow \infty} \|\mathcal{D}_L u_k\|_{N,p}^p \geq \|\mathcal{D}_L u\|_{N,p}^p.$$

In other words,

$$\lim_{k \rightarrow \infty} \|u_k\| = \|u\|. \quad (31)$$

Since $[W_0^{L,p}(\Omega)]^d$ is uniformly convex as shown above, we immediately get from (31) and the weak convergence $u_k \rightharpoonup u$, that

$$\lim_{k \rightarrow \infty} \|u_k - u\| = 0,$$

as required.

Case $u = 0$. Suppose first by contradiction that

$$0 = \|u\| < \liminf_{k \rightarrow \infty} \|u_k\|. \quad (32)$$

As before, (28) holds. Hence, proceeding as in the previous case, we conclude that $\lim_k u_k = 0$, which contradicts (32). Therefore, the only possible case is

$$0 = \|u\| = \liminf_k \|u_k\|.$$

Assume now by contradiction that

$$\mathcal{L} = \limsup_{k \rightarrow \infty} \|u_k\| > \liminf_{k \rightarrow \infty} \|u_k\| = \|u\| = 0. \quad (33)$$

In particular, there exist a subsequence $(u_{k_j})_j$ of $(u_k)_k$ and $K \in \mathbb{N}$ such that $\mathcal{L} = \lim_{j \rightarrow \infty} \|u_{k_j}\|$ and

$$M(\|u_{k_j}\|^p) \geq \kappa > 0 \quad \text{for all } j \geq K,$$

where $\kappa = s\gamma(\mathcal{L}/2)^{p(\gamma-1)}$. Consequently, the argument from (28) to (31) along the subsequence $(u_{k_j})_j$ implies that $\lim_{j \rightarrow \infty} \|u_{k_j}\| = 0$, which contradicts (33).

We have shown also in this case that

$\limsup_{k \rightarrow \infty} \|u_k\| = \liminf_{k \rightarrow \infty} \|u_k\| = \|u\| = 0$. In other words $u_k \rightarrow 0$ in $[W_0^{L,p}(\Omega)]^d$, as required.

Without further mentioning, we assume that $(\mathcal{F})-(a)$ and $(\mathcal{F})-(b)$ hold. The main result of the section is proved by using the energy functional J_λ associated to (1), which is given by $J_\lambda(u) = \Phi(u) + \lambda\Psi(u)$, where

$$\begin{aligned}\Psi(u) &= \Psi_1(u) + \Psi_2(u), \\ \Psi_1(u) &= -\frac{1}{p}\|u\|_{d,p,w}^{\gamma p}, \quad \Psi_2(u) = -\int_{\Omega} F(x, u(x))dx.\end{aligned}\tag{34}$$

Clearly, the functional J_λ is well defined in $[W_0^{L,p}(\Omega)]^d$ and of class $C^1([W_0^{L,p}(\Omega)]^d)$, see the proof of Lemma 2. Furthermore, for all $u, \varphi \in [W_0^{L,p}(\Omega)]^d$

$$\begin{aligned}\langle J'_\lambda(u), \varphi \rangle &= M(\|u\|^p) \int_{\Omega} |\mathcal{D}_L u(x)|^{p-2} \mathcal{D}_L u(x) \cdot \mathcal{D}_L \varphi(x) dx \\ &\quad - \lambda \int_{\Omega} \left[\gamma \|u\|_{d,p,w}^{p(\gamma-1)} w(x) |u(x)|^{p-2} u(x) + f(x, u(x)) \right] \cdot \varphi(x) dx.\end{aligned}$$

Therefore, the critical points $u \in [W_0^{L,p}(\Omega)]^d$ of J_λ are exactly the weak solutions of (1).

Given $r \in (\inf_{u \in X} \Psi(u), \sup_{u \in X} \Psi(u))$, we introduce the two functions

$$\begin{aligned}\varphi_1(r) &= \inf_{u \in \Psi^{-1}(I_r)} \frac{\inf_{v \in \Psi^{-1}(r)} \Phi(v) - \Phi(u)}{\Psi(u) - r}, & I_r &= (-\infty, r), \\ \varphi_2(r) &= \sup_{u \in \Psi^{-1}(I^r)} \frac{\inf_{v \in \Psi^{-1}(r)} \Phi(v) - \Phi(u)}{\Psi(u) - r}, & I^r &= (r, \infty).\end{aligned}\tag{35}$$

If $\Psi(v) < 0$ at some $v \in [W_0^{L,p}(\Omega)]^d$, then *the crucial positive number*

$$\lambda^* = \varphi_1(0) = \inf_{u \in \Psi^{-1}(I_0)} - \frac{\Phi(u)}{\Psi(u)}, \quad I_0 = (-\infty, 0), \quad (36)$$

is well defined.

LEMMA

If f satisfies also $(\mathcal{F})-(c)$, then $\Psi^{-1}(I_0)$ is non-empty and moreover $\lambda_* \leq \lambda^* < s\lambda_1^\gamma$.

From $(\mathcal{F})-(c)$ it follows that

$$\Psi(u_1) < -\frac{\mathcal{M}(\lambda_1)}{ps\lambda_1^\gamma} < 0, \quad \text{i.e. } u_1 \in \Psi^{-1}(I_0). \quad (37)$$

Hence, (36) is meaningful. By (37) and Proposition 1

$$\lambda^* = \inf_{u \in \Psi^{-1}(I_0)} -\frac{\Phi(u)}{\Psi(u)} \leq \frac{\Phi(u_1)}{-\Psi(u_1)} < \frac{\mathcal{M}(\|u_1\|^p)/p}{\mathcal{M}(\lambda_1)/ps\lambda_1^\gamma} = s\lambda_1^\gamma,$$

as required. Finally, by (\mathcal{M}) , (16), (17), (18) and (34), for all $u \in \Psi^{-1}(I_0)$, we have

$$\begin{aligned} \frac{\Phi(u)}{|\Psi(u)|} &\geq \frac{\mathcal{M}(\|u\|^p)/p}{\frac{1}{p}\|u\|_{d,p,w}^{\gamma p} + \frac{S_f}{\gamma p}\|u\|_{d,\gamma p,w}^{\gamma p}} \geq \frac{s\|u\|^{\gamma p}/p}{\frac{1}{p\lambda_1^\gamma}\|u\|^{\gamma p} + \frac{c_{\gamma p}S_f}{\gamma p}\|u\|^{\gamma p}} \\ &= \frac{s\gamma\lambda_1^\gamma}{\gamma + c_{\gamma p}S_f\lambda_1^\gamma} = \lambda_*. \end{aligned}$$

Hence, in particular $\lambda^* \geq \lambda_*$.

LEMMA

The operators $\Psi'_1, \Psi'_2, \Psi' : [W_0^{L,p}(\Omega)]^d \rightarrow \left([W_0^{L,p}(\Omega)]^d\right)^$ are compact and Ψ_1, Ψ_2, Ψ are sequentially weakly continuous in $[W_0^{L,p}(\Omega)]^d$.*

Of course, $\Psi' = \Psi'_1 + \Psi'_2$, where

$$\langle \Psi'_1(u), v \rangle = -\gamma \|u\|_{d,p,w}^{p(\gamma-1)} \int_{\Omega} w(x) |u|^{p-2} u \cdot v \, dx \quad \text{and}$$

$$\langle \Psi'_2(u), v \rangle = - \int_{\Omega} f(x, u) \cdot v \, dx$$

for all $u, v \in [W_0^{L,p}(\Omega)]^d$. Since Ψ'_1 and Ψ'_2 are continuous, thanks to the reflexivity of $[W_0^{L,p}(\Omega)]^d$ it is enough to show that Ψ'_1 and Ψ'_2 are weak-to-strong sequentially continuous, i.e.

if $(u_k)_k, u$ are in $[W_0^{L,p}(\Omega)]^d$ and $u_k \rightharpoonup u$ in $[W_0^{L,p}(\Omega)]^d$, then $\|\Psi'_1(u_k) - \Psi'_1(u)\|_* \rightarrow 0$ and $\|\Psi'_2(u_k) - \Psi'_2(u)\|_* \rightarrow 0$ as $k \rightarrow \infty$.
To this aim, fix $(u_k)_k \subset [W_0^{L,p}(\Omega)]^d$, with $u_k \rightharpoonup u$ in $[W_0^{L,p}(\Omega)]^d$. First, $u_k \rightarrow u$ in $[L^p(\Omega, w)]^d$ by Lemma 1-(i). Therefore, $\mathcal{N}_p(u_k) \rightarrow \mathcal{N}_p(u)$ in $[L^{p'}(\Omega, w)]^d$ by

LEMMA

Assume that $f : \Omega \times \mathbb{R}^d \rightarrow \mathbb{R}^d$, $f = f(x, v) \not\equiv 0$, is a Carathéodory function, satisfying (\mathcal{F}) -(a) of Section ?? . The Nemytskii operators $\mathcal{N}_p : [L^p(\Omega, w)]^d \rightarrow [L^{p'}(\Omega, w)]^d$ and $\mathcal{N}_f : [L^q(\Omega, w)]^d \rightarrow [L^{q'}(\Omega, w^{1/(1-q)})]^d$, defined by $\mathcal{N}_p(u) = |u|^{p-2}u$ and $\mathcal{N}_f(u) = f(\cdot, u(\cdot))$ respectively, are continuous.

For all $v \in [W_0^{L,p}(\Omega)]^d$, with $\|v\| = 1$, by Hölder's inequality and (16),

$$\begin{aligned}
 & |\langle \Psi'_1(u_k) - \Psi'_1(u), v \rangle| \\
 & \leq \gamma \|u_k\|_{d,p,w}^{p(\gamma-1)} \int_{\Omega} w(x)^{1/p'} |\mathcal{N}_p(u_k) - \mathcal{N}_p(u)| w(x)^{1/p} |v| dx \\
 & \quad + \gamma \left| \|u_k\|_{d,p,w}^{p(\gamma-1)} - \|u\|_{d,p,w}^{p(\gamma-1)} \right| \|u\|_{d,p,w}^{p-1} \|v\|_{d,p,w} \\
 & \leq \gamma \mathcal{C} \left\{ \|\mathcal{N}_p(u_k) - \mathcal{N}_p(u)\|_{d,p',w} + \left| \|u_k\|_{d,p,w}^{p(\gamma-1)} - \|u\|_{d,p,w}^{p(\gamma-1)} \right| \right\} \|v\|_{d,p,w} \\
 & \leq \lambda_1^{-1/p} \gamma \mathcal{C} \left\{ \|\mathcal{N}_p(u_k) - \mathcal{N}_p(u)\|_{d,p',w} + \left| \|u_k\|_{d,p,w}^{p(\gamma-1)} - \|u\|_{d,p,w}^{p(\gamma-1)} \right| \right\},
 \end{aligned}$$

where $\mathcal{C} = \sup_k \|u_k\|_{d,p,w}^{p(\gamma-1)}$. Hence, $\|\Psi'_1(u_k) - \Psi'_1(u)\|_{\star} \rightarrow 0$ as $k \rightarrow \infty$ and Ψ'_1 is compact.

Finally, for all $v \in [W_0^{L,p}(\Omega)]^d$, with $\|v\| = 1$, we have again

$$\begin{aligned}
 |\langle \Psi'_2(u_k) - \Psi'_2(u), v \rangle| & \leq \int_{\Omega} w(x)^{-1/q} |\mathcal{N}_f(u_k) - \mathcal{N}_f(u)| w^{1/q} |v| dx \\
 & \leq \|\mathcal{N}_f(u_k) - \mathcal{N}_f(u)\|_{d,q,w^{1/(1-q)}} \|v\|_{d,q,w}
 \end{aligned}$$

$$\leq \mathcal{S}_{d,q,w} \|\mathcal{N}_f(u_k) - \mathcal{N}_f(u)\|_{d,q',w^{1/(1-q)}}.$$

Thus, $\|\Psi'_2(u_k) - \Psi'_2(u)\|_* \rightarrow 0$ as $k \rightarrow \infty$, that is Ψ'_2 is compact.

Consequently, $\Psi' = \Psi'_1 + \Psi'_2$ is compact, then Ψ is sequentially weakly continuous by Corollary 41.9 of

[Z] **E. Zeidler**, *Nonlinear functional analysis and its applications, Vol. III, Variational methods and optimization* (Springer–Verlag, New York, 1985) xxii+662 pp.

being $[W_0^{L,p}(\Omega)]^d$ reflexive.

LEMMA

The functional $J_\lambda(u) = \Phi(u) + \lambda\Psi(u)$ is coercive for all λ in the interval $(-\infty, s\lambda_1^\gamma)$.

Fix $\lambda \in (-\infty, s\lambda_1^\gamma)$. **Then by** (\mathcal{M}) , (16) and (\mathcal{F}) –(a) **for all** $u \in [W_0^{L,p}(\Omega)]^d$, **with** $\|u\| \geq 1$,

$$\begin{aligned}
 J_\lambda(u) &\geq \frac{1}{p} \mathcal{M}(\|u\|^p) - \frac{\lambda}{p} \|u\|_{d,p,w}^{\gamma p} - |\lambda| \int_\Omega |F(x, u)| dx \\
 &\geq \frac{1}{p} \left(\mathcal{M}(\|u\|^p) - \frac{\lambda^+}{\lambda_1^\gamma} \|u\|^{\gamma p} \right) - |\lambda| C_f \int_\Omega \left(w(x)|u| + \frac{w(x)}{q} |u|^q \right) dx \\
 &\geq \frac{1}{p} \left(s - \frac{\lambda^+}{\lambda_1^\gamma} \right) \|u\|^{\gamma p} - |\lambda| C_f \left\{ \int_{\Omega_1} w(x) dx + \int_{\Omega_2} w(x) |u|^q dx + \frac{1}{q} \int_\Omega w(x) \right\} \\
 &\geq \frac{1}{p} \left(s - \frac{\lambda^+}{\lambda_1^\gamma} \right) \|u\|^{\gamma p} - |\lambda| C_1 - |\lambda| C_2 \|u\|^q,
 \end{aligned}$$

where $\Omega_1 = \{x \in \Omega : |u(x)| \leq 1\}$, $\Omega_2 = \{x \in \Omega : |u(x)| \geq 1\}$, $C_1 = C_f \|w\|_1$ and $C_2 = C_f \mathcal{S}_{d,q,w}^q (q+1)/q$. This completes the proof, since $1 < q < \gamma p$ by $(\mathcal{F})-(a)$.

Thanks to the results above all the structural assumptions (\mathcal{H}_1) – (\mathcal{H}_4) of Theorem 2.1 of [CPV] are clearly verified by J_λ . Thus we are now able to prove

The existence and multiplicity results for (1)

THEOREM

Let (\mathcal{F}) -(a), (b) hold, and let $\lambda_\star = \frac{s\gamma\lambda_1^\gamma}{\gamma + c_{\gamma p}S_f\lambda_1^\gamma}$, while

$$\lambda^\star = \varphi_1(0) = \inf_{u \in \Psi^{-1}(I_0)} \frac{\Phi(u)}{\Psi(u)} < s\lambda_1^\gamma, \quad I_0 = (-\infty, 0).$$

(i) If $\lambda \in [0, \lambda_\star)$, then

$$(1) \quad \begin{cases} M(\|u\|^p) \Delta_p^L u = \lambda \{ \gamma \|u\|_{p,w}^{p(\gamma-1)} w(x) |u|^{p-2} u + f(x, u) \} & \text{in } \Omega, \\ D^\alpha u_k|_{\partial\Omega} = 0 & \text{for all } \alpha, \text{ with } |\alpha| \leq L-1, k = 1, \dots, d, \end{cases}$$

has only the trivial solution.

(ii) If furthermore (\mathcal{F}) -(c) holds and $q \in (1, p)$ in (\mathcal{F}) -(a), then

(1) admits at least two nontrivial solutions for every

$$\lambda \in (\lambda^\star, s\lambda_1^\gamma).$$

The existence and multiplicity results for (1)

(i) Let $u \in [W_0^{L,p}(\Omega)]^d$ be a nontrivial weak solution of the problem (1), then

$$\begin{aligned} s\gamma\lambda_1^\gamma\|u\|^{\gamma p} &\leq \lambda_1^\gamma M(\|u\|^p)\|u\|^p = \lambda_1^\gamma\lambda \int_{\Omega} \{\gamma\|u\|_{d,p,w}^{p(\gamma-1)}w(x)|u|^p + f(x,u) \cdot u\} \\ &\leq \lambda_1^\gamma\lambda \left(\gamma\|u\|_{d,p,w}^{\gamma p} + \int_{\Omega} \frac{|f(x,u) \cdot u|}{w(x)|u|^{\gamma p}}w(x)|u|^{\gamma p}dx \right) \\ &\leq \lambda_1^\gamma\lambda(\gamma\|u\|_{d,p,w}^{\gamma p} + S_f\|u\|_{d,\gamma p,w}^{\gamma p}) \\ &\leq \lambda(\gamma + c_{\gamma p}S_f\lambda_1^\gamma)\|u\|^{\gamma p} \end{aligned}$$

by (M), (16), (17) and (18)₁. Therefore $\lambda \geq \lambda_*$, as required.

The existence and multiplicity results for (1)

(ii) By Lemmas 2–7 the functional J_λ verifies all the structural assumptions (\mathcal{H}_1) – (\mathcal{H}_4) of Theorem 2.1 of [CPV], with $I = (-\infty, s\lambda_1^\gamma)$. It remains to show that there exists

$$r \in \left(\inf_{u \in X} \Psi(u), \sup_{u \in X} \Psi(u) \right) \quad \text{such that} \quad \varphi_1(r) < \varphi_2(r). \quad (38)$$

We claim that $\Psi([W_0^{L,p}(\Omega)]^d) \supset \mathbb{R}_0^-$. Indeed, $\Psi(0) = 0$ and arguing as in the proof of Lemma 7, we get the following estimate

$$\left| \int_{\Omega} F(x, u(x)) dx \right| \leq c(\|w\|_1 + \|u\|_{d,q,w}^q),$$

where $c = C_f(1+q)/q$. Furthermore, by Hölder's inequality

$$\|u\|_{d,q,w}^q \leq \|w\|_1^{(p-q)/p} \|u\|_{d,p,w}^q,$$

since $1 < q < p$ and $w \in L^1(\Omega)$, being $\varpi > 1$ and Ω

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bounded. Hence, combining together the previous inequalities, we get

$$\Psi(u) \leq -\frac{1}{p} \|u\|_{d,p,w}^{\gamma p} + c \|w\|_1 + c \|w\|_1^{(p-q)/p} \|u\|_{d,p,w}^q.$$

Therefore,

$$\lim_{\substack{u \in [W_0^{L,p}(\Omega)]^d \\ \|u\|_{d,p,w} \rightarrow \infty}} \Psi(u) = -\infty,$$

being $q < p \leq \gamma p$. Hence, the claim follows by the continuity of Ψ .

In particular, $(\inf \Psi, \sup \Psi) \supset \mathbb{R}_0^-$. Now, for every $u \in \Psi^{-1}(I_0)$ we have

$$\varphi_1(r) \leq \frac{\inf_{v \in \Psi^{-1}(r)} \Phi(v) - \Phi(u)}{\Psi(u) - r} \leq -\frac{\Phi(u)}{\Psi(u) - r}$$

for all $r \in (\Psi(u), 0)$, so that

The existence and multiplicity results for (1)

$$\limsup_{r \rightarrow 0^-} \varphi_1(r) \leq -\frac{\Phi(u)}{\Psi(u)} \quad \text{for all } u \in \Psi^{-1}(I_0),$$

in other words,

$$\limsup_{r \rightarrow 0^-} \varphi_1(r) \leq \varphi_1(0) = \inf_{u \in \Psi^{-1}(I_0)} -\frac{\Phi(u)}{\Psi(u)} = \lambda^*.$$

Now, by Lemma 1-(i), the fact that $p^* < p_L^*/\varpi'$ and (19),

$$|\Psi(u)| \leq \frac{1}{p} \|u\|_{d,p,w}^{\gamma p} + K \|u\|_{d,p^*,w}^{p^*} \leq \frac{1}{p} \|u\|_{d,p,w}^{\gamma p} + \mathfrak{K} \|u\|^{p^*} \quad (39)$$

for every $u \in [W_0^{L,p}(\Omega)]^d$, where $\mathfrak{K} = K S_{d,p^*,w}^{p^*} > 0$.

Therefore, for $r < 0$ and $v \in \Psi^{-1}(r)$,

$$r = \Psi(v) \geq -\frac{1}{p\lambda_1^\gamma} \|v\|^{\gamma p} - \mathfrak{K} \|v\|^{p^*} \geq -\frac{1}{s\lambda_1^\gamma} \Phi(v) - \mathfrak{K} \left(\frac{p}{s}\right)^{p^*/\gamma p} \Phi(v)^{p^*/\gamma p} \quad (40)$$

by (M), (16), (22) and (39).

The existence and multiplicity results for (1)

By Lemma 2 the functional Φ is bounded below, coercive and lower semi-continuous in the reflexive Banach space $[W_0^{L,p}(\Omega)]^d$. Hence, it is easy to see that Φ is also coercive in the sequentially weakly closed non-empty set $\Psi^{-1}(r)$. Therefore, by Theorem 6.1.1 of [B] **M.S. Berger**, *Nonlinearity and functional analysis, Lectures on Nonlinear Problems in Mathematical Analysis, Pure and Applied Mathematics (Academic Press, New York-London, 1977)* xix+417 pp.

there exists an element

$$u_r \in \Psi^{-1}(r) \quad \text{such that} \quad \Phi(u_r) = \inf_{v \in \Psi^{-1}(r)} \Phi(v).$$

By (35) we have

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$$\varphi_2(r) = \sup_{u \in \Psi^{-1}(I^r)} \frac{\inf_{v \in \Psi^{-1}(r)} \Phi(v) - \Phi(u)}{\Psi(u) - r} \geq -\frac{1}{r} \inf_{v \in \Psi^{-1}(r)} \Phi(v) = \frac{\Phi(u_r)}{|r|},$$

being $0 \in \Psi^{-1}(I^r)$, $I^r = (r, \infty)$. From (40) we get

$$\begin{aligned} 1 &\leq \frac{1}{s\lambda_1^\gamma} \cdot \frac{\Phi(u_r)}{|r|} + \mathfrak{K} \left(\frac{p}{s}\right)^{p^*/\gamma p} |r|^{p^*/\gamma p - 1} \left(\frac{\Phi(u_r)}{|r|}\right)^{p^*/\gamma p} \\ &\leq \frac{\varphi_2(r)}{s\lambda_1^\gamma} + \mathfrak{K} \left(\frac{p}{s}\right)^{p^*/\gamma p} |r|^{p^*/\gamma p - 1} \varphi_2(r)^{p^*/\gamma p}. \end{aligned}$$

There are now two possibilities to be considered: either φ_2 is locally bounded at 0^- , so that the above inequality shows at once that

$$\liminf_{r \rightarrow 0^-} \varphi_2(r) \geq s\lambda_1^\gamma,$$

being $p^* > \gamma p$ by $(\mathcal{F})-(b)$, or $\limsup_{r \rightarrow 0^-} \varphi_2(r) = \infty$. In both cases

The existence and multiplicity results for (1)

$$\limsup_{r \rightarrow 0^-} \varphi_1(r) \leq \varphi_1(0) = \lambda^* < s\lambda_1^\gamma \leq \limsup_{r \rightarrow 0^-} \varphi_2(r).$$

This yields that for all integers $k \geq k^* = 1 + [2/(s\lambda_1^\gamma - \lambda^*)]$ there exists a number $r_k < 0$ so close to zero that

$$\varphi_1(r_k) < \lambda^* + 1/k < s\lambda_1^\gamma - 1/k < \varphi_2(r_k),$$

that is

$$r_k \in \left(\inf_{u \in X} \Psi(u), \sup_{u \in X} \Psi(u) \right) \quad \text{and} \quad \varphi_1(r_k) < \varphi_2(r_k)$$

holds. Hence, by Theorem 2.1–(ii), Part (a), of [CPV],

The existence and multiplicity results for (1)

being $u \equiv 0$ a critical point of J_λ , problem (1) admits at least two nontrivial solutions for all

$$\lambda \in \bigcup_{k=k^*}^{\infty} (\varphi_1(r_k), \varphi_2(r_k)) \cap I \supset \bigcup_{k=k^*}^{\infty} [\lambda^* + 1/k, s\lambda_1^\gamma - 1/k] = (\lambda^*, s\lambda_1^\gamma),$$

as claimed.

A simpler problem

We now consider the simpler problem

$$\begin{cases} M(\|u\|^p) \Delta_p^L u = \lambda f(x, u) \text{ in } \Omega, \\ D^\alpha u_k|_{\partial\Omega} = 0 \text{ for all } \alpha, \text{ with } |\alpha| \leq L-1, k = 1, \dots, d, \end{cases} \quad (41)$$

where f verifies condition (\mathcal{F}) , with $(\mathcal{F})-(c)$ replaced by the less stringent assumption

$(\mathcal{F})-(c)'$ *Assume that there exist $x_0 \in \Omega$, $v_0 \in \mathbb{R}^d$ and $r_0 > 0$ so small that the closed ball*

$B_0 = \{x \in \mathbb{R}^n : |x - x_0| \leq r_0\}$ *is contained in Ω and*

$$\operatorname{ess\,inf}_{B_0} F(x, v_0) = \mu_0 > 0, \quad \operatorname{ess\,sup}_{B_0} \max_{|v| \leq |v_0|} |F(x, v)| = M_0 < \infty.$$

Clearly, when f does not depend on x , condition $(\mathcal{F})-(c)'$ simply reduces to the request that $F(v_0) > 0$ at a point $v_0 \in \mathbb{R}^d$. This case is interesting also because the unpleasant restriction $q \in (1, p)$ requested in Theorem 8–(ii) can be avoided.

A simpler problem

In this new setting, the next theorem extends the main result of [KLV] to the p -Laplace operator also for $p \in (1, 2)$, and Corollary 3.6 of [CPV] to higher order operators, involving the Kirchhoff function.

THEOREM

Let (\mathcal{F}) -(a), (b) hold, and let $\ell_\star = s\gamma/c_{\gamma p}S_f$.

- (i) If $\lambda \in [0, \ell_\star)$, then (41) has only the trivial solution.*
- (ii) If furthermore (\mathcal{F}) -(c)' holds, then there exists $\ell^\star \geq \ell_\star$ such that (41) admits at least two nontrivial solutions for all $\lambda \in (\ell^\star, \infty)$.*

The part (i) of the statement is proved by using the same argument produced for the proof of Theorem 8-(i), being

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$$\begin{aligned} s\gamma\|u\|^{\gamma p} &\leq M(\|u\|^p)\|u\|^p = \lambda \int_{\Omega} f(x, u) \cdot u \, dx \leq \lambda S_f \|u\|_{d, \gamma p, w}^{\gamma p} \\ &\leq \lambda c_{\gamma p} S_f \|u\|^{\gamma p}. \end{aligned}$$

Thus, if u is a nontrivial weak solution of (41), then necessarily $\lambda \geq \ell_*$, as required.

In order to prove (ii), we consider the energy functional J_λ associated to (41), given by $J_\lambda(u) = \Phi(u) + \lambda\Psi_2(u)$, where Φ is defined in the statement of Lemma 2 and Ψ_2 in (34). We claim that J_λ is coercive for every $\lambda \in \mathbb{R}$. Indeed, as shown in the proof of Lemma 7, for all $u \in [W_0^{L,p}(\Omega)]^d$, with $\|u\| \geq 1$,

$$J_\lambda(u) \geq \frac{s}{p}\|u\|^{\gamma p} - |\lambda|C_1 - |\lambda|C_2\|u\|^q,$$

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where C_1, C_2 are the constants determined in the proof of Lemma 7. This shows the claim, since $1 < q < \gamma p$ by $(\mathcal{F})-(a)$. Hence, here $I = \mathbb{R}$.

Next, we show that there exists $u_0 \in [W_0^{L,p}(\Omega)]^d$ such that $\Psi_2(u_0) < 0$. Note that $v_0 \neq 0$ in $(\mathcal{F})-(c)'$. Take $\sigma \in (0, 1)$ and put $B = \{x \in \mathbb{R}^n : |x - x_0| \leq \sigma r_0\}$. Of course, $B \subset B_0$. Consider a function $u_0 \in [C_0^\infty(\Omega)]^d$ such that

$$|u_0| \leq |v_0| \text{ in } \Omega, \quad \text{supp } u_0 \subset B_0 \quad \text{and} \quad u_0 \equiv v_0 \text{ in } B.$$

Clearly, $u_0 \in [W_0^{L,p}(\Omega)]^d$. Now, by $(\mathcal{F})-(c)'$,

$$\begin{aligned} \Psi_2(u_0) &= - \int_{B_0 \setminus B} F(x, u_0(x)) dx - \int_B F(x, v_0) dx \\ &\leq M_0 |B_0 \setminus B| - \mu_0 |B| = \omega_n r_0^n [M_0(1 - \sigma^n) - \mu_0 \sigma^n], \end{aligned}$$

where ω_n is the measure of the unit ball in \mathbb{R}^n .

Therefore, taking $\sigma \in (0, 1)$ so large that

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$\sigma^n > M_0/(\mu_0 + M_0)$, we get that $\Psi_2(u_0) < 0$, as claimed.
Hence, the crucial number

$$\ell^* = \varphi_1(0) = \inf_{u \in \Psi_2^{-1}(I_0)} -\frac{\Phi(u)}{\Psi_2(u)}, \quad I_0 = (-\infty, 0), \quad (42)$$

is well defined.

Furthermore, as in the proof of Lemma 4, for all $u \in \Psi_2^{-1}(I_0)$, we have

$$\frac{\Phi(u)}{|\Psi_2(u)|} \geq \frac{\mathcal{M}(\|u\|^p)/p}{c_{\gamma p} S_f \|u\|^{\gamma p}/\gamma p} \geq \frac{s\gamma \|u\|^{\gamma p}}{c_{\gamma p} S_f \|u\|^{\gamma p}} = \frac{s\gamma}{c_{\gamma p} S_f} = \ell_\star.$$

Hence, $\ell^* \geq \ell_\star$ by (42).

In particular, for all $u \in \Psi_2^{-1}(I_0)$, it results

$$\varphi_1(r) \leq \frac{\inf_{v \in \Psi_2^{-1}(r)} \Phi(v) - \Phi(u)}{\Psi_2(u) - r} \leq -\frac{\Phi(u)}{\Psi_2(u) - r}$$

for all $r \in (\Psi_2(u), 0)$. Thus,

$$\limsup_{r \rightarrow 0^-} \varphi_1(r) \leq \varphi_1(0) = \ell^*. \quad (43)$$

Here (39) simply reduces to

$$|\Psi_2(u)| \leq \mathfrak{K} \|u\|^{p^*}.$$

Taken $r < 0$ and $v \in \Psi_2^{-1}(r)$, we obtain by (22)

$$|r| = |\Psi_2(v)| \leq \mathfrak{K} \|v\|^{p^*} \leq \mathfrak{K} \left(\frac{p}{s}\right)^{p^*/\gamma p} \Phi(v)^{p^*/\gamma p}.$$

Therefore, by (35), since $u \equiv 0 \in \Psi_2^{-1}(I^r)$,

$$\varphi_2(r) \geq \frac{1}{|r|} \inf_{v \in \Psi_2^{-1}(r)} \Phi(v) \geq \mathcal{K} |r|^{\gamma p/p^* - 1},$$

where $\mathcal{K} = s\mathfrak{K}^{-\gamma p/p^*}/p$. This implies that $\lim_{r \rightarrow 0^-} \varphi_2(r) = \infty$,

being $p^* > \gamma p$ by $(\mathcal{F})-(b)$.

In conclusion, we have proved that

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$$\limsup_{r \rightarrow 0^-} \varphi_1(r) \leq \varphi_1(0) = \ell^* < \lim_{r \rightarrow 0^-} \varphi_2(r) = \infty. \quad (44)$$

This shows that for all integers $k \geq k^* = 2 + [\ell^*]$ there exists $r_k < 0$ so close to zero that

$$\varphi_1(r_k) < \ell^* + 1/k < k < \varphi_2(r_k),$$

that is (38) holds. Hence, since $I = \mathbb{R}$, by Theorem 2.1–(ii) Part (a) of [CPV], being $u \equiv 0$ a critical point of J_λ , problem (41) admits at least two nontrivial solutions for all

$$\lambda \in \bigcup_{k=k^*}^{\infty} (\varphi_1(r_k), \varphi_2(r_k)) \supset \bigcup_{k=k^*}^{\infty} [\ell^* + 1/k, k] = (\ell^*, \infty),$$

as claimed.

We conclude this part by noting that $\Phi(u) + \lambda^* \Psi(u) \geq 0$ for all $u \in \Psi^{-1}(I_0)$ by (36). Hence, if $\lambda \leq \lambda^*$ and $u \in \Psi^{-1}(I_0)$, then

$$J_\lambda(u) = \Phi(u) + \lambda \Psi(u) - \lambda^* \Psi(u) + \lambda^* \Psi(u) \geq (\lambda - \lambda^*) \Psi(u) \geq 0.$$

On the other hand, if $\lambda \geq 0$ and $u \in \Psi^{-1}(I^0)$, then $J_\lambda(u) \geq 0$. Combining both inequalities we get that for all $\lambda \in [0, \lambda^*]$

$$\inf_{u \in [W_0^{L,p}(\Omega)]^d} J_\lambda(u) = J_\lambda(0) = 0.$$

The special non-degenerate case when $\gamma = 1$

In this final part of the first part we consider the *non-degenerate* problem (7). All the assumptions on f , w and M coincide with the hypotheses required for (1), with $\gamma = 1$. Consequently, *the crucial positive numbers λ_* and λ^* become*

$$\lambda_* = \frac{s\lambda_1}{1 + S_f}, \quad \lambda^* = \varphi_1(0) \in (\lambda_*, s\lambda_1),$$

where $\varphi_1(0)$ is given in (36), see also (35). In this easier setting the proofs of the main Lemmas 2–7 and of Theorem 8 can be reproduced word by word and simplified. In particular, the case $u = 0$ in the proof of Lemma 2 is redundant, being $M(\tau) \geq s > 0$ for all $\tau \in \mathbb{R}_0^+$. Hence,

$$(26) \quad \lim_{k \rightarrow \infty} M(\|u_k\|^p) \int_{\Omega} |\mathcal{D}_L u_k|^{p-2} \mathcal{D}_L u_k \cdot \mathcal{D}_L (u_k - u) dx = 0$$

The special non-degenerate case when $\gamma = 1$

immediately gives

$$(28) \quad \lim_{k \rightarrow \infty} \int_{\Omega} |\mathcal{D}_L u_k|^{p-2} \mathcal{D}_L u_k \cdot \mathcal{D}_L (u_k - u) dx = 0$$

even when $u = 0$.

In the recent paper [CPV] the following quasilinear problem is studied

$$\begin{cases} -\operatorname{div} \mathbf{A}(x, \nabla u) = \lambda \{w(x) |u|^{p-2} u + f(x, u)\} & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \quad (45)$$

when $\operatorname{div} \mathbf{A}(x, \nabla u)$ is essentially the p -Laplacian operator. In the special case $\operatorname{div} \mathbf{A}(x, \nabla u) = s \Delta_p u$, problem (45) coincides with (1), when $d = L = 1$ and $M \equiv s$. Theorem 8 reduces to Theorem 3.4 of [CPV].

The $p(x)$ -polyharmonic Kirchhoff problem

In the second part of the talk we extend the results of the first to the $p(x)$ -polyharmonic Kirchhoff problem

$$(7) \begin{cases} M(\mathcal{J}_L(u)) \Delta_{p(x)}^L u = \lambda \{w(x)|u|^{p(x)-2}u + f(x, u)\} \text{ in } \Omega, \\ D^\alpha u_k|_{\partial\Omega} = 0 \text{ for all } \alpha, \text{ with } |\alpha| \leq L-1, k = 1, \dots, d, \end{cases}$$

We begin by recalling some basic results on the variable exponent Lebesgue and Sobolev spaces. As before, also here $\Omega \subset \mathbb{R}^n$ is a bounded domain. Define for all $h \in C(\bar{\Omega})$

$$h_+ = \max_{x \in \bar{\Omega}} h(x) \quad \text{and} \quad h_- = \min_{x \in \bar{\Omega}} h(x)$$

and put

$$C_+(\bar{\Omega}) = \{h \in C(\bar{\Omega}) : h_- > 1\}.$$

Let h be a fixed function in $C_+(\bar{\Omega})$. The *variable exponent Lebesgue space*

$$L^{h(\cdot)}(\Omega) = \left\{ \psi : \Omega \rightarrow \mathbb{R} \text{ measurable} : \int_{\Omega} |\psi(x)|^{h(x)} dx < \infty \right\}$$

is endowed with the so-called *Luxemburg norm*

$$\|\psi\|_{h(\cdot)} = \inf \left\{ \lambda > 0 : \int_{\Omega} \left| \frac{\psi(x)}{\lambda} \right|^{h(x)} dx \leq 1 \right\}$$

and is a separable, reflexive Banach space; cf. Theorem 2.5 and Corollaries 2.7 and 2.12 of

[KR] O. Kováčik, J. Rákosník, *On spaces $L^{p(x)}$ and $W^{1,p(x)}$* , Czechoslovak Math. J. 41 (1991) 592-618.

Since here $0 < |\Omega| < \infty$, if $\sigma \in C(\overline{\Omega})$ and $1 \leq \sigma \leq h$ in Ω , then the embedding $L^{h(\cdot)}(\Omega) \hookrightarrow L^{\sigma(\cdot)}(\Omega)$ is continuous and the norm of the embedding operator does not exceed $|\Omega| + 1$; cf. Theorem 2.8 of [KR].

Let h' be the function obtained by conjugating the exponent h pointwise, that is $1/h(x) + 1/h'(x) = 1$ for all $x \in \overline{\Omega}$, then h' belongs to $C_+(\overline{\Omega})$ and $L^{h'(\cdot)}(\Omega)$ is the dual space of $L^{h(\cdot)}(\Omega)$, Corollary 2.7 of [KR].

Preliminaries for the $p(x)$ -polyharmonic Kirchhoff problem

For any $h_i \in C_+(\overline{\Omega})$, $\psi_i \in L^{h_i(\cdot)}(\Omega)$ for $i = 1, \dots, m$, with $m \geq 1$ and $1 = \sum_{i=1}^m (1/h_i)$, the following Hölder type inequality holds

$$\int_{\Omega} |\psi_1(x) \cdots \psi_m(x)| dx \leq c_H \|\psi_1\|_{h_1(\cdot)} \cdots \|\psi_m\|_{h_m(\cdot)}, \quad (46)$$

where $c_H = 1/h_{1-} + \cdots + 1/h_{m-}$, see Theorem 2.1 of [KR] for the case $m = 2$.

Let σ be a function in $C(\overline{\Omega})$. An important role in manipulating the generalized Lebesgue–Sobolev spaces is played by the $\sigma(\cdot)$ -modular of the $L^{\sigma(\cdot)}(\Omega)$ space, which is the convex function $\rho_{\sigma(\cdot)} : L^{\sigma(\cdot)}(\Omega) \rightarrow \mathbb{R}$ defined by

$$\rho_{\sigma(\cdot)}(\psi) = \int_{\Omega} |\psi(x)|^{\sigma(x)} dx.$$

Theorems 1.3 and 1.4 of

[FZ] X.L. Fan, D. Zhao, *On the spaces $L^{p(x)}$ and $W^{m,p(x)}$* ,
J. Math. Anal. Appl. 263 (2001) 424–446.

LEMMA

If $\psi, (\psi_k)_k \in L^{\sigma(\cdot)}(\Omega)$, with $1 \leq \sigma_- \leq \sigma_+ < \infty$, then the following relations hold:

$$\begin{aligned}\|\psi\|_{\sigma(\cdot)} < 1 \quad (= 1; > 1) &\Leftrightarrow \rho_{\sigma(\cdot)}(\psi) < 1 \quad (= 1; > 1), \\ \|\psi\|_{\sigma(\cdot)} \geq 1 &\Rightarrow \|\psi\|_{\sigma(\cdot)}^{\sigma_-} \leq \rho_{\sigma(\cdot)}(\psi) \leq \|\psi\|_{\sigma(\cdot)}^{\sigma_+}, \\ \|\psi\|_{\sigma(\cdot)} \leq 1 &\Rightarrow \|\psi\|_{\sigma(\cdot)}^{\sigma_+} \leq \rho_{\sigma(\cdot)}(\psi) \leq \|\psi\|_{\sigma(\cdot)}^{\sigma_-},\end{aligned}\tag{47}$$

and $\|\psi_k - \psi\|_{\sigma(\cdot)} \rightarrow 0 \Leftrightarrow \rho_{\sigma(\cdot)}(\psi_k - \psi) \rightarrow 0 \Leftrightarrow \psi_k \rightarrow \psi$ in measure in Ω and $\rho_{\sigma(\cdot)}(\psi_k) \rightarrow \rho_{\sigma(\cdot)}(\psi)$. In particular, $\rho_{\sigma(\cdot)}$ is continuous in $L^{\sigma(\cdot)}(\Omega)$, and if furthermore $\sigma \in C_+(\overline{\Omega})$, then $\rho_{\sigma(\cdot)}$ is weakly lower semi-continuous.

Preliminaries for the $p(x)$ -polyharmonic Kirchhoff problem

Since we are interested in weighted variable exponent Lebesgue spaces, denoted by ω a generic weight on Ω , we put

$$L^{\sigma(\cdot)}(\Omega, \omega) = \{\psi : \Omega \rightarrow \mathbb{R} \text{ measurable} : \omega^{1/\sigma} |\psi| \in L^{\sigma(\cdot)}(\Omega)\},$$

endowed with the norm

$$\|\psi\|_{\sigma(\cdot), \omega} = \|\omega^{1/\sigma} \psi\|_{\sigma(\cdot)}. \quad (48)$$

If $p \in C_+(\overline{\Omega})$ and $L = 1, 2, \dots$, the variable exponent Sobolev space

$$W^{L, p(\cdot)}(\Omega) = \left\{ \psi \in L^{p(\cdot)}(\Omega) : D^\alpha \psi \in L^{p(\cdot)}(\Omega) \text{ for all } \alpha \in \mathbb{N}_0^n, \text{ with } |\alpha| \leq L \right\}$$

is endowed with the standard norm

$$\|\psi\|_{W^{L, p(\cdot)}(\Omega)} = \sum_{|\alpha| \leq L} \|D^\alpha \psi\|_{p(\cdot)}.$$

From now on we assume that $p \in C_+^{\log}(\overline{\Omega})$, where $C_+^{\log}(\overline{\Omega})$ is

the space of all the functions of $C_+(\overline{\Omega})$ which are *logarithmic Hölder continuous*, that is there exists $\mathfrak{K} > 0$ such that

$$|p(x) - p(y)| \leq \frac{\mathfrak{K}}{\log|x - y|} \quad (49)$$

for all $x, y \in \Omega$, with $0 < |x - y| \leq 1/2$. Indeed, even if the variable exponent Lebesgue and Sobolev spaces have a lot in common with the classical spaces, there are also many fundamental questions left open. For example, it is not known yet, even for “nice” functions p , whether smooth functions are dense in $W^{L,p(\cdot)}(\Omega)$. This is the reason why we assume (49).

The space $W_0^{L,p(\cdot)}(\Omega)$ denotes the completion of $C_0^\infty(\Omega)$ with respect to the norm $\|\cdot\|_{W^{L,p(\cdot)}(\Omega)}$. As shown in

Corollary 11.2.4 of [DHHR], the space $W_0^{L,p(\cdot)}(\Omega)$ coincides with the closure in $W^{L,p(\cdot)}(\Omega)$ of the set of all $W^{L,p(\cdot)}(\Omega)$ -functions with compact support thanks to (49). Moreover $W_0^{L,p(\cdot)}(\Omega)$ is a *separable, uniformly convex, Banach space*, cf. Theorem 8.1.13 of [DHHR].

[DHHR] L. Diening, P. Harjulehto, P. Hästö, M. Růžička, *Lebesgue and Sobolev spaces with variable exponents*, Lecture Notes in Mathematics, Vol. 2017 (Springer-Verlag, Berlin, 2011) ix+509 pp.

[HHKV] P. Harjulehto, P. Hästö, M. Koskenoja, S. Varonen, *The Dirichlet energy integral and variable exponent Sobolev spaces with zero boundary values*, Potential Anal. 25 (2006) 205-222.

Also in this context it is possible to prove a *Poincaré* type inequality, so that an equivalent norm for the space $W_0^{L,p(\cdot)}(\Omega)$ is given by

$$\|\psi\|_{\mathfrak{D}^{L,p(\cdot)}(\Omega)} = \sum_{|\alpha|=L} \|D^\alpha \psi\|_{p(\cdot)},$$

see Theorem 2.7 of [FZ] and Theorem 4.3 of [HHKV]. *In what follows, we require that the bounded domain Ω has Lipschitz boundary.* Under this assumption, when $L = 2$, as a consequence of the main *Calderón–Zygmund* result Theorem 6.4 of [DR]

[DR] L. Diening, M. Růžička, *Calderón–Zygmund operators on generalized Lebesgue spaces $L^{p(\cdot)}$ and problems related to fluid dynamics*, J. Reine Angew. Math. 563 (2003) 197–220.

there exists a constant $\kappa_2 = \kappa_2(n, p) > 0$ such that

$$\|\psi\|_{\mathfrak{D}^{2,p(\cdot)}(\Omega)} \leq \kappa_2 \|\Delta\psi\|_{p(\cdot)} = \kappa_2 \|\mathcal{D}_2\psi\|_{p(\cdot)} \quad \text{for all } \psi \in W_0^{2,p(\cdot)}(\Omega), \quad (50)$$

where \mathcal{D}_2 is defined in (3) when $d = 1$, as already noted and used in [CP]. For another proof of (50) we refer to Theorem 4.4 of

[ZF] **A. Zang, Y. Fu**, *Interpolation inequalities for derivatives in variable exponent Lebesgue–Sobolev spaces*, *Nonlinear Anal.* **69** (2008) 3629–3636.

Proposition A.2 of [CP] shows that for all $L = 1, 2, \dots$ there exists a number $\kappa_L = \kappa_L(n, p) > 0$ such that

$$\|\psi\|_{\mathfrak{D}^{L,p(\cdot)}(\Omega)} \leq \kappa_L \|\psi\|_{L,p(\cdot)},$$
$$\|\psi\|_{L,p(\cdot)} = \begin{cases} \|\mathcal{D}_L\psi\|_{p(\cdot)}, & \text{if } L \text{ is even,} \\ \sum_{i=1}^n \|(\mathcal{D}_L\psi)_i\|_{p(\cdot)}, & \text{if } L \text{ is odd,} \end{cases}$$

for all $\psi \in W_0^{L,p(\cdot)}(\Omega)$, where \mathcal{D}_L is the operator given in (3) for $d = 1$. This proves that the two norms $\|\cdot\|_{\mathfrak{D}^{L,p(\cdot)}(\Omega)}$ and $\|\cdot\|_{L,p(\cdot)}$ are equivalent. Hence, also $(W_0^{L,p(\cdot)}(\Omega), \|\cdot\|_{L,p(\cdot)})$ is a reflexive Banach space. Since we study the variational problem (8), when $d = 1$ we actually are interested in the norm

$$\|\psi\| = \begin{cases} \|\mathcal{D}_L\psi\|_{p(\cdot)}, & \text{if } L \text{ is even,} \\ \|\mathcal{D}_L\psi|_n\|_{p(\cdot)}, & \text{if } L \text{ is odd.} \end{cases}$$

The two norms $\|\cdot\|_{L,p(\cdot)}$ and $\|\cdot\|$ are exactly the same when L is even. We claim that they are equivalent when L is odd. Let $\psi \in W_0^{L,p(\cdot)}(\Omega)$. First assume that $\|\psi\| > 0$. Then, by definition of the Luxemburg norm, being $|(\mathcal{D}_L\psi)_i| \leq |\mathcal{D}_L\psi|_n$ for all $i = 1, \dots, n$, we have

$$\int_{\Omega} \left| \frac{(\mathcal{D}_L \psi)_i}{\|\psi\|} \right|^{p(x)} dx \leq \int_{\Omega} \left| \frac{|\mathcal{D}_L \psi|_n}{\|\psi\|} \right|^{p(x)} dx \leq 1.$$

Hence $\|(\mathcal{D}_L \psi)_i\|_{p(\cdot)} \leq \|\psi\|$. **Therefore** $\|\psi\|_{L,p(\cdot)} \leq n\|\psi\|$.

Assume now that $\|\psi\|_{L,p(\cdot)} > 0$. **Then** $\|(\mathcal{D}_L \psi)_k\|_{p(\cdot)} > 0$ for some $k \in \{1, \dots, n\}$. **Since** $1 < p_-$, then

$|\mathcal{D}_L \psi|_n^{p(x)} \leq n^{p(x)-1} \sum_{i=1}^n |(\mathcal{D}_L \psi)_i|^{p(x)}$. **In particular,**

$$\begin{aligned} \int_{\Omega} \left| \frac{|\mathcal{D}_L \psi|_n}{n\|\psi\|_{L,p(\cdot)}} \right|^{p(x)} dx &= \int_{\Omega} \left| \frac{|\mathcal{D}_L \psi|_n}{n^{1/p'(x)} n^{1/p(x)} \|\psi\|_{L,p(\cdot)}} \right|^{p(x)} dx \\ &\leq \frac{1}{n} \sum_{i=1}^n \int_{\Omega} \left| \frac{(\mathcal{D}_L \psi)_i}{\|\psi\|_{L,p(\cdot)}} \right|^{p(x)} dx \\ &\leq \frac{1}{n} \sum_{\substack{i=1 \\ \|(\mathcal{D}_L \psi)_i\|_{p(\cdot)} \neq 0}}^n \int_{\Omega} \left| \frac{(\mathcal{D}_L \psi)_i}{\|(\mathcal{D}_L \psi)_i\|_{p(\cdot)}} \right|^{p(x)} dx \leq 1. \end{aligned}$$

Again, by definition of the Luxemburg norm,
 $\|\psi\| \leq n\|\psi\|_{L,p(\cdot)}$. This completes the proof of the claim.

As already noted here *either* $n > Lp_+$ *or* $n \leq Lp_-$.
Hence *the critical variable exponent related to* p *is*
defined for all $x \in \bar{\Omega}$ by the pointwise relation

$$p_L^*(x) = \begin{cases} \frac{np(x)}{n - Lp(x)}, & \text{if } n > Lp_+, \\ \infty, & \text{if } 1 \leq n \leq Lp_-. \end{cases} \quad (51)$$

If $n \leq Lp_-$, the Sobolev embedding

$W_0^{L,p(\cdot)}(\Omega) \hookrightarrow L^{h(\cdot)}(\Omega)$ is compact for all $h \in C(\bar{\Omega})$ such
that $h \geq 1$ in Ω . Indeed, the embedding

$W_0^{L,p(\cdot)}(\Omega) \hookrightarrow W_0^{L,p^-}(\Omega)$ is continuous by Lemma 8.1.8
of [DHHR]. Moreover, since $n \leq Lp_-$, the embedding

$W_0^{L,p^-}(\Omega) \hookrightarrow L^{h_+}(\Omega)$ is compact and in turn also

$W_0^{L,p(\cdot)}(\Omega) \hookrightarrow L^{h(\cdot)}(\Omega)$ is compact.

If $n > Lp_+$ and $h \in C(\overline{\Omega})$, the embedding $W_0^{L,p(\cdot)}(\Omega) \hookrightarrow L^{h(\cdot)}(\Omega)$ is continuous, whenever $1 \leq h(x) \leq p_L^*(x)$ for all $x \in \Omega$. A proof of this fact, in the case $L = 1$, is given in Theorem 8.3.1–(a) of [DHHR]. The result then follows by induction on L as in Lemma 5.12 of [A]. Moreover, if $1 \leq h(x) < p_L^*(x)$ for all $x \in \overline{\Omega}$, then $W_0^{L,p(\cdot)}(\Omega)$ is compactly embedded into $L^{h(\cdot)}(\Omega)$, as proved in Theorem 2.3 of [FZ], Proposition 3.3 of [MOSS] and Theorem 5.7 for $L = 1$ of [D]. Since we are interested in the vectorial variational problem (8), *from now on we endow the space $[W_0^{L,p(\cdot)}(\Omega)]^d$ with the norm*

$$\|u\| = \| |\mathcal{D}_L u|_N \|_{p(\cdot)},$$

$\left([W_0^{L,p(\cdot)}(\Omega)]^d, \|\cdot\|\right)$ is a uniformly convex Banach space

where $|\cdot|_N$ denotes the Euclidean norm in \mathbb{R}^N and $N = d$ when L is even, while $N = dn$ when L is odd. In particular, $\left([W_0^{L,p(\cdot)}(\Omega)]^d, \|\cdot\|\right)$ is a *uniformly convex Banach space*.

Taking inspiration from [CL], we say that the variable exponent $p \in C_+^{\log}(\bar{\Omega})$ belongs to the *Modular L -Poincaré Inequality Class*, $p \in \mathcal{MP}_L(\Omega)$, if

$$\lambda_1 = \inf_{\substack{u \in [W_0^{L,p(\cdot)}(\Omega)]^d \\ u \neq 0}} \frac{\int_{\Omega} |\mathcal{D}_L u|_N^{p(x)} dx}{\int_{\Omega} w(x) |u|_d^{p(x)} dx} > 0, \quad (52)$$

where \mathcal{D}_L is given in (3).

We point out that there are exponents $p \in C_+^{\log}(\bar{\Omega}) \setminus \mathcal{MP}_L(\Omega)$ even in the case $d = L = 1$ and

The first eigenvalue of $\Delta_{p(x)}^L$

$w \equiv 1$. For instance, assuming also that there exists an open set $U \subset \Omega$ and a point $x_0 \in U$ such that $p(x_0) < p(x)$ (or $p(x_0) > p(x)$) for all $x \in \partial U$, then by Theorem 3.1 [FZZ] the property (52) fails, that is $\lambda_1 = 0$ and p is not of class $\mathcal{MP}_L(\Omega)$.

On the other hand, when $d = L = 1$ and $w \equiv 1$ there are criteria in order that p is of class $\mathcal{MP}_L(\Omega)$, that is p satisfies (52). Indeed, by Theorem 3.3 [FZZ] if there exists $l \in \mathbb{R}^n \setminus \{0\}$ such that for all $x \in \Omega$ the function $t \mapsto p(x + tl)$ is monotone for $t \in I_x = \{s \in \mathbb{R} : x + sl \in \Omega\}$, then (52) holds. Recently, when $L = d = 1$ and $w \equiv 1$, Theorem 3.3 of [FZZ] has been extended in [A], assuming the existence of a nonnegative function $\xi \in C^1(\overline{\Omega})$, with $|D\xi| > 0$ and $D\xi \cdot Dp \geq 0$ in $\overline{\Omega}$. Another criterium has been proposed in Theorem 1 of [MRS] again when $L = d = 1$, $w \equiv 1$ and $p \in C^1(\overline{\Omega})$, assuming the existence of a $a : \overline{\Omega} \rightarrow \mathbb{R}^n$ such that for all $x \in \overline{\Omega}$

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$$\operatorname{div} \mathbf{a}(x) \geq a_0 > 0 \quad \text{and} \quad \mathbf{a}(x) \cdot Dp(x) = 0.$$

The two results of [A] and [MRS] do not contradict each other but they seem to supplement each other. Furthermore, Theorem 2.2 of [CL] says that if (52) holds when $L = d = 1$ and $w \equiv 1$, then (52) continues to hold for all $w \in L_{\text{loc}}^1(\Omega)$, with $w_- = \operatorname{ess\,inf}_{\Omega} w(x) > 0$. Lately, when $d = 1$ and $w \equiv 1$, Theorem 3.1 of [FZZ] has been extended to the $p(x)$ -biharmonic operator under Navier boundary conditions. In particular, when p possesses a strict local minimum (or maximum) in Ω and $w_+ = \operatorname{ess\,sup}_{\Omega} w(x)$ is finite, then

$$\lambda_1 \geq \frac{1}{w_+} \inf_{\psi \in X \setminus \{0\}} \frac{\int_{\Omega} |\Delta \psi|^{p(x)} dx}{\int_{\Omega} |\psi|^{p(x)} dx} = 0,$$

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where $X = W_0^{1,p(\cdot)}(\Omega) \cap W^{2,p(\cdot)}(\Omega)$. Thus, in principle, (52) could fail. As far as we are aware, there are no criteria in order to have $\lambda_1 > 0$, even when $L = 2$, $d = 1$, $w \equiv 1$ and $p(x) \not\equiv p > 1$. In any case we have these useful results, which seem not to be so well known.

Let $L \in \{1, 2\}$. If $\lambda_1 > 0$ when $d = 1$, then $\lambda_1 > 0$ for all $d \in \mathbb{N}$.

Consider first the case $L = 1$. Clearly, by density, for $d = 1$,

$$\lambda_1 = \inf_{\substack{\psi \in C_0^\infty(\Omega) \\ \psi \neq 0}} \frac{\int_{\Omega} |D\psi|_n^{p(x)} dx}{\int_{\Omega} w(x) |\psi|^{p(x)} dx} > 0.$$

Then for all $\varphi = (\varphi_1, \dots, \varphi_d) \in [C_0^\infty(\Omega)]^d \setminus \{0\}$

The first eigenvalue of $\Delta_{p(x)}^L$

$$\begin{aligned} \frac{\int_{\Omega} |D\varphi|_{dn}^{p(x)} dx}{\int_{\Omega} w(x) |\varphi|_d^{p(x)} dx} &\geq \frac{\int_{\Omega} d^{-p(x)/2} \left(\sum_{i=1}^d |D\varphi_i|_n \right)^{p(x)} dx}{d^{p_+-1} \sum_{i=1}^d \int_{\Omega} w(x) |\varphi_i|^{p(x)} dx} \\ &\geq d^{1-3p_+/2} \cdot \frac{\sum_{i=1}^d \int_{\Omega} |D\varphi_i|_n^{p(x)} dx}{\sum_{i=1}^d \int_{\Omega} w(x) |\varphi_i|^{p(x)} dx} \geq d^{1-3p_+/2} \lambda_1, \end{aligned}$$

that is (52) holds for all $d \geq 2$ when $L = 1$. Similarly, when $L = 2$, by assumption

The first eigenvalue of $\Delta_{p(x)}^L$

$$\lambda_1 = \inf_{\substack{\psi \in C_0^\infty(\Omega) \\ \psi \neq 0}} \frac{\int_{\Omega} |\Delta \psi|^{p(x)} dx}{\int_{\Omega} w(x) |\psi|^{p(x)} dx} > 0.$$

Hence, for all $\varphi = (\varphi_1, \dots, \varphi_d) \in [C_0^\infty(\Omega)]^d \setminus \{0\}$

$$\begin{aligned} \frac{\int_{\Omega} |\Delta \varphi|_d^{p(x)} dx}{\int_{\Omega} w(x) |\varphi|_d^{p(x)} dx} &\geq \frac{\int_{\Omega} d^{-p(x)/2} \left(\sum_{i=1}^d |\Delta \varphi_i| \right)^{p(x)} dx}{d^{p_+ - 1} \sum_{i=1}^d \int_{\Omega} w(x) |\varphi_i|^{p(x)} dx} \\ &\geq d^{1-3p_+/2} \cdot \frac{\sum_{i=1}^d \int_{\Omega} |\Delta \varphi_i|^{p(x)} dx}{\sum_{i=1}^d \int_{\Omega} w(x) |\varphi_i|^{p(x)} dx} \geq d^{1-3p_+/2} \lambda_1, \end{aligned}$$

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so that (52) holds for all $d \geq 2$ when $L = 2$.

Assume that $w_- > 0$. If (52) holds for $L \in \{1, 2\}$, then it holds for all $L \in \mathbb{N}$.

Let us denote for simplicity the number in (52) by $\lambda_{1,1}$ if $L = 1$ and $\lambda_{1,2}$ if $L = 2$. By density,

$$\lambda_{1,1} = \inf_{\substack{\varphi \in [C_0^\infty(\Omega)]^d \\ \varphi \neq 0}} \frac{\int_{\Omega} |D\varphi|_{dn}^{p(x)} dx}{\int_{\Omega} w(x) |\varphi|^{p(x)} dx} > 0,$$

$$\lambda_{1,2} = \inf_{\substack{\varphi \in [C_0^\infty(\Omega)]^d \\ \varphi \neq 0}} \frac{\int_{\Omega} |\Delta\varphi|_d^{p(x)} dx}{\int_{\Omega} w(x) |\varphi|^{p(x)} dx} > 0.$$

Now, $D_3\varphi = D\Delta\varphi$ by (3). Hence, for any $\varphi \in [C_0^\infty(\Omega)]^d \setminus \{0\}$

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$$\frac{\int_{\Omega} |\mathcal{D}_3 \varphi|_{dn}^{p(x)} dx}{\int_{\Omega} w(x) |\varphi|_d^{p(x)} dx} = \frac{\int_{\Omega} |D \Delta \varphi|_{dn}^{p(x)} dx}{\int_{\Omega} w(x) |\Delta \varphi|_d^{p(x)} dx} \cdot \frac{\int_{\Omega} w(x) |\Delta \varphi|_d^{p(x)} dx}{\int_{\Omega} w(x) |\varphi|_d^{p(x)} dx} \geq \lambda_{1,1} w_- \lambda_{1,2}.$$

In other words, $\lambda_{1,3} \geq \lambda_{1,1} w_- \lambda_{1,2}$.

Similarly, $\mathcal{D}_4 \varphi = \Delta^2 \varphi$ by (3) and for any $\varphi \in [C_0^\infty(\Omega)]^d \setminus \{0\}$

$$\frac{\int_{\Omega} |\mathcal{D}_4 \varphi|_d^{p(x)} dx}{\int_{\Omega} w(x) |\varphi|_d^{p(x)} dx} = \frac{\int_{\Omega} |\Delta(\Delta \varphi)|_d^{p(x)} dx}{\int_{\Omega} w(x) |\Delta \varphi|_d^{p(x)} dx} \cdot \frac{\int_{\Omega} w(x) |\Delta \varphi|_d^{p(x)} dx}{\int_{\Omega} w(x) |\varphi|_d^{p(x)} dx} \geq w_- \lambda_{1,2}^2,$$

that is $\lambda_{1,4} \geq w_- \lambda_{1,2}^2$. In conclusion, by induction,

$$\lambda_1 = \lambda_{1,L} \geq \begin{cases} w_-^{j-1} \lambda_{1,2}^j, & L = 2j, \\ \lambda_{1,1} (w_- \lambda_{1,2})^{j-1}, & L = 2j - 1, \end{cases} \quad \text{for } j = 2, 3, \dots$$

In particular, $\lambda_1 > 0$ for all $L \in \mathbb{N}$, as required. 

The main existence result for (8)

We finally turn to problem

$$(8) \quad \begin{cases} M(\mathcal{I}_L(u)) \Delta_{p(x)}^L u = \lambda \{w(x)|u|^{p(x)-2}u + f(x, u)\} \text{ in } \Omega, \\ D^\alpha u_k|_{\partial\Omega} = 0 \text{ for all } \alpha, \text{ with } |\alpha| \leq L-1, k = 1, \dots, d, \end{cases}$$

recalling the assumptions required. As in (4), the weight w is supposed to be *positive a.e. in Ω and of class $L^\varpi(\Omega)$* , with

$$\varpi > \frac{n}{n - [n - Lp_-]^+}, \quad (53)$$

replacing (4), and the variable exponent p is assumed also of class $\mathcal{MP}_L(\Omega)$.

The Kirchhoff function M verifies the assumption (\mathcal{M}) , with $\gamma = 1$, that is (8) is non-degenerate. The Dirichlet functional \mathcal{I}_L is defined in (9), that is

$$\mathcal{I}_L(u) = \int_{\Omega} \frac{|\mathcal{D}_L u|^{p(x)}}{p(x)} dx.$$

The main existence result for (8)

The nonlinearity f verifies the foreword in (\mathcal{F}) , condition $(\mathcal{F})-(c)'$ above, while (a) and (b) are replaced by

(a)' *There exist $q \in C_+(\overline{\Omega})$, with $1 < q_+ < p_-$, and $C_f > 0$ such that for a.a. $x \in \Omega$ and all $v \in \mathbb{R}^d$*

$$|f(x, v)| \leq C_f w(x) (1 + |v|^{q(x)-1}).$$

(b)' *There exists $p^* \in C_+(\overline{\Omega})$, with $p_+ < p_-^* \leq p_+^* < (p_L^*)_- / \varpi'$, such that*

$$\limsup_{|v| \rightarrow 0} \frac{|f(x, v) \cdot v|}{w(x)|v|^{p^*(x)}} < \infty, \quad \text{uniformly a.e. in } \Omega.$$

The main existence result for (8)

Conditions $(\mathcal{F})-(a)'$ and $(b)'$ imply that $f(x, 0) = 0$ for a.a. $x \in \Omega$,

$$0 < S_f = \operatorname{ess\,sup}_{v \neq 0, x \in \Omega} \frac{|f(x, v) \cdot v|}{w(x)|v|^{p(x)}} < \infty, \quad 0 < \operatorname{ess\,sup}_{v \neq 0, x \in \Omega} \frac{|F(x, v)|}{w(x)|v|^{p(x)}} \leq \frac{S_f}{p_-}$$

and that there exists $K > 0$ such that

$$|F(x, v)| \leq K \frac{w(x)}{p^*(x)} |v|^{p^*(x)}$$

for a.a. $x \in \Omega$ and all $v \in \mathbb{R}^d$.

The main existence result for (8)

The energy functional $J_\lambda : [W_0^{L,p(\cdot)}(\Omega)]^d \rightarrow \mathbb{R}$ associated to (8) is given by $J_\lambda(u) = \Phi(u) + \lambda\Psi(u)$, where now $\Phi(u) = \mathcal{M}(\mathcal{I}_L(u))$, with $\mathcal{I}_L(u)$ defined in (9) and $\Psi = \Psi_2$, where as usual

$$\Psi_2(u) = - \int_{\Omega} F(x, u(x)) dx.$$

The dual space of $[W_0^{L,p(\cdot)}(\Omega)]^d$ is denoted by $\left([W_0^{L,p(\cdot)}(\Omega)]^d\right)^*$.

The main existence result for (8)

LEMMA

The functional Φ is convex, weakly lower semi-continuous in $[W_0^{L,p(\cdot)}(\Omega)]^d$ and of class $C^1([W_0^{L,p(\cdot)}(\Omega)]^d)$.

Moreover, $\Phi' : [W_0^{L,p(\cdot)}(\Omega)]^d \rightarrow ([W_0^{L,p(\cdot)}(\Omega)]^d)^*$ verifies the (\mathcal{S}_+) condition, i.e. if $u_k \rightharpoonup u$ in $[W_0^{L,p(\cdot)}(\Omega)]^d$ and

$$\limsup_{k \rightarrow \infty} M(\mathcal{I}_L(u_k)) \int_{\Omega} |\mathcal{D}_L u_k|^{p(x)-2} \mathcal{D}_L u_k \cdot (\mathcal{D}_L u_k - \mathcal{D}_L u) dx \leq 0, \quad (54)$$

then $u_k \rightarrow u$ in $[W_0^{L,p(\cdot)}(\Omega)]^d$.

The main existence result for (8)

A simple calculation shows that Φ is convex in $[W_0^{L,p(\cdot)}(\Omega)]^d$, being \mathcal{I}_L convex and M non-negative and non-decreasing by (\mathcal{M}) . Moreover, Φ is Gâteaux differentiable in $[W_0^{L,p(\cdot)}(\Omega)]^d$ and for all $u, v \in [W_0^{L,p(\cdot)}(\Omega)]^d$ it results

$$\langle \Phi'(u), v \rangle = M(\mathcal{I}_L(u)) \int_{\Omega} |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u \cdot \mathcal{D}_L v \, dx.$$

Now, let $u, (u_k) \subset [W_0^{L,p(\cdot)}(\Omega)]^d$ be such that $u_k \rightarrow u$ as $k \rightarrow \infty$. We claim that as $k \rightarrow \infty$

$$\|\Phi'(u_k) - \Phi'(u)\|_{\star} = \sup_{\substack{v \in [W_0^{L,p(\cdot)}(\Omega)]^d \\ \|v\|=1}} |\langle \Phi'(u_k) - \Phi'(u), v \rangle| = o(1).$$

Put $\mathcal{R}(u_k, u) = \|M(\mathcal{I}_L(u_k))|\mathcal{D}_L u_k|^{p(x)-2} \mathcal{D}_L u_k - M(\mathcal{I}_L(u))|\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u\|_{N,p'(\cdot)}$. By the Hölder inequality

The main existence result for (8)

$$|\langle \Phi'(u_k) - \Phi'(u), v \rangle| \leq c_H \mathcal{R}(u_k, u) \|\mathcal{D}_L v\|_{N, p(\cdot)}.$$

Hence
$$\|\Phi'(u_k) - \Phi'(u)\|_{\star} \leq c_H \mathcal{R}(u_k, u). \quad (55)$$

Let $(u_{k_j})_j$ be a subsequence of $(u_k)_k$. Clearly, $u_{k_j} \rightarrow u$ in $[W_0^{L, p(\cdot)}(\Omega)]^d$ and so $\mathcal{D}_L u_{k_j} \rightarrow \mathcal{D}_L u$ in $[L^{p(\cdot)}(\Omega)]^N$ as $j \rightarrow \infty$, where as usual $N = d$ if L is even and $N = dn$ if L is odd. By Lemma ??, with $m = N$, $\sigma = p$ and $\omega \equiv 1$, there exist a subsequence of $(u_{k_j})_j$, still denoted by $(u_{k_j})_j$, and $h \in L^{p(\cdot)}(\Omega)$ such that for a.a. $x \in \Omega$

$\mathcal{D}_L u_{k_j}(x) \rightarrow \mathcal{D}_L u(x)$ as $j \rightarrow \infty$ and $|\mathcal{D}_L u_{k_j}(x)| \leq h(x)$ for all $j \in \mathbb{N}$.

Hence,

$$\begin{aligned} & \left| M(\mathcal{I}_L(u_{k_j})) |\mathcal{D}_L u_{k_j}|^{p(x)-2} \mathcal{D}_L u_{k_j} - M(\mathcal{I}_L(u)) |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u \right|^{p'(x)} \\ & \leq 2^{p'(x)-1} \left\{ \left[M(\mathcal{I}_L(u_{k_j})) |\mathcal{D}_L u_{k_j}|^{p(x)-1} \right]^{p'(x)} + \left[M(\mathcal{I}_L(u)) |\mathcal{D}_L u|^{p(x)-1} \right]^{p'(x)} \right\} \\ & \leq (2K)^{p'(x)} h^{p(x)} \in L^1(\Omega), \end{aligned}$$

The main existence result for (8)

where $K = \sup_j M(\mathcal{I}_L(u_{k_j})) < \infty$, being $(u_{k_j})_j$ convergent and so bounded in $[W_0^{L,p(\cdot)}(\Omega)]^d$. In particular, $M(\mathcal{I}_L(u_{k_j})) \rightarrow M(\mathcal{I}_L(u))$ by (\mathcal{M}) . Furthermore, $|\mathcal{D}_L u_{k_j}|^{p(x)-2} \mathcal{D}_L u_{k_j} \rightarrow |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u$ a.e. in Ω . Hence

$$\begin{aligned} & \left| M(\mathcal{I}_L(u_{k_j})) |\mathcal{D}_L u_{k_j}|^{p(x)-2} \mathcal{D}_L u_{k_j} - M(\mathcal{I}_L(u)) |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u \right| \\ & \leq K \left| |\mathcal{D}_L u_{k_j}|^{p(x)-2} \mathcal{D}_L u_{k_j} - |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u \right| \\ & \quad + \left| M(\mathcal{I}_L(u_{k_j})) - M(\mathcal{I}_L(u)) \right| \cdot |\mathcal{D}_L u|^{p(x)-1} \rightarrow 0 \end{aligned}$$

a.e. in Ω as $j \rightarrow \infty$. Thus, applying the Lebesgue dominated convergence theorem, we obtain that the entire sequence $(u_k)_k$ is such that $\mathcal{R}(u_k, u) \rightarrow 0$ as $k \rightarrow \infty$, which implies the claim by (55). In conclusion, Φ is of class $C^1([W_0^{L,p(\cdot)}(\Omega)]^d)$, as claimed. In particular, Φ is weakly lower semi-continuous in $[W_0^{L,p(\cdot)}(\Omega)]^d$.

The main existence result for (8)

Let $u, (u_k)_k \subset [W_0^{L,p(\cdot)}(\Omega)]^d$ be such that $u_k \rightharpoonup u$ in $[W_0^{L,p(\cdot)}(\Omega)]^d$ and (54) hold. Then

$$\lim_{k \rightarrow \infty} M(\mathcal{I}_L(u)) \int_{\Omega} |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u \cdot \mathcal{D}_L(u_k - u) dx = 0, \quad (56)$$

being $|\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u \in [L^{p'(\cdot)}(\Omega)]^N$. Hence (54) is equivalent to

$$\limsup_{k \rightarrow \infty} \int_{\Omega} \mathcal{L}(u_k, u) \cdot \mathcal{D}_L(u_k - u) dx \leq 0,$$

where $\mathcal{L}(u_k, u) =$

$M(\mathcal{I}_L(u_k)) |\mathcal{D}_L u_k|^{p(x)-2} \mathcal{D}_L u_k - M(\mathcal{I}_L(u)) |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u$. By convexity

$$\int_{\Omega} \mathcal{L}(u_k, u) \cdot \mathcal{D}_L(u_k - u) dx \geq 0,$$

therefore

$$\lim_{k \rightarrow \infty} \int_{\Omega} \mathcal{L}(u_k, u) \cdot \mathcal{D}_L(u_k - u) dx = 0.$$

The main existence result for (8)

This implies

$\lim_{k \rightarrow \infty} M(\mathcal{I}_L(u_k)) \int_{\Omega} |\mathcal{D}_L u_k|^{p(x)-2} \mathcal{D}_L u_k \cdot \mathcal{D}_L(u_k - u) dx = 0$ by (56), and in turn

$$\lim_{k \rightarrow \infty} \int_{\Omega} |\mathcal{D}_L u_k|^{p(x)-2} \mathcal{D}_L u_k \cdot \mathcal{D}_L(u_k - u) dx = 0, \quad (57)$$

being $M(\mathcal{I}_L(u_k)) \geq s > 0$ for all $k \in \mathbb{N}$, since here $\gamma = 1$ in (\mathcal{M}) . Clearly \mathcal{I}_L is of class C^1 and convex in the Banach space $[W_0^{L,p(\cdot)}(\Omega)]^d$, so that $\mathcal{I}_L(u) \leq \liminf_{k \rightarrow \infty} \mathcal{I}_L(u_k)$ by the weak lower semi-continuity of \mathcal{I}_L in $[W_0^{L,p(\cdot)}(\Omega)]^d$. By the convexity of \mathcal{I}_L for all k

$$\mathcal{I}_L(u) + \int_{\Omega} |\mathcal{D}_L u_k|^{p(x)-2} \mathcal{D}_L u_k \cdot \mathcal{D}_L(u_k - u) dx \geq \mathcal{I}_L(u_k),$$

so that $\mathcal{I}_L(u) \geq \limsup_{k \rightarrow \infty} \mathcal{I}_L(u_k)$ by (57). In conclusion,

$$\lim_{k \rightarrow \infty} \mathcal{I}_L(u_k) = \mathcal{I}_L(u). \quad (58)$$

The main existence result for (8)

Furthermore, by (57) as $k \rightarrow \infty$

$$\int_{\Omega} (|\mathcal{D}_L u_k|^{p(x)-2} \mathcal{D}_L u_k - |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u) \cdot \mathcal{D}_L (u_k - u) dx \rightarrow 0,$$

since $u_k \rightharpoonup u$ in $[W_0^{L,p(\cdot)}(\Omega)]^d$. Hence

$$(|\mathcal{D}_L u_k|^{p(x)-2} \mathcal{D}_L u_k - |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u) \cdot \mathcal{D}_L (u_k - u) \geq 0$$

converges to 0 in $L^1(\Omega)$, and so, up to a subsequence,

$$(|\mathcal{D}_L u_{k_j}|^{p(x)-2} \mathcal{D}_L u_{k_j} - |\mathcal{D}_L u|^{p(x)-2} \mathcal{D}_L u) \cdot \mathcal{D}_L (u_{k_j} - u) \rightarrow 0$$

a.e. in Ω . Lemma 3 of [DHH] implies that $\mathcal{D}_L u_{k_j}$ converges to $\mathcal{D}_L u$ a.e. in Ω , and in turn $|\mathcal{D}_L u_{k_j}|^{p(x)}$ converges to $|\mathcal{D}_L u|^{p(x)}$ a.e. in Ω .

Consider the sequence $(g_j)_j$ in $L^1(\Omega)$ defined pointwise by

$$g_j(x) = \frac{1}{p(x)} \left\{ \frac{|\mathcal{D}_L u_{k_j}|^{p(x)} + |\mathcal{D}_L u|^{p(x)}}{2} - \left| \frac{\mathcal{D}_L u_{k_j} - \mathcal{D}_L u}{2} \right|^{p(x)} \right\}.$$

The main existence result for (8)

By convexity $g_j \geq 0$ and $g_j(x) \rightarrow |\mathcal{D}_L u(x)|^{p(x)}/p(x)$ for a.a. $x \in \Omega$. Therefore, by the Fatou lemma and (58) we have

$$\begin{aligned} \mathcal{I}_L(u) &\leq \liminf_{j \rightarrow \infty} \int_{\Omega} g_j dx = \mathcal{I}_L(u) \\ &\quad - \limsup_{j \rightarrow \infty} \int_{\Omega} \frac{1}{p(x)} \left| \frac{\mathcal{D}_L u_{k_j} - \mathcal{D}_L u}{2} \right|^{p(x)} dx \\ &\leq \mathcal{I}_L(u) - \frac{1}{p_+ 2^{p_+}} \limsup_{j \rightarrow \infty} \rho_{p(\cdot)}(\mathcal{D}_L u_{k_j} - \mathcal{D}_L u). \end{aligned}$$

Hence, $\limsup_{j \rightarrow \infty} \rho_{p(\cdot)}(\mathcal{D}_L u_{k_j} - \mathcal{D}_L u) = 0$, that is $\lim_{j \rightarrow \infty} \|u_{k_j} - u\| = 0$ by Lemma 10. In conclusion, the entire sequence $u_k \rightarrow u$, since $u_k \rightharpoonup u$ as $k \rightarrow \infty$ in $[W_0^{L,p(\cdot)}(\Omega)]^d$. This completes the proof.

The main existence result for (8)

THEOREM – MULTIPLEPLICITY FOR (8)

Let $(\mathcal{F})-(a)'$, $(b)'$ hold and let $\ell_\star = \frac{p_- s \lambda_1}{p_+ S_f}$.

- (i) If $\lambda \in [0, \ell_\star)$, then (8) has only the trivial solution.
- (ii) If also $(\mathcal{F})-(c)'$ holds, then there exists $\ell^\star \geq \ell_\star$ such that (8) admits at least two nontrivial solutions for all $\lambda \in (\ell^\star, \infty)$.

The main existence result for (8)

The part (i) of the statement is proved by using a similar argument produced for the proof of Theorem 8–(i), namely if u is a weak solution of (8) we have

$$\begin{aligned} sp_- \lambda_1 \mathcal{I}_L(u) &\leq \lambda_1 M(\mathcal{I}_L(u)) \int_{\Omega} |\mathcal{D}_L u|^{p(x)} dx \leq \lambda_1 \lambda \int_{\Omega} |f(x, u) \cdot u| dx \\ &\leq \lambda_1 \lambda S_f \int_{\Omega} w(x) |u|^{p(x)} dx \leq p_+ \lambda S_f \mathcal{I}_L(u). \end{aligned}$$

Thus, if $u \neq 0$, then necessarily $\lambda \geq \ell_*$.

The main existence result for (8)

In order to prove (ii), we first show that J_λ is coercive for every $\lambda \in \mathbb{R}$. Indeed, as shown in the proof of Lemma 7, for all $u \in [W_0^{L,p(\cdot)}(\Omega)]^d$, with $\|u\| \geq 1$,

$$\begin{aligned} J_\lambda(u) &\geq s\mathcal{J}_L(u) - |\lambda| \int_{\Omega} |F(x, u)| dx \\ &\geq \frac{s}{p_+} \int_{\Omega} |\mathcal{D}_L u|^{p(x)} dx - |\lambda| \int_{\Omega} \int_0^1 C_f w(x) \left(1 + |tu|^{q(x)-1}\right) |u| dt dx \\ &\geq \frac{s}{p_+} \|u\|^{p_-} - |\lambda| C_f \int_{\Omega} w(x) |u| dx - \frac{|\lambda| C_f}{q_-} \int_{\Omega} w(x) |u|^{q(x)} dx. \end{aligned} \tag{59}$$

Now, by Hölder's inequality, and the previous lemmas

$$\begin{aligned} \int_{\Omega} w(x) |u|^{q(x)} dx &\leq \left(\int_{\Omega} w(x)^{\varpi} dx \right)^{1/\varpi} \left(\int_{\Omega} |u|^{\varpi' q(x)} dx \right)^{1/\varpi'} \\ &\leq \|w\|_{\varpi} \max \left\{ \|u\|_{d, \varpi' q(\cdot)}^{q_-}, \|u\|_{d, \varpi' q(\cdot)}^{q_+} \right\} \end{aligned}$$

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$$\leq \|w\|_{\varpi} \max \left\{ \mathcal{S}_{d, \varpi' q(\cdot)}^{q_-} \|u\|^{q_-}, \mathcal{S}_{d, \varpi' q(\cdot)}^{q_+} \|u\|^{q_+} \right\}.$$

Hence, for all $u \in [W_0^{L, p(\cdot)}(\Omega)]^d$, with $\|u\| \geq 1$, by (59)

$$J_\lambda(u) \geq \frac{s}{p_+} \|u\|^{p_-} - |\lambda| C_f \mathcal{S}_{d, 1, w} \|u\| - |\lambda| C \|u\|^{q_+},$$

where $C = C_f \|w\|_{\varpi} \max \left\{ \mathcal{S}_{d, \varpi' q(\cdot)}^{q_-}, \mathcal{S}_{d, \varpi' q(\cdot)}^{q_+} \right\} / q_-$. Since $1 < q_+ < p_-$, this shows the claim by $(\mathcal{F})-(a)'$. Thus, here $I = \mathbb{R}$.

The function $u_0 \in [C_0^\infty(\Omega)]^d$ constructed by using $(\mathcal{F})-(c)'$ in the proof of Theorem 9 is also in $[W_0^{L, p(\cdot)}(\Omega)]^d$ and such that $\Psi_2(u_0) < 0$. Hence, also in this setting the crucial number

$$\ell^* = \varphi_1(0) = \inf_{u \in \Psi_2^{-1}(I_0)} \frac{\Phi(u)}{\Psi_2(u)}, \quad I_0 = (-\infty, 0), \quad (60)$$

is well defined, so that again (43) continues to hold.

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Furthermore,

$$\begin{aligned} \frac{\Phi(u)}{|\Psi_2(u)|} &\geq \frac{s \int_{\Omega} |\mathcal{D}_L u|^{p(x)} dx}{p_+ \int_{\Omega} |F(x, u)| dx} \geq \frac{p_- s \int_{\Omega} |\mathcal{D}_L u|^{p(x)} dx}{p_+ S_f \int_{\Omega} w(x) |u|^{p(x)} dx} \\ &\geq \frac{p_- s \lambda_1}{p_+ S_f} = \ell_{\star} \end{aligned}$$

for all $u \in \Psi_2^{-1}(I_0)$. Thus, $\ell^{\star} \geq \ell_{\star} > 0$ by (60).

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By Hölder's inequality, Lemma 2.1 of [ER] and the continuity of the embedding $[W_0^{L,p(\cdot)}(\Omega)]^d \hookrightarrow [L^{p_L^*(\cdot)}(\Omega)]^d$, we have for every $u \in [W_0^{L,p(\cdot)}(\Omega)]^d$

$$\begin{aligned} |\Psi_2(u)| &\leq \int_{\Omega} |F(x, u)| dx \leq \frac{K}{p_-^*} \int_{\Omega} w(x) |u|^{p^*(x)} dx \\ &\leq c \| |u|^{p^*(x)} \|_{p_L^*(\cdot)/p^*(\cdot)} \\ &\leq c \max \left\{ \|u\|_{d,p_L^*(\cdot)}^{p_+^*}, \|u\|_{d,p_L^*(\cdot)}^{p_-^*} \right\} \leq \mathfrak{K} \max \{ \|u\|^{p_+^*}, \|u\|^{p_-^*} \}, \end{aligned} \tag{61}$$

where $c = c_H K \|1\|_{\wp(\cdot)} \|w\|_{\wp/p_-^*}$, $\mathfrak{K} = c \max \left\{ \mathcal{S}_{d,p_L^*(\cdot)}^{p_+^*}, \mathcal{S}_{d,p_L^*(\cdot)}^{p_-^*} \right\}$, and

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$$1 < \wp(x) = \begin{cases} \frac{\varpi' p_L^*(x)}{p_L^*(x) - \mathfrak{p}^*(x)\varpi'}, & \text{if } n > Lp_+, \\ \varpi', & \text{if } n \leq Lp_-. \end{cases}$$

Taken $r < 0$ and $v \in \Psi_2^{-1}(r)$, we obtain by (47), (61), and (\mathcal{M}) , with $\gamma = 1$,

$$\begin{aligned} |r| = |\Psi_2(v)| &\leq \mathfrak{K} \max \left\{ \left(p_+ \int_{\Omega} \frac{|\mathcal{D}_L u|^{p(x)}}{p(x)} dx \right)^{p_+^*/p_-}, \left(p_+ \int_{\Omega} \frac{|\mathcal{D}_L u|^{p(x)}}{p(x)} dx \right)^{p_-^*/p_+} \right\} \\ &\leq (p_+)^{p_+^*/p_-} \mathfrak{K} \max \left\{ \left(\frac{\mathcal{M}(\mathcal{I}_L(u))}{s} \right)^{p_+^*/p_-}, \left(\frac{\mathcal{M}(\mathcal{I}_L(u))}{s} \right)^{p_-^*/p_+} \right\} \\ &\leq \kappa \max \left\{ \Phi(u)^{p_+^*/p_-}, \Phi(u)^{p_-^*/p_+} \right\}, \end{aligned}$$

where $\kappa = (p_+)^{p_+^*/p_-} \mathfrak{K} / \min\{s^{p_+^*/p_-}, s^{p_-^*/p_+}\}$.

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Therefore, taking r so close to zero that $0 < |r| < 1$ and putting $\mathcal{K} = \min \left\{ \kappa^{-p_- / p_+^*}, \kappa^{-p_+ / p_-^*} \right\}$, we have by (35) and the facts that $u \equiv 0 \in \Psi_2^{-1}(I^r)$ and $\Psi_2(0) = 0$,

$$\begin{aligned} \varphi_2(r) &\geq \frac{1}{|r|} \inf_{v \in \Psi_2^{-1}(r)} \Phi(v) \geq \mathcal{K} \min \{ |r|^{p_- / p_+^* - 1}, |r|^{p_+ / p_-^* - 1} \} \\ &= \mathcal{K} |r|^{p_+ / p_-^* - 1}, \end{aligned}$$

being $p_- \leq p_+ < p_-^* \leq p_+^*$. This implies that

$$\lim_{r \rightarrow 0^-} \varphi_2(r) = \infty.$$

In conclusion, also in this setting, (44) holds, so that the proof can be continued and ended exactly as before.